

APPENDIX A

BUDGET



RATIONALE FOR FUNDING

The objective of the Unified Planning Work Program (UPWP) process is to provide a rational basis for the development, review, approval, execution and control of a comprehensive and coordinated transportation planning program for the OKI Region.

A number of federal, state and local organizations contribute funds to the program. Partner agencies are also recipients of these funds and have the responsibility of completing the described program activities. The UPWP ties the various resources, needs, interests, and responsibilities into a coordinated annual work program.

The first phase of the process is a determination of what work elements are to be included in the UPWP. This is based upon (1) new federal and state directions and directives, (2) continuing requirements, and (3) local needs, interests and priorities.

Following an evaluation of which elements best serve the needs of the region, while at the same time fulfilling the agency's objectives, a comparison of joint interest and the benefits that will be derived is made. Based on the output of this process, a funding table is prepared for inclusion in the UPWP. The funding agencies, in their review of the work program, make a determination of the appropriateness of the funding level and source of funds. Comments or requests for changes are then incorporated into a revised UPWP. Throughout the process, the basic premise of maintaining a regional, continuing, cooperative and comprehensive planning process prevails.

The FY 2021 UPWP reflects selectivity in the allocation of funding sources to program activities. This procedure and the subsequent matching of funding sources to program activities reflect consideration of:

- Amount of resources available from each agency;
- Primary interest area of each funding agency;
- Potential for delays in funding by each funding agency;
- Primary interest and type of each recipient agency; and
- Desirability of maintaining a single funding arrangement for each grouping of work activities.

In summary, while it is administratively necessary and advantageous to selectively match funding sources with work activities or groupings, the basic philosophical intent is to maintain a single integrated comprehensive and coordinated transportation planning program that jointly serves the needs of local, state and federal interests.

Work Performance Responsibility

All work is performed by staff except where indicated. OKI may contract with consulting firms using OKI's procurement procedures which are consistent with 2 CFR 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Consultants provide access to additional resources and expertise. Elements utilizing consultants are identified in the text of that element. The budgeted amounts are identified within the following budget tables.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
TRANSPORTATION PLANNING										
601 Short Range (PL/FTA)										
601	Short Range Planning									
601.1	FY 2021 CPG	CPG	20,849			283	40,868	62,000		62,000
601.1	¹ FY 2020 CPG Carryover	CPG	5,725			595	11,221	17,541		17,541
602 TIP (PL/FTA)										
602	Transportation Improvement Program									
602.1	FY 2021 CPG	CPG	60,213			1,758	118,029	180,000		180,000
602.1	¹ FY 2020 CPG Carryover	CPG	12,242			186	23,996	36,424		36,424
605 Continuing Planning-Surveillance (PL/FTA)										
605	Continuing Planning - Surveillance									
605.1	FY 2021 CPG	CPG	548,746	150,000		60,154	1,075,638	1,834,539		1,834,539
605.1	¹ FY 2020 CPG Carryover	CPG	108,051			31,799	211,800	351,650		351,650
605.6	FY 2021 Security & Emergency Response	Local	10,134			1	19,865	30,000		30,000

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Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
610 Transportation Plan (PL/FTA)										
610	Transportation Plan									
610.1	Transportion Plan									
610.1	FY 2021 CPG	CPG	362,848	40,000		50,905	711,247	1,165,000		1,165,000
610.1	¹ FY 2020 CPG Carryover	CPG	69,823			8,936	136,866	215,625		215,625
610.4	Land Use Planning									
610.4	FY 2021 STP (ODOT PID #)	STBGP	144,067			13,131	282,397	439,595		439,595
610.5	Fiscal Impact Analysis									
610.5	FY 2021 STP (ODOT PID #)	STBGP	20,043	30,000		19	39,287	89,349		89,349
611.5	FY 2021 FIAM Maintenance and Startups	local		5,000				5,000		5,000
625 Services (PL/FTA)										
625	Services									
625.2	FY 2021 CPG	CPG	115,956			1,749	227,295	345,000		345,000
625.2	¹ FY 2020 CPG Carryover	CPG	26,500			2,095	51,945	80,540		80,540
665 Special Studies										
665.4	Regional Clean Air Program (FY21 ODOT PID #)									
	FY 2021 ODOT CMAQ / KY SNK	CMAQ/STBGP	10,620	-	160,000	125	20,818	191,563	8,375	199,938

