

APPENDIX A

BUDGET



RATIONALE FOR FUNDING

The objective of the Unified Planning Work Program (UPWP) process is to provide a rational basis for the development, review, approval, execution and control of a comprehensive and coordinated transportation planning program for the OKI Region.

A number of federal, state and local organizations contribute funds to the program. Partner agencies are also recipients of these funds and have the responsibility of completing the described program activities. The UPWP ties the various resources, needs, interests, and responsibilities into a coordinated annual work program.

The first phase of the process is a determination of what work elements are to be included in the UPWP. This is based upon (1) new federal and state directions and directives, (2) continuing requirements, and (3) local needs, interests and priorities.

Following an evaluation of which elements best serve the needs of the region, while at the same time fulfilling the agency's objectives, a comparison of joint interest and the benefits that will be derived is made. Based on the output of this process, a funding table is prepared for inclusion in the UPWP. The funding agencies, in their review of the work program, make a determination of the appropriateness of the funding level and source of funds. Comments or requests for changes are then incorporated into a revised UPWP. Throughout the process, the basic premise of maintaining a regional, continuing, cooperative and comprehensive planning process prevails.

The FY 2020 UPWP reflects selectivity in the allocation of funding sources to program activities. This procedure and the subsequent matching of funding sources to program activities reflect consideration of:

- Amount of resources available from each agency;
- Primary interest area of each funding agency;
- Potential for delays in funding by each funding agency;
- Primary interest and type of each recipient agency; and
- Desirability of maintaining a single funding arrangement for each grouping of work activities.

In summary, while it is administratively necessary and advantageous to selectively match funding sources with work activities or groupings, the basic philosophical intent is to maintain a single integrated comprehensive and coordinated transportation planning program that jointly serves the needs of local, state and federal interests.

Work Performance Responsibility

All work is performed by staff except where indicated. OKI may contract with consulting firms using OKI's procurement procedures which are consistent with 2 CFR 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Consultants provide access to additional resources and expertise. Elements utilizing consultants are identified in the text of that element. The budgeted amounts are identified within the following budget tables.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
TRANSPORTATION PLANNING										
601 Short Range (PL/FTA)										
601	Short Range Planning									
601.1	FY 2020 CPG	CPG	20,362			198	39,440	60,000		60,000
601.1	¹ FY 2019 CPG Carryover	CPG	14,541			625	28,166	43,332		43,332
602 TIP (PL/FTA)										
602	Transportation Improvement Program									
602.1	FY 2020 CPG	CPG	62,588			678	121,234	184,500		184,500
602.1	¹ FY 2019 CPG Carryover	CPG	4,502			136	8,721	13,359		13,359
605 Continuing Planning-Surveillance (PL/FTA)										
605	Continuing Planning - Surveillance									
605.1	FY 2020 CPG	CPG	556,829			63,187	1,078,584	1,698,600		1,698,600
605.1	¹ FY 2019 CPG Carryover	CPG	68,384			36,336	132,460	237,180		237,180
605.6	FY 2020 Security & Emergency Response	Local	6,810				13,190	20,000		20,000

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Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
610 Transportation Plan (PL/FTA)										
610	Transportation Plan									
610.1	Transportion Plan									
610.1	FY 2020 CPG	CPG	403,667	40,000		24,427	781,906	1,250,000		1,250,000
610.1	¹ FY 2019 CPG Carryover	CPG	54,457			4,357	105,484	164,298		164,298
610.4	Land Use Planning									
610.4	FY 2020 STP (ODOT PID #109417)	STBGP	129,621			17,439	251,077	398,137		398,137
610.5	Fiscal Impact Analysis									
610.5	FY 2020 STP (ODOT PID #109416)	STBGP	18,243	40,000		56	35,338	93,637		93,637
611.5	FY 2020 FIAM Maintenance and Startups	local		2,500				2,500		2,500
625 Services (PL/FTA)										
625	Services									
625.2	FY 2020 CPG	CPG	130,067			12,991	251,942	395,000		395,000
625.2	¹ FY 2019 CPG Carryover	CPG	54,972			2,819	106,480	164,271		164,271
665 Special Studies										
665.4	Regional Clean Air Program (FY20 ODOT PID #97788)									
	FY 2020 ODOT CMAQ / KY SNK	CMAQ/STBGP	24,656	-	160,000	1,463	47,759	233,879	10,225	244,104

