

APPENDIX A

BUDGET



RATIONALE FOR FUNDING

The objective of the Unified Planning Work Program (UPWP) process is to provide a rational basis for the development, review, approval, execution and control of a comprehensive and coordinated transportation planning program for the OKI Region.

A number of federal, state and local organizations contribute funds to the program. Partner agencies are also recipients of these funds and have the responsibility of completing the described program activities. The UPWP ties the various resources, needs, interests, and responsibilities into a coordinated annual work program.

The first phase of the process is a determination of what work elements are to be included in the UPWP. This is based upon (1) new federal and state directions and directives, (2) continuing requirements, and (3) local needs, interests and priorities.

Following an evaluation of which elements best serve the needs of the region, while at the same time fulfilling the agency's objectives, a comparison of joint interest and the benefits that will be derived is made. Based on the output of this process, a funding table is prepared for inclusion in the UPWP. The funding agencies, in their review of the work program, make a determination of the appropriateness of the funding level and source of funds. Comments or requests for changes are then incorporated into a revised UPWP. Throughout the process, the basic premise of maintaining a regional, continuing, cooperative and comprehensive planning process prevails.

The FY 2022 UPWP reflects selectivity in the allocation of funding sources to program activities. This procedure and the subsequent matching of funding sources to program activities reflect consideration of:

- Amount of resources available from each agency;
- Primary interest area of each funding agency;
- Potential for delays in funding by each funding agency;
- Primary interest and type of each recipient agency; and
- Desirability of maintaining a single funding arrangement for each grouping of work activities.

In summary, while it is administratively necessary and advantageous to selectively match funding sources with work activities or groupings, the basic philosophical intent is to maintain a single integrated comprehensive and coordinated transportation planning program that jointly serves the needs of local, state and federal interests.

Work Performance Responsibility

All work is performed by staff except where indicated. OKI may contract with consulting firms using OKI's procurement procedures which are consistent with 2 CFR 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Consultants provide access to additional resources and expertise. Elements utilizing consultants are identified in the text of that element. The budgeted amounts are identified within the following budget tables.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
TRANSPORTATION PLANNING										
601 Short Range (PL/FTA)										
601	Short Range Planning									
601.1	FY 2022 CPG	CPG	30,143			97	59,760	90,000		90,000
601.1	¹ FY 2021 CPG Carryover	CPG	5,655			28	11,212	16,895		16,895
602 TIP (PL/FTA)										
602	Transportation Improvement Program									
602.1	FY 2022 CPG	CPG	60,623			1,189	120,188	182,000		182,000
602.1	¹ FY 2021 CPG Carryover	CPG	15,941			55	31,604	47,600		47,600
605 Continuing Planning-Surveillance (PL/FTA)										
605	Continuing Planning - Surveillance									
605.1	FY 2022 CPG	CPG	568,242	160,000	12,000	101,013	1,126,573	1,967,828		1,967,828
605.1	¹ FY 2021 CPG Carryover	CPG	156,743	0		36,965	310,753	504,461		504,461
605.6	FY 2022 Raven 911	Local	9,774			848	19,378	30,000		30,000

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Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
610	Transportation Plan (PL/FTA)									
610	Transportation Plan									
610.1	Transportion Plan									
610.1	FY 2022 CPG	CPG	218,769	23,348		23,160	433,723	699,000		699,000
610.1	¹ FY 2021 CPG Carryover	CPG	96,990			188	192,288	289,466		289,466
610.4	Land Use Planning									
610.4	FY 2022 STP (ODOT PID #111553)	STBG	221,809			13,200	439,751	674,760		674,760
610.5	Fiscal Impact Analysis									
610.5	FY 2022 STP (ODOT PID #111548)	STBG	21,485			250	42,596	64,331		64,331
625	Services (PL/FTA)									
625	Services									
625.2	FY 2022 CPG	CPG	119,123			4,709	236,168	360,000		360,000
625.2	¹ FY 2021 CPG Carryover	CPG	24,701			1,001	48,970	74,672		74,672
665	Special Studies									
665	Regional Freight Plan Update									
665.1	FY 2022 CPG	CPG	89,185			0	176,815	266,000		266,000
665.4	Regional Clean Air Program (FY22 ODOT PID #106576)									
	FY 2022 ODOT CMAQ / KY SNK	CMAQ/STBGP	10,820		160,000	435	21,452	192,707	8,425	201,132