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
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 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
667 Rideshare Activities										
667.1 Rideshare Program (FY21 ODOT PID #) FY 2021 ODOT CMAQ / KY SNK	CMAQ/STBGP	19,043		140,000		7,773	37,327	204,143	8,925	213,068
674 Transit										
674.3 Transit Planning Activities (5310) FFY13-14	FTA	191	2,900			200	375	3,666		3,666
674.3 Transit Planning Activities (5310) FFY15-17	FTA	81,352	32,100			3,941	159,465	276,858		276,858
674.4 Transit Planning Activities (5310) FFY15-17 PT	FTA	0	118,738				0	118,738	29,684	148,422
674.4 Transit Planning Activities (5310) FFY17-18 PT	FTA		743,339					743,339	185,835	929,174
685 Indiana Exclusive										
685.1 Dearborn County (INDOT) FY2021 CPG	CPG	9,861				2,059	19,330	31,250		31,250
685.2 Dearborn County (INDOT) FY2021 STP	STBGP	8,142	6,697			451	15,960	31,250		31,250
686 Kentucky Exclusive										
686.3 Transportation Planning Activities FY2021	PL/FTA	22,978				1,266	45,040	69,284		69,284
686.5 Kentucky portion of Regional Household Survey	STBGP		214,872					214,872		214,872

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
695 UPWP Administration (PL/FTA)										
695	UPWP Administration									
695.1	FY 2021 CPG	CPG	7,421			34	14,545	22,000		22,000
695.1	¹ FY 2020 CPG Carryover	CPG	1,360			6	2,666	4,032		4,032
697 Trans Program Reporting (PL/FTA)										
697	Transportation Program Reporting									
697.1	FY 2021 CPG	CPG	4,054			-	7,946	12,000		12,000
697.1	FY 2020 CPG Carryover	CPG	90				175	265		265
720 Air Quality (PL/FTA)										
720	Mobile Source Emissions Planning									
720.1	FY 2021 CPG	CPG	9,085			107	17,808	27,000		27,000
720.1	¹ FY 2020 CPG Carryover	CPG	2,128			21	4,172	6,321		6,321
674 Mass Transit Exclusive										
	Southwest Ohio Regional Transit Authority (SORTA)									
	Transit Authority of Northern Kentucky (TANK)									
	Middletown Transit Service (MTS)									
	Clermont Transportation Connection (CTC)									
	Butler County Regional Transit Authority (BCRTA)									
	Warren County Transit Service									
				See Table 6, Page A-18						
	TRANSPORTATION PLANNING SUB TOTAL		<u>1,681,524</u>	<u>1,343,646</u>	<u>300,000</u>	<u>187,594</u>	<u>3,296,081</u>	<u>6,808,844</u>	<u>232,819</u>	<u>7,041,663</u>

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
ENVIRONMENTAL PLANNING										
710 Water Quality										
710	Ohio EPA Program									
710.6	FY2021 604 (b)	OEPA	16,712			2,700	32,759	52,171		52,171
710.9	FY2021 Ohio General Assembly Funds	OEPA	25,235			299	49,466	75,000		75,000
710.1	FY2021 Local Funded Water Activities	OKI	17,858			3,729	35,005	56,592		56,592
REGIONAL PLANNING										
800 Regional Planning Activities										
800.1	FY2021 Local Funded Regional Planning Activities	OKI	22,901			454	44,890	68,245		68,245
NON-TRANSPORTATION PLANNING SUB TOTAL			82,706			7,182	162,120	252,008		252,008
FY 2021 Totals			1,764,230	1,343,646	300,000	194,776	3,458,201	7,060,852	232,819	7,293,671