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
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 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
667 Rideshare Activities										
667.1 Rideshare Program (FY20 ODOT PID #97784) FY 2020 ODOT CMAQ / KY SNK	CMAQ/STBGP	28,921		134,948		10,557	56,021	230,448	10,075	240,523
674 Transit										
674.3 Transit Planning Activities (5310) FFY13-14	FTA	22,388	18,000				43,367	83,755		83,755
674.3 Transit Planning Activities (5310) FFY15-17	FTA	59,315	18,000			73,672	114,894	265,881		265,881
674.4 Transit Planning Activities (5310) FFY15-17 PT	FTA		349,960					349,960	87,490	437,450
674.1 JARC (5316) FY2012	FTA	14,235				215	27,572	42,022		42,022
685 Indiana Exclusive										
685.1 Dearborn County (INDOT) FY2020 CPG	CPG	10,061				1,701	19,488	31,250		31,250
685.2 Dearborn County (INDOT) FY2020 STP	STBGP	10,520				352	20,378	31,250		31,250
686 Kentucky Exclusive										
686.3 Transportation Planning Activities FY2020	PL/FTA	45,401				1,737	87,942	135,081		135,081
686.2 Ohio River Bridge Counters (2) Carryover	PL Disc				69,631			69,631		69,631

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project	
695 UPWP Administration (PL/FTA)											
695	UPWP Administration										
695.1	FY 2020 CPG	CPG	5,107				9,893	15,000		15,000	
695.1	¹ FY 2019 CPG Carryover	CPG	6,260				12,125	18,385		18,385	
697 Trans Program Reporting (PL/FTA)											
697	Transportation Program Reporting										
697.1	FY 2020 CPG	CPG	3,051			38	5,911	9,000		9,000	
697.1	FY 2019 CPG Carryover	CPG	813			13	1,576	2,402		2,402	
720 Air Quality (PL/FTA)											
720	Mobile Source Emissions Planning										
720.1	FY 2020 CPG	CPG	12,553			133	24,314	37,000		37,000	
720.1	¹ FY 2019 CPG Carryover	CPG	4,108			27	7,958	12,093		12,093	
674 Mass Transit Exclusive											
Southwest Ohio Regional Transit Authority (SORTA)											
Transit Authority of Northern Kentucky (TANK)		See Table 6, Page A-18									
Middletown Transit Service (MTS)											
Clermont Transportation Connection (CTC)											
Butler County Regional Transit Authority (BCRTA)											
Warren County Transit Service											
TRANSPORTATION PLANNING SUB TOTAL			<u>1,772,433</u>	<u>468,460</u>	<u>294,948</u>	<u>69,631</u>	<u>253,157</u>	<u>3,433,221</u>	<u>6,291,849</u>	<u>107,790</u>	<u>6,399,639</u>

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Recipient Match / Contributed Services	Total Project
ENVIRONMENTAL PLANNING										
710 Water Quality										
710	Ohio EPA Program									
710.6	FY2020 604 (b)	OEPA	17,598			486	34,087	52,171		52,171
710.9	FY2020 Ohio General Assembly Funds	OEPA	25,066			1,381	48,553	75,000		75,000
710.1	FY2020 Local Funded Water Activities	OKI	14,677			1,894	28,429	45,000		45,000
REGIONAL PLANNING										
800 Regional Planning Activities										
800.1	FY2020 Local Funded Regional Planning Activities	OKI	17,804			710	34,486	53,000		53,000
850.5	FY2017-20 Duke Class Benefit Fund Energy Plans	local	22,444	63,969		403	43,475	130,291		130,291
NON-TRANSPORTATION PLANNING SUB TOTAL			<u>97,588</u>	<u>63,969</u>		<u>4,874</u>	<u>189,031</u>	<u>355,462</u>		<u>355,462</u>
FY 2020 Totals			<u>1,870,021</u>	<u>532,429</u>	<u>294,948</u>	<u>69,631</u>	<u>258,031</u>	<u>3,622,252</u>	<u>107,790</u>	<u>6,755,102</u>