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
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Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
667 Rideshare Activities										
667.1 Rideshare Program (FY22 ODOT PID #106573) FY 2022 ODOT CMAQ / KY SNK	CMAQ/STBGP	18,442		140,000		7,996	36,562	203,000	8,875	211,875
674 Transit										
674.3 Transit Planning Activities (5310) FFY18	FTA	0	122,305				0	122,305		122,305
674.3 Transit Planning Activities (5310) FFY19-20	FTA	93,300	11,570			523	184,973	290,365		290,365
674.4 Transit Planning Activities (5310) FFY15-17 PT	FTA		87,318					87,318	21,829	109,147
674.4 Transit Planning Activities (5310) FFY17-18 PT	FTA		419,998					419,998	105,000	524,998
685 Indiana Exclusive										
685.1 Dearborn County (INDOT) FY2022 CPG	CPG	10,075				1,201	19,974	31,250		31,250
685.2 Dearborn County (INDOT) FY2022 STBG	STBG	10,395				246	20,609	31,250		31,250
686 Kentucky Exclusive										
686.3 Transportation Planning Activities FY2022	PL/FTA	19,183				1,439	38,032	58,654		58,654
605.7 KYTC Dist. 6 UAV Flights FY22	SPR/CPG	6,940				300	13,759	21,000		21,000

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project	
695 UPWP Administration (PL/FTA)											
695	UPWP Administration										
695.1	FY 2022 CPG	CPG	10,058			0	19,942	30,000		30,000	
695.1	¹ FY 2021 CPG Carryover	CPG	2,430			0	4,817	7,247		7,247	
697 Trans Program Reporting (PL/FTA)											
697	Transportation Program Reporting										
697.1	FY 2022 CPG	CPG	8,713			12	17,275	26,000		26,000	
697.1	FY 2021 CPG Carryover	CPG	1,135			4	2,249	3,388		3,388	
720 Air Quality (PL/FTA)											
720	Mobile Source Emissions Planning										
720.1	FY 2022 CPG	CPG	10,060			495	19,945	30,500		30,500	
720.1	¹ FY 2021 CPG Carryover	CPG	4,298			28	8,520	12,846		12,846	
674 Mass Transit Exclusive											
	Southwest Ohio Regional Transit Authority (SORTA)										
	Transit Authority of Northern Kentucky (TANK)										
	Middletown Transit Service (MTS)										
	Clermont Transportation Connection (CTC)										
	Butler County Regional Transit Authority (BCRTA)										
	Warren County Transit Service										
				See Table 6, Page A-18							
	TRANSPORTATION PLANNING SUB TOTAL		1,845,032	824,539	300,000	12,000	195,382	3,657,887	6,834,841	144,129	6,978,970

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project	
ENVIRONMENTAL PLANNING											
710 Water Quality											
710	Ohio EPA Program										
710.6	FY2022 604 (b)	OEPA	17,358			400	34,413	52,171		52,171	
710.9	FY2022 Ohio General Assembly Funds	OEPA	24,937			625	49,438	75,000		75,000	
710.1	FY2022 Local Funded Water Activities	OKI	20,624			1,527	40,889	63,040		63,040	
REGIONAL PLANNING											
800 Regional Planning Activities											
800.1	FY2022 Local Funded Regional Planning Activities	OKI	19,377			340	38,416	58,133		58,133	
NON-TRANSPORTATION PLANNING SUB TOTAL			<u>82,295</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>2,892</u>	<u>163,157</u>	<u>248,345</u>	<u>0</u>	<u>248,345</u>
FY 2022 Totals			<u>1,927,328</u>	<u>824,539</u>	<u>300,000</u>	<u>12,000</u>	<u>198,274</u>	<u>3,821,045</u>	<u>7,083,185</u>	<u>144,129</u>	<u>7,227,314</u> <u>0</u>