¹ FY20 ODOT CPG carryover funds to be partnered with FY21 KYTC funds

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2021 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2021 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2020 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
601 Short Range (PL/FTA)														
601 Short Range Planning														
601.1 FY 2021 CPG	62,000		51,158	40,926	5,116	5,116	10,842	6,657	416	2,016	1,752			
601.1 ¹ FY 2020 CPG Carryover	17,541		14,473	11,578	1,447	1,447	3,068	1,884	118	571	496			
602 TIP (PL/FTA)														
602 Transportation Improvement Program														
602.1 FY 2021 CPG	180,000		148,522	118,818	14,852	14,852	31,478	19,328	1,208	5,854	5,088			
602.1 ¹ FY 2020 CPG Carryover	36,424		30,054	24,043	3,005	3,005	6,370	3,911	244	1,185	1,029			
605 Continuing Planning-Surveillance (PL/FTA)														
605 Continuing Planning - Surveillance														
605.1 FY 2021 CPG	1,834,539		1,513,720	1,210,976	151,372	151,372	320,818	196,988	12,312	59,667	51,852			
605.1 ¹ FY 2020 CPG Carryover	351,650		290,155	232,124	29,015	29,015	61,495	37,759	2,360	11,437	9,939			
605.6 FY 2021 Security & Emergency Response	30,000	30,000												
610 Transportation Plan (PL/FTA)														
610 Transportation Plan														
610.1 Transportation Plan														
610.1 FY 2021 CPG	1,165,000		961,268	769,014	96,127	96,127	203,732	125,095	7,818	37,891	32,928			
610.1 ¹ FY 2020 CPG Carryover	215,625		177,917	142,334	17,792	17,792	37,708	23,153	1,447	7,013	6,094			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2021 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2021 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2020 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
625 Services (PL/FTA)														
625 Services														
625.2 FY 2021 CPG	345,000		284,667	227,734	28,467	28,467	60,333	37,045	2,315	11,221	9,751			
625.2 ¹ FY 2020 CPG Carryover	80,540		66,455	53,164	6,646	6,646	14,085	8,648	541	2,619	2,276			
695 UPWP Administration (PL/FTA)														
695 UPWP Administration														
695.1 FY 2021 CPG	22,000		18,153	14,523	1,815	1,815	3,847	2,362	148	716	622			
695.1 ¹ FY 2020 CPG Carryover	4,032		3,327	2,662	333	333	705	433	27	131	114			
697 Trans Program Reporting (PL/FTA)														
697 Transportation Program Reporting														
697.1 FY 2021 CPG	12,000		9,901	7,921	990	990	2,099	1,289	81	390	339			
697.1 ¹ FY 2020 CPG Carryover	265		219	175	22	22	46	28	2	9	7			
720 Air Quality (PL/FTA)														
720 Mobile Source Emissions Planning														
720.1 FY 2021 CPG	27,000		22,278	17,822	2,228	2,228	4,722	2,899	181	878	763			
720.1 ¹ FY 2020 CPG Carryover	6,321		5,216	4,172	522	522	1,105	679	42	206	179			
686 Kentucky Exclusive														
686.3 Transportation Planning Activities FY2021	69,284						69,284	42,542	2,659	12,886	11,198			
Sub-Total - Regional Totals	4,459,221	30,000	3,597,484	2,877,987	359,748	359,748	831,737	510,701	31,919	154,689	134,428			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2021 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2020 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
SOURCE OF FUNDS														
FY2021 CPG - ODOT	3,746,823	30,000	3,009,668	2,407,734	300,967	300,967	707,155	434,205	27,138	131,519	114,293			
FY2020 CPG Carryover - ODOT	712,398		587,816	470,253	58,782	58,782	124,582	76,495	4,781	23,170	20,135			
	4,459,221	30,000	3,597,484	2,877,987	359,748	359,748	831,737	510,701	31,919	154,689	134,428			
FY2021 Carrying into FY2022			(33,044)	(26,435)	(3,305)	(3,305)	(7,004)	(4,301)	(269)	(1,303)	(1,131)			
FY2021 PL/FTA - KYTC							824,733	506,400	31,650	153,386	133,297			
<u>KYTC FY21 PL Funding Summary</u>														
601.1	Short Range Planning						12,851	7,891	493	2,390	2,077			
602.1	Transportation Improvement Program						37,443	22,990	1,437	6,964	6,052			
605.1	Continuing Planning - Surveillance						379,915	233,274	14,580	70,658	61,404			
610.1	Transportation Plan						238,727	146,583	9,161	44,399	38,584			
610.2	Freight Conference													
625.2	Services						74,116	45,509	2,845	13,784	11,979			
695.1	UPWP Administration						4,545	2,791	173	845	735			
697.1	Transportation Program Reporting						2,091	1,285	80	389	338			
720.1	Mobile Source Emissions Planning						5,761	3,537	221	1,071	931			
686.3	Transportation Planning Activities FY2021						69,284	42,541	2,659	12,885	11,198			
							824,733	506,400	31,650	153,386	133,297			
685 Indiana Exclusive														
685.1	Dearborn County (INDOT) FY2021 CPG	31,250										31,250	25,000	6,250
¹ FY20 ODOT CPG carryover funds to be partnered with FY21 KYTC funds x														
674 Transit														
674.3	Transit Planning Activities (5310) FFY13-14	3,666		3,666	3,666									
674.3	Transit Planning Activities (5310) FFY15-17	276,858		276,858	276,858									
674.4	² Transit Planning Activities (5310) FFY15-17 PT	118,738	29,684	118,738	118,738									
674.4	² Transit Planning Activities (5310) FFY17-18 PT	743,339	185,835	743,339	743,339									