¹ FY19 ODOT CPG carryover funds to be partnered with FY20 KYTC funds

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2020 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2020 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2019 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
601 Short Range (PL/FTA)														
601 Short Range Planning														
601.1 FY 2020 CPG	60,000		49,507	39,606	4,951	4,951	10,493	6,443	403	1,951	1,696			
601.1 ¹ FY 2019 CPG Carryover	43,332		35,754	28,603	3,575	3,575	7,578	4,653	291	1,409	1,225			
602 TIP (PL/FTA)														
602 Transportation Improvement Program														
602.1 FY 2020 CPG	184,500		152,235	121,788	15,224	15,224	32,265	19,811	1,238	6,001	5,215			
602.1 ¹ FY 2019 CPG Carryover	13,359		11,023	8,818	1,102	1,102	2,336	1,434	90	434	378			
605 Continuing Planning-Surveillance (PL/FTA)														
605 Continuing Planning - Surveillance														
605.1 FY 2020 CPG	1,698,600		1,401,554	1,121,243	140,155	140,155	297,046	182,391	11,399	55,245	48,010			
605.1 ¹ FY 2019 CPG Carryover	237,180		195,703	156,562	19,570	19,570	41,477	25,468	1,592	7,714	6,704			
605.6 FY 2020 Security & Emergency Response	20,000	20,000												
610 Transportation Plan (PL/FTA)														
610 Transportation Plan														
610.1 Transportation Plan														
610.1 FY 2020 CPG	1,250,000		1,031,404	825,123	103,140	103,140	218,596	134,222	8,389	40,655	35,330			
610.1 ¹ FY 2019 CPG Carryover	164,298		135,566	108,453	13,557	13,557	28,732	17,642	1,103	5,344	4,644			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2020 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2020 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2019 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
625 Services (PL/FTA)														
625 Services														
625.2 FY 2020 CPG	395,000		325,924	260,739	32,592	32,592	69,076	42,414	2,651	12,847	11,164			
625.2 ¹ FY 2019 CPG Carryover	164,271		135,544	108,435	13,554	13,554	28,727	17,639	1,102	5,343	4,643			
695 UPWP Administration (PL/FTA)														
695 UPWP Administration														
695.1 FY 2020 CPG	15,000		12,377	9,902	1,238	1,238	2,623	1,611	101	488	424			
695.1 ¹ FY 2019 CPG Carryover	18,385		15,170	12,136	1,517	1,517	3,215	1,974	123	598	520			
697 Trans Program Reporting (PL/FTA)														
697 Transportation Program Reporting														
697.1 FY 2020 CPG	9,000		7,426	5,941	743	743	1,574	967	60	293	254			
697.1 ¹ FY 2019 CPG Carryover	2,402		1,982	1,586	198	198	420	258	16	78	68			
720 Air Quality (PL/FTA)														
720 Mobile Source Emissions Planning														
720.1 FY 2020 CPG	37,000		30,530	24,423	3,053	3,053	6,470	3,973	248	1,203	1,046			
720.1 ¹ FY 2019 CPG Carryover	12,093		9,978	7,983	998	998	2,115	1,299	81	393	342			
686 Kentucky Exclusive														
686.3 Transportation Planning Activities FY2020	135,081						135,081	82,942	5,184	25,123	21,832			
Sub-Total - Regional Totals	4,459,500	20,000	3,551,676	2,841,339	355,168	355,168	887,824	545,140	34,071	165,120	143,493			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2020 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2019 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
SOURCE OF FUNDS														
FY2020 CPG - ODOT	3,804,180	20,000	3,010,956	2,408,764	301,096	301,096	773,224	474,773	29,673	143,806	124,971			
FY2019 CPG Carryover - ODOT	655,320		540,720	432,575	54,072	54,072	114,600	70,367	4,398	21,314	18,522			
	4,459,500	20,000	3,551,676	2,841,339	355,168	355,168	887,824	545,140	34,071	165,120	143,493			
FY2020 Carrying into FY2021			(377,600)	(302,080)	(37,760)	(37,760)	(80,029)	(49,140)	(3,071)	(14,885)	(12,934)			
FY2020 PL/FTA - KYTC							807,795	496,000	31,000	150,236	130,560			
<u>KYTC FY20 PL Funding Summary</u>														
601.1 Short Range Planning							16,564	10,170	636	3,081	2,677			
602.1 Transportation Improvement Program							30,556	18,761	1,173	5,683	4,939			
605.1 Continuing Planning - Surveillance							309,580	190,087	11,880	57,576	50,036			
610.1 Transportation Plan							231,401	142,085	8,880	43,037	37,400			
610.2 Freight Conference														
625.2 Services							73,501	45,131	2,821	13,670	11,880			
695.1 UPWP Administration							3,745	2,300	143	696	605			
697.1 Transportation Program Reporting							1,374	844	53	256	222			
720.1 Mobile Source Emissions Planning							5,996	3,681	230	1,115	969			
686.3 Transportation Planning Activities FY2020							135,080	82,941	5,184	25,122	21,832			
							807,795	496,000	31,000	150,236	130,560			
686 Kentucky Exclusive														
686.2 Ohio River Bridge Counters (2) Carryover	69,631						69,631	55,705			13,926			
685 Indiana Exclusive														
685.1 Dearborn County (INDOT) FY2020 CPG	31,250											31,250	25,000	6,250