¹ FY21 ODOT CPG carryover funds to be partnered with FY22 KYTC funds

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2022 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2022 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2021 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
601 Short Range (PL/FTA)														
601 Short Range Planning														
601.1 FY 2022 CPG	90,000		74,261	59,409	7,426	7,426	15,739	9,664	604	2,927	2,544			
601.1 ¹ FY 2021 CPG Carryover	16,895		13,940	11,152	1,394	1,394	2,955	1,814	113	549	478			
602 TIP (PL/FTA)														
602 Transportation Improvement Program														
602.1 FY 2022 CPG	182,000		150,172	120,138	15,017	15,017	31,828	19,543	1,221	5,919	5,144			
602.1 ¹ FY 2021 CPG Carryover	47,600		39,276	31,421	3,928	3,928	8,324	5,111	319	1,548	1,345			
605 Continuing Planning-Surveillance (PL/FTA)														
605 Continuing Planning - Surveillance														
605.1 FY 2022 CPG	1,967,828		1,623,700	1,298,960	162,370	162,370	344,128	211,300	13,206	64,002	55,619			
605.1 ¹ FY 2021 CPG Carryover	504,461		416,242	332,994	41,624	41,624	88,219	54,168	3,385	16,407	14,258			
605.6 FY 2022 Raven 911	30,000	30,000												
610 Transportation Plan (PL/FTA)														
610 Transportation Plan														
610.1 Transportation Plan														
610.1 FY 2022 CPG	699,000		576,761	461,409	57,676	57,676	122,239	75,057	4,691	22,734	19,756			
610.1 ¹ FY 2021 CPG Carryover	289,466		238,845	191,076	23,885	23,885	50,621	31,082	1,943	9,415	8,182			
625 Services (PL/FTA)														
625 Services														
625.2 FY 2022 CPG	360,000		297,044	237,635	29,704	29,704	62,956	38,656	2,416	11,709	10,175			
625.2 ¹ FY 2021 CPG Carryover	74,672		61,614	49,291	6,161	6,161	13,058	8,018	501	2,429	2,111			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2022 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2022 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2021 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
665 Special Studies														
665 Regional Freight Plan Update														
665.1 FY 2022 CPG	266,000		219,483	175,586	21,948	21,948	46,517	28,562	1,785	8,651	7,518			
695 UPWP Administration (PL/FTA)														
695 UPWP Administration														
695.1 FY 2022 CPG	30,000		24,754	19,803	2,475	2,475	5,246	3,221	201	976	848			
695.1 ¹ FY 2021 CPG Carryover	7,247		5,980	4,784	598	598	1,267	778	49	236	205			
697 Trans Program Reporting (PL/FTA)														
697 Transportation Program Reporting														
697.1 FY 2022 CPG	26,000		21,453	17,163	2,145	2,145	4,547	2,792	174	846	735			
697.1 ¹ FY 2021 CPG Carryover	3,388		2,796	2,236	280	280	592	364	23	110	96			
720 Air Quality (PL/FTA)														
720 Mobile Source Emissions Planning														
720.1 FY 2022 CPG	30,500		25,166	20,133	2,517	2,517	5,334	3,275	205	992	862			
720.1 ¹ FY 2021 CPG Carryover	12,846		10,600	8,480	1,060	1,060	2,246	1,379	86	418	363			
686 Kentucky Exclusive														
686.3 Transportation Planning Activities FY2022	58,654						58,654	36,014	2,251	10,909	9,480			
605.7 KYTC Dist. 6 UAV Flights FY22	21,000	10,500					10,500	6,447	403	1,953	1,697			
Sub-Total - Regional Totals	4,717,557	40,500	3,802,087	3,041,670	380,209	380,209	874,970	537,246	33,578	162,730	141,416			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2022 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2022 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2021 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
SOURCE OF FUNDS														
FY2022 CPG - ODOT	3,760,982	40,500	3,012,795	2,410,235	301,279	301,279	707,687	434,532	27,158	131,618	114,379			
FY2021 CPG Carryover - ODOT	956,575		789,292	631,434	78,929	78,929	167,283	102,715	6,420	31,112	27,037			
	<u>4,717,557</u>	<u>40,500</u>	<u>3,802,087</u>	<u>3,041,669</u>	<u>380,209</u>	<u>380,209</u>	<u>874,970</u>	<u>537,246</u>	<u>33,578</u>	<u>162,730</u>	<u>141,416</u>			
FY2022 Carrying into FY2023			(237,030)	(189,623)	(23,703)	(23,703)	(50,237)	(30,847)	(1,927)	(9,344)	(8,119)			
FY2022 PL/FTA - KYTC							824,733	506,399	31,651	153,386	133,297			
<u>KYTC FY22 PL Funding Summary</u>														
601.1 Short Range Planning							18,355	11,271	704	3,414	2,967			
602.1 Transportation Improvement Program							38,030	23,351	1,460	7,073	6,147			
605.1 Continuing Planning - Surveillance							400,346	245,819	15,364	74,458	64,706			
610.1 Transportation Plan							162,083	99,522	6,220	30,145	26,196			
625.2 Services							75,723	46,495	2,906	14,083	12,239			
665.1 Regional Freight Plan Update							46,512	28,559	1,785	8,650	7,517			
695.1 UPWP Administration							5,925	3,638	226	1,102	958			
697.1 Transportation Program Reporting							4,496	2,762	173	837	727			
720.1 Mobile Source Emissions Planning							6,537	4,013	251	1,216	1,056			
605.7 KYTC Dist 6 UAV Flights		10,500					8,072	4,957	310	1,501	1,305			
686.3 Transportation Planning Activities FY2022							58,654	36,013	2,251	10,908	9,480			
							824,733	506,400	31,650	153,387	133,297			
685 Indiana Exclusive														
685.1 Dearborn County (INDOT) FY2022 CPG	31,250											31,250	25,000	6,250