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2021 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2021 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2020 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
TOTAL PL/FTA/STATE FUNDED	5,633,072	245,519	4,740,085	4,020,588	359,748	359,748	831,737	510,701	31,919	154,689	134,429	31,250	25,000	6,250
Anticipated OH FY20 funding carried to FY21 - not funded by KYTC until FY22	(40,047)						(7,003)	(4,300)	(269)	(1,303)	(1,132)			
KYTC FY21 Funding							824,733	506,402	31,650	153,386	133,297			
SOURCE OF FUNDS														
FY2021 CPG - ODOT		20,000	3,009,668	2,407,734	300,967	300,967								
FY2020 CPG Carryover - ODOT			587,817	470,254	58,781	58,781								
FY15 FTA 5310			3,666	3,666										
FY17 FTA 5310			276,858	276,858										
FY17 FTA 5310 Pass-Thru		29,684	118,738	118,738										
FY19 FTA 5310 Pass-Thru		185,835	743,339	743,339										
FY2012 FTA Carryover														
FY2021 PL - KYTC							633,000	506,400	31,650		94,950			
FY2021 FTA 5303 - KYTC							191,733			153,386	38,347			
FY2021 CPG - INDOT														
		235,519	4,740,086	4,020,589	359,748	359,748	824,733	506,400	31,650	153,386	133,297	31,250	25,000	6,250

¹ FY20 ODOT CPG carryover funds to be partnered with FY21 KYTC funds

² FTA Pass Through projects matched with recipient match or contributed services

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 3 - OTHER TRANSPORTATION BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost	Toll Credits & Contributed Services	Total Project	Ohio Portion	Ohio State	ODOT match	Carryover Yes No	O K I	O D O T	C M A Q	S T B G P	toll crs	Ohio Local	Kentucky Portion	Kentucky State	Carryover Yes No	O K I	K Y T C	C M A Q	S T B G P	Cont Svs	Kentucky Local	Indiana Portion	Indiana State	Carryover Yes No	O K I	K Y T C	C M A Q	S T B G P	Indiana Local									
FY 2021 STBG Funded Projects				82.5123%	100.00%								20.00%	17.4877%	80.00%								20.00%																
FY 2021 Regional Clean Air Program				82.5123%	100.00%									17.4877%	80.00%								x	20.00%															
FY 2021 Rideshare Activities				82.5123%	100.00%									17.4877%	80.00%								x	20.00%															
Indiana STBGP Exclusive																									100.0000%	80.00%								20.00%					
610 Transportation Plan (PL/FTA)																																							
610.4 Land Use Planning																																							
610.4																																							
1	FY 2021 STP (ODOT PID #)	STBGP	439,595		362,720	a	362,720		X	X		X	X	76,875	e	61,500		X	X		X					15,375													
610.5 Fiscal Impact Analysis																																							
610.5																																							
1	FY 2021 STP (ODOT PID #)	STBGP	89,349		73,724	b	73,724		X	X		X	X	15,625	f	12,500		X	X		X					3,125													
611.5	FY 2021 FIAM Maintenance and Startups	local	5,000		4,126				X	X			4,126					X	X							874													
665 Special Studies																																							
665.4 Regional Clean Air Program (FY21 ODOT PID #)																																							
665.4																																							
1,2	FY 2021 ODOT CMAQ / KY SNK	CMAQ/SNK	191,563	8,375	199,938				X	X	X	X		41,875	g	33,500		X	X		X	X				8,375													
667 Rideshare Activities																																							
667.1 Rideshare Program (FY21 ODOT PID #)																																							
667.1																																							
2	FY 2021 ODOT CMAQ / KY SNK	CMAQ/STBG	204,143	8,925	213,068				X	X	X			44,625	h	35,700		X	X		X	X				8,925													
685 Indiana Exclusive																																							
685.2 Dearborn County (INDOT) FY2021 STP																																							
685.2																																							
		STBGP	31,250																							31,250	25,000				X	X	X			6,250			
686 Kentucky Exclusive																																							
686.5 Kentucky portion of Regional Household Survey																																							
686.5																																							
		STBGP	214,872																																				
TOTALS				1,175,772	17,300	1,193,072	767,076	762,950				4,126	394,746	315,098											79,649	31,250	25,000							6,250					