¹ FY19 ODOT CPG carryover funds to be partnered with FY20 KYTC funds
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**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2020 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2020 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2019 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
674 Transit														
674.3 Transit Planning Activities (5310) FFY13-14	83,755		83,755	83,755										
674.3 Transit Planning Activities (5310) FFY15-17	265,881		265,881	265,881										
674.4 ² Transit Planning Activities (5310) FFY15-17 PT	349,960	87,490	349,960	349,960										
674.1 JARC (5316) FY2012	42,022		42,022	42,022										
TOTAL PL/FTA/STATE FUNDED	5,301,999	107,490	4,293,294	3,582,957	355,168	355,168	957,455	600,844	34,071	165,120	157,420	31,250	25,000	6,250
Anticipated OH FY20 funding carried to FY21 - not funded by KYTC until FY21	(457,629)						(80,029)	(49,139)	(3,071)	(14,884)	(12,935)			
KYTC FY20 Funding							877,427	551,706	31,000	150,236	144,485			
SOURCE OF FUNDS														
FY2020 CPG - ODOT			3,010,956	2,408,765	301,096	301,096								
FY2019 CPG Carryover - ODOT		103,537	540,720	432,577	54,072	54,072								
FY15 FTA 5310			83,755	83,755										
FY17 FTA 5310			265,881	265,881										
FY17 FTA 5310 Pass-Thru		87,490	349,960	349,960										
FY2012 FTA Carryover			42,022	42,022										
FY2020 PL - KYTC							620,000	496,000	31,000		93,000			
FY2019 Discretionary PL carryover							69,631	55,705			13,926			
FY2020 FTA 5303 - KYTC							187,795			150,236	37,559			
FY2020 CPG - INDOT												31,250	25,000	6,250
		191,027	4,293,294	3,582,960	355,167	355,167	877,426	551,705	31,000	150,236	144,485	31,250	25,000	6,250