¹ FY21 ODOT CPG carryover funds to be partnered with FY22 KYTC funds
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**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2022 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2021 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
674 Transit														
674.3 Transit Planning Activities (5310) FFY18	122,305		122,305	122,305										
674.3 Transit Planning Activities (5310) FFY19-20	290,365		290,365	290,365										
674.4 ² Transit Planning Activities (5310) FFY15-17 PT	87,318	21,829	87,318	87,318										
674.4 ² Transit Planning Activities (5310) FFY17-18 PT	419,998	105,000	419,998	419,998										
TOTAL PL/FTA/STATE FUNDED	5,668,793	167,329	4,722,073	3,961,656	380,209	380,209	874,970	537,246	33,578	162,730	141,416	31,250	25,000	6,250
Anticipated OH FY22 funding carried to FY23 - not funded by KYTC until FY23	(287,266)						(50,236)	(30,845)	(1,928)	(9,344)	(8,119)			
KYTC FY21 Funding							824,733	506,401	31,650	153,386	133,297			
SOURCE OF FUNDS														
FY2022 CPG - ODOT		30,000	3,012,795	2,410,236	301,280	301,280								
FY2021 CPG Carryover - ODOT			789,293	631,435	78,929	78,929								
FFY18 FTA 5310			122,305	122,305										
FFY19-20 FTA 5310			290,365	290,365										
FFY15-17 FTA 5310 Pass-Thru		21,829	87,318	87,318										
FFY17-18 FTA 5310 Pass-Thru		105,000	419,998	419,998										
FY2022 PL - KYTC							633,000	506,400	31,650	0	94,950			
FY2022 FTA 5303 - KYTC							191,733			153,386	38,347			
FY2022 CPG - INDOT												31,250	25,000	6,250
KYTC District 6		13,000					0	0	0	0	0			
		169,829	4,722,074	3,961,657	380,209	380,209	824,733	506,400	31,650	153,386	133,297	31,250	25,000	6,250

¹ FY2120DOT CPG carryover funds to be partnered with FY22 KYTC funds

² FTA Pass Through projects matched with recipient match or contributed services

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2022 UNIFIED PLANNING WORK PROGRAM
TABLE 3 - OTHER TRANSPORTATION BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost	Toll Credits & Contributed Services		Total Project	Ohio					Kentucky					Indiana															
		Services			Ohio Portion	Ohio State	ODOT match	Carryover Yes	OKI No	ODOT	CMAQ	STBGP	tol crs	Ohio Local	Kentucky Portion	Kentucky State	Carryover Yes	OKI No	KYTC	CMAQ	STBGP	Cont Svs	Kentucky Local	Indiana Portion	Indiana State	Carryover Yes	OKI No	INDOT	STP	Indiana Local
FY 2022 STBG Funded Projects					82.5123%	100.00%						20.00%	17.4877%	80.00%																
FY 2022 Regional Clean Air Program					82.5123%	100.00%						0.00%	17.4877%	80.00%							x		20.00%							
FY 2022 Rideshare Activities					82.5123%	100.00%							17.4877%	80.00%							x		20.00%							
Indiana STBGP Exclusive					0.0000%	0.00%																	100.0000%	80.00%				20.00%		
610 Transportation Plan (PL/FTA)																														
610.4 Land Use Planning																														
610.4 ¹ FY 2022 STP (ODOT PID #111553)	STBG	674,760		674,760	556,760	a	556,760		X	X			118,000	e	94,400		X	X			X		23,600							
610.5 Fiscal Impact Analysis																														
610.5 ¹ FY 2022 STP (ODOT PID #111548)	STBG	64,331		64,331	53,081	b	53,081		X	X			11,250	f	9,000		X	X			X		2,250							
665 Special Studies																														
665.4 Regional Clean Air Program (FY22 ODOT PID #106576)																														
665.4 ^{1,2} FY 2022 ODOT CMAQ / KY SNK	CMAQ/SNK	192,707	8,425	201,132	159,007	c	159,007		X	X			42,125	g	33,700		X	X			X	X	8,425							
667 Rideshare Activities																														
667.1 Rideshare Program (FY22 ODOT PID #106573)																														
667.1 ² FY 2022 ODOT CMAQ / KY SNK	CMAQ/STBG	203,000	8,875	211,875	167,500	d	167,500		X	X			44,375	h	35,500		X	X			X	X	8,875							
685 Indiana Exclusive																														
685.2 Dearborn County (INDOT) FY2022 STBG	STBG	31,250		31,250																			31,250	25,000		X	X	X	6,250	
TOTALS		1,166,048	17,300	1,183,348	936,348		936,348		0	0	0	0	215,750		172,600							43,150	31,250	25,000				6,250		