1 ODOT CMAQ and STBG funds provided at 100% with toll credits match.
 2 KYTC SNK funding matched with contributed services.

x

SOURCE OF FUNDS		Total
FY 2021 STBG/SNK FUNDS - Transportation		560,193
FY 2021 FIAM Maintenance and Startups - Local		5,000
FY 2021 CMAQ (OH Clean Air)		158,063
FY 2021 SNK FUNDS (KY Clean Air)		41,874
FY 2021 CMAQ - (OH Rideshare)		168,443
FY 2021 SNK FUNDS (KY Rideshare)		44,625
Kentucky portion of Regional Household Survey		214,872
		1,193,072

OH Share	ODOT	OKI Local	KY Share	KYTC	OKI Local	INDOT	OKI Local
436,443 a,b	436,443	x	92,500 e,f	74,000	18,500	31,250	25,000
4,126		4,126	874		874		6,250
158,063 c	158,063	x	41,874 g	33,500	8,375		
168,443 d	168,443		44,625 h	35,700	8,925		
			214,872	171,898	42,974		
767,076	762,950	4,126	394,746	315,098	79,649	31,250	25,000
							6,250

a,b FY21 STBGP - LU (PID ??????) \$362,720 w/TC + FIAM (PID ??????) \$73,724 w/TC
 c FY21 CMAQ Clean Air Program (PID ??????) \$158,063 w/TC match
 d FY21 CMAQ Rideshare Program (PID ??????) \$168,443
 e,f FY21 SNK Trans. Planning -- LU (\$61,500) and FIAM (\$12,500) = \$74,000
 g FY21 SNK Regional Clean Air Program (\$33,500) w/contributed services match
 h FY21 SNK RideShare (\$35,700) w/contributed services match
 ** for FY15 forward KYTC requested KYTC federal share of SNK/STBG programs be rounded to nearest \$100.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 4 - OTHER PLANNING ACTIVITIES BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Work Activity	Total Work Cost	Contributed Services	Total Project	O K I / E H Y N O D		Ohio Federal	Other State Funds	OH Local OKI County	Duke local	Water Local	Contributed Services	OKI County Funds
604(B) Program Ohio General Assembly funds Local Water Partners APA/HHS MARC/NARC/DOE Local Water Quality and Regional Planning Programs													
					x		100.00%						
					x			100.00%			100.00%		
													100.00%
ENVIRONMENTAL PLANNING													
710	Water Quality												
710	Ohio EPA Program												
710.6	FY2021 604 (b)	OEPA	52,171	52,171	x	x	52,171						
710.9	FY2021 Ohio General Assembly Funds	OEPA	75,000	75,000	x			75,000					
710.1	FY2021 Local Funded Water Activities	OKI	56,592	56,592									56,592
REGIONAL PLANNING													
800	Regional Planning Activities												
800.1	FY2021 Local Funded Regional Planning Activities	OKI	68,245	68,245									68,245
			252,008	252,008			52,171	75,000					124,837