¹ FY19 ODOT CPG carryover funds to be partnered with FY20 KYTC funds

² FTA Pass Through projects matched with recipient match or contributed services

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2020 UNIFIED PLANNING WORK PROGRAM
TABLE 3 - OTHER TRANSPORTATION BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost	Toll Credits & Contributed Services	Total Project	Ohio										Kentucky					Indiana																	
				Portion	State	ODOT match	Carryover	OKI	ODOT	CMAQ	STBGP	toll crs	Local	Portion	State	Carryover	OKI	KYTC	CMAQ	STBGP	Cont Svs	Local	Portion	State	Carryover	OKI	INDOT	Local								
FY 2020 STBGP Funded Projects				82.5123%	100.00%									20.00%	17.4877%	80.00%																				
FY 2020 Regional Clean Air Program				82.5123%	100.00%										17.4877%	80.00%							x													
FY 2020 Rideshare Activities				82.5123%	100.00%										17.4877%	80.00%							x													
Indiana STBGP Exclusive																																				
610 Transportation Plan (PL/FTA)																																				
610.4 Land Use Planning																																				
610.4 ¹ FY 2020 STP (ODOT PID #109417)	STBGP	398,137	398,137	328,512	a	328,512	X	X					X	X																						
610.5 Fiscal Impact Analysis																																				
610.5 ¹ FY 2020 STP (ODOT PID #109416)	STBGP	93,637	93,637	77,262	b	77,262	X	X					X	X																						
611.5 FY 2020 FIAM Maintenance and Startups	local	2,500	2,500	2,063			X	X			2,063				437																					
665 Special Studies																																				
665.4 Regional Clean Air Program (FY20 ODOT PID #97788)																																				
665.4 ^{1,2} FY 2020 ODOT CMAQ / KY SNK	CMAQ/SNK	233,879	10,225	244,104	c	192,979	X	X	X	X					51,125	g	40,900	X	X					X	X											
667 Rideshare Activities																																				
667.1 Rideshare Program (FY20 ODOT PID #97784)																																				
667.1 ² FY 2020 ODOT CMAQ / KY SNK	CMAQ/STBG	230,448	10,075	240,523	d	190,148	X	X	X						50,375	h	40,300	X	X					X	X											
685 Indiana Exclusive																																				
685.2 Dearborn County (INDOT) FY2020 STP	STBGP	31,250	31,250																																	
TOTALS		989,850	20,300	1,010,150		790,964					2,063			187,937		150,000																				

¹ ODOT CMAQ and STBGP funds provided at 100% with toll credits match.

² KYTC SNK funding matched with contributed services.

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SOURCE OF FUNDS		Total
FY 2020 STBGP/SNK FUNDS - Transportation	-----	523,024
FY 2020 FIAM Maintenance and Startups - Local	-----	2,500
FY 2020 CMAQ (OH Clean Air)	-----	192,979
FY 2020 SNK FUNDS (KY Clean Air)	-----	51,124
FY 2020 CMAQ - (OH Rideshare)	-----	190,148
FY 2020 SNK FUNDS (KY Rideshare)	-----	50,375
	-----	<u>1,010,150</u>

OH Share	ODOT	OKI Local	KY Share	KYTC	OKI Local	INDOT	OKI Local
405,774 a,b	405,774	x	86,000 e,f	68,800	17,200	31,250	25,000
2,063		2,063	437		437		
192,979	192,979	x	51,124 g	40,900	10,225		
190,148 d	190,148		50,375 h	40,300	10,075		
<u>790,964</u>	<u>788,901</u>	<u>2,063</u>	<u>187,937</u>	<u>150,000</u>	<u>37,937</u>	<u>31,250</u>	<u>25,000</u>

a,b FY20 STBGP - LU (PID 109417) \$328,512 w/TC + FIAM (PID 109416) \$77,262 w/TC

c FY20 CMAQ Clean Air Program (PID 97788) \$192,979 w/TC match

d FY20 CMAQ Rideshare Program (PID 97784) \$190,148

e,f FY20 SNK Trans. Planning -- LU (\$55,700) and FIAM (\$13,100) = \$68,800

g FY20 SNK Regional Clean Air Program (\$40,900) w/contributed services match

h FY20 SNK RideShare (\$40,300) w/contributed services match

** for FY15 forward KYTC requested KYTC federal share of SNK/STBGP programs be rounded to nearest \$100.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 4 - OTHER PLANNING ACTIVITIES BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Work Activity	Total Work Cost	Contributed Services	Total Project	O K I / E H Y N O D		Ohio Federal	Other State Funds	OH Local OKI County	Duke local	USDA	Contributed Services	OKI County Funds
					C	F							
604(B) Program Ohio General Assembly funds USDA APA/HHS MARC/NARC/DOE Local Water Quality and Regional Planning Programs													
					x		100.00%						
					x			100.00%			50.00%		
													100.00%
ENVIRONMENTAL PLANNING													
710	Water Quality												
710	Ohio EPA Program												
710.6	FY2020 604 (b)	OEPA	52,171	52,171	x	x	52,171						
710.9	FY2020 Ohio General Assembly Funds	OEPA	75,000	75,000	x			75,000					
710.1	FY2020 Local Funded Water Activities	OKI	45,000	45,000									45,000
REGIONAL PLANNING													
800	Regional Planning Activities												
800.1	FY2020 Local Funded Regional Planning Activities	OKI	53,000	53,000									53,000
850.5	FY2017-20 Duke Class Benefit Fund Energy Plans	local	130,291	130,291					130,291				
			<u>355,462</u>	<u>355,462</u>			<u>52,171</u>	<u>75,000</u>	<u>130,291</u>				<u>98,000</u>