¹ ODOT CMAQ and STBG funds provided at 100% with toll credits match.
² KYTC SNK funding matched with contributed services.

x

SOURCE OF FUNDS		Total
FY 2022 STBGP/SNK FUNDS - Transportation	-----	770,341
FY 2022 FIAM Maintenance and Startups - Local	-----	0
FY 2022 CMAQ (OH Clean Air)	-----	159,007
FY 2022 SNK FUNDS (KY Clean Air)	-----	42,124
FY 2022 CMAQ - (OH Rideshare)	-----	167,500
FY 2022 SNK FUNDS (KY Rideshare)	-----	44,375
Kentucky portion of Regional Household Survey - transference	-----	0
	-----	1,183,347

OH Share	ODOT	OKI Local	KY Share	KYTC	0	0	0	0	0	OKI Local	INDOT	0	0	0	0	OKI Local
609,841	a,b 609,841	0	129,250	e,f 103,400						25,850	31,250	25,000				6,250
0		0	0							0						
159,007	c 159,007	0	42,124	g 33,700						8,425						
167,500	d 167,500	0	44,375	h 35,500						8,875						
			0	0						0						
936,348	936,348	0	215,749	172,600						43,150	31,250	25,000				6,250

a,b FY22 STBGP - LU (PID 111553) \$556,760 w/TC + FIAM (PID 111548) \$53,081 w/TC
c FY22 CMAQ Clean Air Program (PID 106576) \$159,007 w/TC match
d FY22 CMAQ Rideshare Program (PID 106573) \$167,500
e,f FY22 SNK Trans. Planning -- LU (\$94,400) and FIAM (\$9,000) = \$103,400
g FY22 SNK Regional Clean Air Program (\$33,700) w/contributed services match
h FY22 SNK RideShare (\$35,500) w/contributed services match
** for FY15 forward KYTC requested KYTC federal share of SNK/STBG programs be rounded to nearest \$100.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 4 - OTHER PLANNING ACTIVITIES BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Work Activity	Total Work Cost	Contributed Services	Total Project	O K I / C F H Y N O D					Ohio Federal	Other State Funds	OH Local OKI County	Duke local	Water Local	Contributed Services	OKI County Funds
604(B) Program Ohio General Assembly funds Local Water Partners APA/HHS MARC/NARC/DOE Local Water Quality and Regional Planning Programs																
					x				100.00%							
					x				100.00%					100.00%		
																100.00%
ENVIRONMENTAL PLANNING																
710	Water Quality															
710	Ohio EPA Program															
710.6	FY2022 604 (b)	OEPA	52,171	52,171	x		x	52,171								
710.9	FY2022 Ohio General Assembly Funds	OEPA	75,000	75,000	x				75,000							
710.1	FY2022 Local Funded Water Activities	OKI	63,040	63,040												63,040
REGIONAL PLANNING																
800	Regional Planning Activities															
800.1	FY2022 Local Funded Regional Planning Activities	OKI	58,133	58,133												58,133
			<u>248,345</u>	<u>0</u> <u>248,345</u>				<u>52,171</u>	<u>75,000</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>121,173</u>