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY 2021 UNIFIED PLANNING WORK PROGRAM
TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Local Water Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
TRANSPORTATION PLANNING											
601 Short Range (PL/FTA)											
601 Short Range Planning											
601.1 FY 2021 CPG	20,849	32	645	532	113						
601.1 FY 2020 CPG Carryover	5,725	32	177	146	31						
602 TIP (PL/FTA)											
602 Transportation Improvement Program											
602.1 FY 2021 CPG	60,213	44	1,373	1,133	240						
602.1 FY 2020 CPG Carryover	12,242	44	279	230	49						
605 Continuing Planning-Surveillance (PL/FTA)											
605 Continuing Planning - Surveillance											
605.1 FY 2021 CPG	548,746	36	15,414	12,719	2,696						
605.1 FY 2020 CPG Carryover	108,051	36	3,035	2,504	531						
605.6 FY 2021 Security & Emergency Response	10,134	34	297								297
610 Transportation Plan (PL/FTA)											
610 Transportation Plan											
610.1 Transportation Plan											
610.1 FY 2021 CPG	362,848	43	8,413	6,942	1,471						
610.1 FY 2020 CPG Carryover	69,823	43	1,619	1,336	283						
610.4 Land Use Planning											
610.4 FY 2021 STP (ODOT PID #)	144,067	33	4,380	3,614	766						

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY 2021 UNIFIED PLANNING WORK PROGRAM
TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Local Water Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
610.5 Fiscal Impact Analysis											
610.5 FY 2021 STP (ODOT PID #)	20,043	30	658	543	115						
611.5 FY 2021 FIAM Maintenance and Startups											
625 Services (PL/FTA)											
625 Services											
625.2 FY 2021 CPG	115,956	36	3,237	2,671	566						
625.2 FY 2020 CPG Carryover	26,500	36	740	610	129						
665 Special Studies											
665.4 Regional Clean Air Program (FY21 ODOT PID #) FY 2021 ODOT CMAQ / KY SNK	10,620	35	307	254	54						
667 Rideshare Activities											
Rideshare Program (FY21 ODOT PID #) FY 2021 ODOT CMAQ / KY SNK	19,043	35	550	454	96						
674 Transit											
674.3 Transit Planning Activities (5310) FFY13-14	191	49	4				4				
674.3 Transit Planning Activities (5310) FFY15-17	81,352	41	1,972				1,972				
674.4 Transit Planning Activities (5310) FFY15-17 PT	0										
674.4 Transit Planning Activities (5310) FFY17-18 PT											
685 Indiana Exclusive											
685.1 Dearborn County (INDOT) FY2021 CPG	9,861	45	220			220					
685.2 Dearborn County (INDOT) FY2021 STP	8,142	39	207			207					

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Local Water Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%	
686 Kentucky Exclusive												
686.3	Transportation Planning Activities FY2021	22,978	64	362	362							
695 UPWP Administration (PL/FTA)												
695	UPWP Administration											
695.1	FY 2021 CPG	7,421	41	182	150	32						
695.1	FY 2020 CPG Carryover	1,360	41	33	27	6						
697 Trans Program Reporting (PL/FTA)												
697	Transportation Program Reporting											
697.1	FY 2021 CPG	4,054	33	122	101	21						
697.1	FY 2020 CPG Carryover	90	33	3	2	0						
720 Air Quality (PL/FTA)												
720	Mobile Source Emissions Planning											
720.1	FY 2021 CPG	9,085	38	238	196	42						
720.1	FY 2020 CPG Carryover	2,128	38	56	46	10						
674 Mass Transit Exclusive												
	Southwest Ohio Regional Transit Authority (SORTA)											
	Transit Authority of Northern Kentucky (TANK)											
	Middletown Transit Service (MTS)											
	Clermont Transportation Connection (CTC)											
	Butler County Regional Transit Authority (BCRTA)											
	Warren County Transit Service											
	TRANSPORTATION PLANNING SUB TOTAL	1,681,526		44,521	34,209	7,613	427	1,976			297	

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Local Water Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
ENVIRONMENTAL PLANNING											
710 Water Quality											
710 Ohio EPA Program											
710.6 FY2021 604 (b)	16,712	27	613							613	
710.9 FY2021 Ohio General Assembly Funds	25,235	23	1,100							1,100	
710.1 FY2021 Local Funded Water Activities	17,858	32	562								562
REGIONAL PLANNING											
800 Regional Planning Activities											
800.1 FY2021 Local Funded Regional Planning Activities	22,901	34	674								674
NON-TRANSPORTATION PLANNING SUB TOTAL			<u>82,707</u>		<u>2,948</u>					<u>1,712</u>	<u>1,236</u>
FY 2020 Totals			<u>1,764,232</u>		<u>47,470</u>	<u>34,209</u>	<u>7,613</u>	<u>427</u>	<u>1,976</u>	<u>1,712</u>	<u>1,533</u>

OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2021 UNIFIED PLANNING WORK PROGRAM
 TABLE 6 - SUMMARY BUDGET

OKI Fiscal Year 2020 UPWP Funding Distribution

Work Element	UPWP submitted 1/28/20 Summary -Budget	FY	I Hours	OH PL	OH State	OH STP	OH CMAQ	KY PL	KY State	KY SNK	KY FTA	IN PL	IN STP	FTA Federal	Duke Energy	OEPA Federal	OEPA State	OKI CS Match	OKI Local	cash sub-total	OH Credit	KY CS Match	Element Total
601.1	PL-Short Range Planning	20	177	\$ 11,578	\$ 1,447														\$ 1,447	\$ 14,473			\$ 14,473
601.1	PL-Short Range Planning	21	645	\$ 40,926	\$ 5,116			\$ 7,891	\$ 493		\$ 2,390								\$ 7,193	\$ 64,009			\$ 64,009
602.1	PL-TIP	20	279	\$ 24,043	\$ 3,005														\$ 3,005	\$ 30,054			\$ 30,054
602.1	PL-TIP	21	1,373	\$ 118,818	\$ 14,852			\$ 22,990	\$ 1,437		\$ 6,964								\$ 20,904	\$ 185,965			\$ 185,965
605.1	PL-Continuing Planning Surveillance	20	3,035	\$ 232,124	\$ 29,015														\$ 29,015	\$ 290,155			\$ 290,155
605.1	PL-Continuing Planning Surveillance	21	15,414	\$ 1,210,976	\$ 151,372			\$ 233,274	\$ 14,580		\$ 70,658								\$ 212,776	\$ 1,893,635			\$ 1,893,635
605.6	Emergency Data Coordination	21	297																\$ 30,000	\$ 30,000			\$ 30,000
610.1	PL-Transportation Plan	20	1,619	\$ 142,334	\$ 17,792														\$ 17,792	\$ 177,917			\$ 177,917
610.1	PL-Transportation Plan	21	8,413	\$ 769,014	\$ 96,127			\$ 146,583	\$ 9,161		\$ 44,399								\$ 134,710	\$ 1,199,994			\$ 1,199,994
625.2	PL-Services	20	740	\$ 53,164	\$ 6,646														\$ 6,646	\$ 66,455			\$ 66,455
625.2	PL-Services	21	3,237	\$ 227,734	\$ 28,467			\$ 45,509	\$ 2,845		\$ 13,784								\$ 40,446	\$ 358,784			\$ 358,784
685.1	PL-Dearborn County (INDOT)	21	220									\$ 25,000							\$ 6,250	\$ 31,250			\$ 31,250
686.3	PL-Transportation Planning Activities	21	362					\$ 42,541	\$ 2,659		\$ 12,885								\$ 11,198	\$ 69,284			\$ 69,284
695.1	PL-UPWP	20	33	\$ 2,662	\$ 333														\$ 333	\$ 3,327			\$ 3,327
695.1	PL-UPWP	21	182	\$ 14,523	\$ 1,815			\$ 2,791	\$ 173		\$ 845								\$ 2,550	\$ 22,697			\$ 22,697
697.1	PL-Transportation Program	20	3	\$ 175	\$ 22														\$ 22	\$ 219			\$ 219
697.1	PL-Transportation Program	21	122	\$ 7,921	\$ 990			\$ 1,285	\$ 80		\$ 389								\$ 1,328	\$ 11,994			\$ 11,994
720.1	PL-Mobile Source Emissions	20	56	\$ 4,172	\$ 522														\$ 522	\$ 5,216			\$ 5,216
720.1	PL-Mobile Source Emissions	21	238	\$ 17,822	\$ 2,228			\$ 3,537	\$ 221		\$ 1,071								\$ 3,159	\$ 28,039			\$ 28,039
674.3	Transit Planning Activities (5310)	13-14	4											\$ 3,666					\$ -	\$ 3,666			\$ 3,666
674.3	Transit Planning Activities (5310)	15-17	1,972											\$ 276,858					\$ -	\$ 276,858			\$ 276,858
674.4	Transit Planning Activities (5310) PT	15-17												\$ 118,738					\$ -	\$ 118,738			\$ 118,738
674.1	JARC	12												\$ -					\$ -	\$ -			\$ -
674.4	Transit Planning Activities (5310) PT	13												\$ 743,339					\$ -	\$ 743,339			\$ 743,339
610.4	LRP-Land Use	21	4,380			\$ 362,720				\$ 61,500									\$ 15,375	\$ 439,595	x		\$ 439,595
610.5	Fiscal Impact Analysis Model	21	658			\$ 73,724				\$ 12,500									\$ 3,125	\$ 89,349	x		\$ 89,349
611.5	FIAM Maintenance and Startups	21																	\$ 5,000	\$ 5,000			\$ 5,000
686.5	Kentucky portion of Regional Household Survey	21								\$ 171,898									\$ 42,974	\$ 214,872			\$ 214,872
685.2	Dearborn County (INDOT)	21	207									\$ 25,000							\$ 6,250	\$ 31,250			\$ 31,250
665.4	Regional Clean Air Program	21	307				\$ 158,063			\$ 33,500									\$ -	\$ 191,563	x	\$ 8,375	\$ 199,938
667.1	Rideshare Program	21	550				\$ 168,443			\$ 35,700									\$ -	\$ 204,143		\$ 8,925	\$ 213,068
710.6	OEPA 604b Program	21	613													\$ 52,171			\$ -	\$ 52,171			\$ 52,171
710.9	OH General Asembly Funds	21	1,100														\$ 75,000		\$ -	\$ 75,000			\$ 75,000
710.1	Local Funded Water Activities	21	562																\$ 56,592	\$ 56,592			\$ 56,592
710.4	OH River Recreational Trail Guide	21																	\$ -	\$ -			\$ -
800.1	Local Funded Regional Planning	21	674																\$ 68,245	\$ 68,245			\$ 68,245
	Total UPWP		47,470	\$ 2,877,986	\$ 359,748	\$ 436,444	\$ 326,506	\$ 506,400	\$ 31,650	\$ 315,098	\$ 153,386	\$ 25,000	\$ 25,000	\$ 1,142,601	\$ -	\$ 52,171	\$ 75,000	\$ -	\$ 726,857	\$ 7,053,847	\$ -	\$ 17,300	\$ 7,071,147

Table 7 - FY21 Transit Budget

SORTA									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5309 FTA	5339 FTA	CMAQ	STP				
Northside Transit Center Environmental							\$65,000	\$65,000	4/30/2015
Northside Transit Center Design/Engineering							\$ 396,000	\$ 396,000	9/30/2018
Northside Transit Center Property Acquisition				\$ 750,000			\$ 250,000	\$ 1,000,000	5/1/2016
Walnut Hills environmental							\$ 10,000	\$ 10,000	2/1/2016
Walnut Hills Design and Engineering							\$ 190,000	\$ 190,000	6/31/2021
Walnut Hills P&R Hub					\$ 960,000		\$ 240,000	\$ 1,200,000	12/31/2021
Transit Authority of Northern Kentucky (TANK)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
I-71/75 Active Traffic Demand Study - Planning	\$4,000					\$1,000		\$5,000	1/1/2021
TANK System Redesign - Implementation	\$30,000							\$30,000	1/1/2021
Middletown Transit Service (MTS)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
Short range Planning Activities	\$0						\$10,000	\$10,000	6/30/2019
Clermont Transportation Connection (CTC)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
No planning activities in FY 2021	\$0						\$0	\$0	6/30/2021
Butler County Regional Transit Authority (BCRTA)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
Advocate coordinated approach							\$ 10,000	\$ 10,000	6/30/2020
Improve Butler Co. urban center connections							\$ 3,000	\$ 3,000	6/30/2020
Technology improvements							\$ 100,000	\$ 100,000	6/30/2020
Analyze allocation of resources							\$ 1,000	\$ 1,000	6/30/2020
Participation in regional planning							\$ 3,000	\$ 3,000	6/30/2020
Strategic Service Planning							\$ 5,000	\$ 5,000	6/30/2020
Infrastructure Concepts Planning and Siting Evaluations	\$ 28,000						\$ 7,000	\$ 35,000	6/30/2020
Warren County Transit Service (WCTS)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
No Planning Activities in FY2021	\$0						\$0	\$0	6/30/2021