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours	Estimated KY Trans Personnel Hours	Estimated IN Trans Personnel Hours	Estimated FTA Personnel Hours	Estimated Local Water Personnel Hours	Estimated OH Water Personnel Hours	Estimated OKI Personnel Hours
				82.5123%	17.4877%	100.0000%	100.0000%	100.0000%	100.0000%	100.0000%
TRANSPORTATION PLANNING										
601 Short Range (PL/FTA)										
601 Short Range Planning										
601.1 FY 2022 CPG	30,143	39	779	643	136					
601.1 FY 2021 CPG Carryover	5,655	39	146	121	26					
602 TIP (PL/FTA)										
602 Transportation Improvement Program										
602.1 FY 2022 CPG	60,623	47	1,297	1,070	227					
602.1 FY 2021 CPG Carryover	15,941	47	341	281	60					
605 Continuing Planning-Surveillance (PL/FTA)										
605 Continuing Planning - Surveillance										
605.1 FY 2022 CPG	568,242	39	14,739	12,161	2,577					
605.1 FY 2021 CPG Carryover	156,743	39	4,066	3,355	711					
605.6 FY 2022 Raven 911	9,774	36	275							275
610 Transportation Plan (PL/FTA)										
610 Transportation Plan										
610.1 Transportation Plan										
610.1 FY 2022 CPG	218,769	51	4,251	3,508	743					
610.1 FY 2021 CPG Carryover	96,990	51	1,885	1,555	330					
610.4 Land Use Planning										
610.4 FY 2022 STP (ODOT PID #111553)	221,809	34	6,597	5,444	1,154					
610.5 Fiscal Impact Analysis										
610.5 FY 2022 STP (ODOT PID #111548)	21,485	35	614	506	107					

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY 2022 UNIFIED PLANNING WORK PROGRAM
TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Local Water Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
625 Services (PL/FTA)										
625 Services										
625.2 FY 2022 CPG	119,123	36	3,321	2,740	581					
625.2 FY 2021 CPG Carryover	24,701	36	689	568	120					
665 Special Studies										
665 Regional Freight Plan Update										
665.1 FY 2022 CPG	89,185	47	1,892	1,561	331					
665.4 Regional Clean Air Program (FY22 ODOT PID #106576) FY 2022 ODOT CMAQ / KY SNK	10,820	39	279	230	49					
667 Rideshare Activities										
667.1 Rideshare Program (FY22 ODOT PID #106573) FY 2022 ODOT CMAQ / KY SNK	18,442	39	478	395	84					
674 Transit										
674.3 Transit Planning Activities (5310) FFY19-20	93,300	47	1,986				1,986			
685 Indiana Exclusive										
685.1 Dearborn County (INDOT) FY2022 CPG	10,075	50	202			202				
685.2 Dearborn County (INDOT) FY2022 STBG	10,395	46	227			227				
686 Kentucky Exclusive										
686.3 Transportation Planning Activities FY2022	19,183	70	273		273					
605.7 KYTC Dist. 6 UAV Flights FY22	6,940	41	5,734		5,734					

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Local Water Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
695 UPWP Administration (PL/FTA)										
695 UPWP Administration										
695.1 FY 2022 CPG	10,058	40	253	208	44					
695.1 FY 2021 CPG Carryover	2,430	40	61	50	11					
697 Trans Program Reporting (PL/FTA)										
697 Transportation Program Reporting	0									
697.1 FY 2022 CPG	8,713	34	256	212	45					
697.1 FY 2021 CPG Carryover	1,135	34	33	28	6					
720 Air Quality (PL/FTA)										
720 Mobile Source Emissions Planning	0									
720.1 FY 2022 CPG	10,060	38	268	221	47					
720.1 FY 2021 CPG Carryover	4,298	38	114	94	20					
674 Mass Transit Exclusive										
Southwest Ohio Regional Transit Authority (SORTA)										
Transit Authority of Northern Kentucky (TANK)										
Middletown Transit Service (MTS)										
Clermont Transportation Connection (CTC)										
Butler County Regional Transit Authority (BCRTA)										
Warren County Transit Service										
TRANSPORTATION PLANNING SUB TOTAL	1,845,034		51,057	34,951	13,416	430	1,986	0	0	275

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Local Water Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
ENVIRONMENTAL PLANNING										
710 Water Quality										
710 Ohio EPA Program										
710.6 FY2022 604 (b)	17,358	26	661						661	
710.9 FY2022 Ohio General Assembly Funds	24,937	23	1,074						1,074	
710.1 FY2022 Local Funded Water Activities	20,624	34	610							610
REGIONAL PLANNING										
800 Regional Planning Activities										
800.1 FY2022 Local Funded Regional Planning Activities	19,377	31	634							634
<u>NON-TRANSPORTATION PLANNING SUB TOTAL</u>	<u>82,296</u>		<u>2,979</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>0</u>	<u>1,735</u>	<u>1,244</u>
FY 2022 Totals	1,927,330		54,035	34,951	13,416	430	1,986	0	1,735	1,519

OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2022 UNIFIED PLANNING WORK PROGRAM
 TABLE 6 - SUMMARY BUDGET

OKI Fiscal Year 2022 UPWP Funding Distribution

Work Element	UPWP submitted 3/4/21 Summary -Budget	FY	Personnel Hours	OH PL	OH State	OH STP	OH CMAQ	KY PL	KY State	KY SNK	KY FTA	KYTC SPR	IN PL	IN STP	FTA Federal	Duke Energy	OEPA Federal	OEPA State	OKI CS Match	OKI Local	cash sub-total	OH Credit	KY CS Match	Element Total
601.1	PL-Short Range Planning	21	146	\$ 11,152	\$ 1,394															\$ 1,394	\$ 13,940			\$ 13,940
601.1	PL-Short Range Planning	22	779	\$ 59,409	\$ 7,426			\$ 11,271	\$ 704		\$ 3,414									\$ 10,393	\$ 92,617			\$ 92,617
602.1	PL-TIP	21	341	\$ 31,421	\$ 3,928															\$ 3,928	\$ 39,276			\$ 39,276
602.1	PL-TIP	22	1,297	\$ 120,138	\$ 15,017			\$ 23,351	\$ 1,460		\$ 7,073									\$ 21,164	\$ 188,202			\$ 188,202
605.1	PL-Continuing Planning Surveillance	21	4,066	\$ 332,994	\$ 41,624															\$ 41,624	\$ 416,242			\$ 416,242
605.1	PL-Continuing Planning Surveillance	22	14,739	\$ 1,298,960	\$ 162,370			\$ 245,819	\$ 15,364		\$ 74,458									\$ 227,076	\$ 2,024,047			\$ 2,024,047
605.6	RAVEN 911	22	275																	\$ 30,000	\$ 30,000			\$ 30,000
605.7	KYTC Dist. 6 UAV Flights	22	5,734					\$ 6,447	\$ 403		\$ 1,953	\$ 10,500								\$ 1,697	\$ 21,000			\$ 21,000
610.1	PL-Transportation Plan	21	1,885	\$ 191,076	\$ 23,885															\$ 23,885	\$ 238,845			\$ 238,845
610.1	PL-Transportation Plan	22	4,251	\$ 461,409	\$ 57,676			\$ 99,522	\$ 6,220		\$ 30,145									\$ 83,872	\$ 738,843			\$ 738,843
625.2	PL-Services	21	689	\$ 49,291	\$ 6,161															\$ 6,161	\$ 61,614			\$ 61,614
625.2	PL-Services	22	3,321	\$ 237,635	\$ 29,704			\$ 46,495	\$ 2,906		\$ 14,083									\$ 41,943	\$ 372,767			\$ 372,767
665.1	Regional Freight Plan Update	22	1,892	\$ 175,586	\$ 21,948			\$ 28,559	\$ 1,785		\$ 8,650									\$ 29,466	\$ 265,995			\$ 265,995
685.1	PL-Dearborn County (INDOT)	22	202										\$ 25,000							\$ 6,250	\$ 31,250			\$ 31,250
686.3	PL-Transportation Planning Activities	22	273					\$ 36,013	\$ 2,251		\$ 10,908									\$ 9,480	\$ 58,653			\$ 58,653
695.1	PL-UPWP	21	61	\$ 4,784	\$ 598															\$ 598	\$ 5,980			\$ 5,980
695.1	PL-UPWP	22	253	\$ 19,803	\$ 2,475			\$ 3,638	\$ 226		\$ 1,102									\$ 3,433	\$ 30,678			\$ 30,678
697.1	PL-Transportation Program	21	33	\$ 2,236	\$ 280															\$ 280	\$ 2,796			\$ 2,796
697.1	PL-Transportation Program	22	256	\$ 17,163	\$ 2,145			\$ 2,762	\$ 173		\$ 837									\$ 2,872	\$ 25,951			\$ 25,951
720.1	PL-Mobile Source Emissions	21	114	\$ 8,480	\$ 1,060															\$ 1,060	\$ 10,600			\$ 10,600
720.1	PL-Mobile Source Emissions	22	268	\$ 20,133	\$ 2,517			\$ 4,013	\$ 251		\$ 1,216									\$ 3,573	\$ 31,702			\$ 31,702
674.3	Transit Planning Activities (5310)	18													\$ 122,305					\$ -	\$ 122,305			\$ 122,305
674.3	Transit Planning Activities (5310)	19-20	1,986												\$ 290,365					\$ -	\$ 290,365			\$ 290,365
674.4	Transit Planning Activities (5310) PT	15-17													\$ 87,318					\$ -	\$ 87,318			\$ 87,318
674.4	Transit Planning Activities (5310) PT	17-18													\$ 419,998					\$ -	\$ 419,998			\$ 419,998
610.4	LRP-Land Use	22	6,597			\$ 556,760				\$ 94,400										\$ 23,600	\$ 674,760	x		\$ 674,760
610.5	Fiscal Impact Analysis Model	22	614			\$ 53,081				\$ 9,000										\$ 2,250	\$ 64,331	x		\$ 64,331
685.2	Dearborn County (INDOT)	22	227										\$ 25,000							\$ 6,250	\$ 31,250			\$ 31,250
665.4	Regional Clean Air Program	22	279				\$ 159,007			\$ 33,700										\$ -	\$ 192,707	x	\$ 8,425	\$ 201,132
667.1	Rideshare Program	22	478			\$ 167,500				\$ 35,500										\$ -	\$ 203,000		\$ 8,875	\$ 211,875
710.6	OEPA 604b Program	22	661														\$ 52,171			\$ -	\$ 52,171			\$ 52,171
710.9	OH General Assembly Funds	22	1,074															\$ 75,000		\$ -	\$ 75,000			\$ 75,000
710.1	Local Funded Water Activities	22	610																	\$ 63,040	\$ 63,040			\$ 63,040
800.1	Local Funded Regional Planning	22	634																	\$ 58,133	\$ 58,133			\$ 58,133
	Total UPWP		54,035	\$ 3,041,670	\$ 380,209	\$ 609,841	\$ 326,507	\$ 507,890	\$ 31,743	\$ 172,600	\$ 153,838	\$ 10,500	\$ 25,000	\$ 25,000	\$ 919,986	\$ -	\$ 52,171	\$ 75,000	\$ -	\$ 703,421	\$ 7,035,376	\$ -	\$ 17,300	\$ 7,062,676

Table 7 - FY22 Transit Budget

SORTA Planning Grants As of 2/10/2021

Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5309 FTA	5339 FTA	CMAQ	STP				
	Urbanized Area Formula Grant	Fixed Guideway Capital Grants "New	Bus and Bus Facilities Program						
Finalizing the Bus Network - includes Demand Response Analysis and Planning						\$ 480,000	\$ 120,000	\$ 600,000	\$360k by 5/30/21, \$120k by 5/30/22

Transit Authority of Northern Kentucky (TANK)

Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
I-71/75 Active Traffic Demand Study - Planning	\$35,650					\$1,000		\$5,000	1/1/2022
Title VI Demographic Survey	\$40,000					\$10,000		\$50,000	1/1/2022
NextGen Technology Plan	\$100,000					\$25,000		\$125,000	1/1/2022

Middletown Transit Service (MTS)

Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
Short range Planning Activities	\$0						\$0	\$0	6/30/2022

Clermont Transportation Connection (CTC)

Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
No planning activities in FY 2022	\$0						\$0	\$0	6/30/2022

Butler County Regional Transit Authority (BCRTA)

Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
Advocate coordinated approach							\$ 1,000	\$ 1,000	6/30/2021
Improve Butler Co. urban center connections							\$ 1,000	\$ 1,000	6/30/2021
Technology improvements	\$ 250,000						\$ 50,000	\$ 300,000	6/30/2021
Participation in regional planning							\$ 3,000	\$ 3,000	6/30/2021
Strategic Service Planning							\$ 100,000	\$ 100,000	6/30/2020
Infrastructure Concepts Planning and Siting Evaluations							\$ 35,000	\$ 35,000	6/30/2020

Warren County Transit Service (WCTS)

Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
No Planning Activities in FY2022	\$0						\$0	\$0	6/30/2022

City of Cincinnati (Streetcar)

Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
No Identified Planning Sources (carryover)	\$0					\$0		\$0	1/1/2022
Will request funding in FY21 (new funding)	\$0					\$0		\$0	1/1/2022