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
TRANSPORTATION PLANNING										
601 Short Range (PL/FTA)										
601 Short Range Planning										
601.1 FY 2020 CPG	20,362	33	609	502	106					
601.1 FY 2019 CPG Carryover	14,541	33	435	359	76					
602 TIP (PL/FTA)										
602 Transportation Improvement Program										
602.1 FY 2020 CPG	62,588	44	1,427	1,178	250					
602.1 FY 2019 CPG Carryover	4,502	44	103	85	18					
605 Continuing Planning-Surveillance (PL/FTA)										
605 Continuing Planning - Surveillance										
605.1 FY 2020 CPG	556,829	37	14,902	12,296	2,606					
605.1 FY 2019 CPG Carryover	68,384	37	1,830	1,510	320					
605.6 FY 2020 Security & Emergency Response	6,810	37	184							184
610 Transportation Plan (PL/FTA)										
610 Transportation Plan										
610.1 Transportation Plan										
610.1 FY 2020 CPG	403,667	45	9,029	7,450	1,579					
610.1 FY 2019 CPG Carryover	54,457	45	1,218	1,005	213					
610.4 Land Use Planning										
610.4 FY 2020 STP (ODOT PID #109417)	129,621	33	3,973	3,278	695					

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
610.5 Fiscal Impact Analysis										
610.5 FY 2020 STP (ODOT PID #109416)	18,243	31	598	493	105					
611.5 FY 2020 FIAM Maintenance and Startups										
625 Services (PL/FTA)										
625 Services										
625.2 FY 2020 CPG	130,067	35	3,685	3,041	644					
625.2 FY 2019 CPG Carryover	54,972	35	1,558	1,285	272					
665 Special Studies										
665.4 Regional Clean Air Program (FY20 ODOT PID #97788)										
FY 2020 ODOT CMAQ / KY SNK	24,656	34	728	601	127					
667 Rideshare Activities										
Rideshare Program (FY20 ODOT PID #97784)										
FY 2020 ODOT CMAQ / KY SNK	28,921	34	860	709	150					
674 Transit										
674.3 Transit Planning Activities (5310) FFY13-14	22,388	42	537				537			
674.3 Transit Planning Activities (5310) FFY15-17	59,315	40	1,484				1,484			
674.4 Transit Planning Activities (5310) FFY15-17 PT										
674.1 JARC (5316) FY2012	14,235	35	412				412			
685 Indiana Exclusive										
685.1 Dearborn County (INDOT) FY2020 CPG	10,061	46	220			220				

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY 2020 UNIFIED PLANNING WORK PROGRAM
TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
685.2 Dearborn County (INDOT) FY2020 STP	10,520	39	271			271				
686 Kentucky Exclusive										
686.3 Transportation Planning Activities FY2020	45,401	69	654		654					
695 UPWP Administration (PL/FTA)										
695 UPWP Administration										
695.1 FY 2020 CPG	5,107	42	123	101	21					
695.1 FY 2019 CPG Carryover	6,260	42	151	124	26					
697 Trans Program Reporting (PL/FTA)										
697 Transportation Program Reporting										
697.1 FY 2020 CPG	3,051	34	90	74	16					
697.1 FY 2019 CPG Carryover	813	34	24	20	4					
720 Air Quality (PL/FTA)										
720 Mobile Source Emissions Planning										
720.1 FY 2020 CPG	12,553	35	358	296	63					
720.1 FY 2019 CPG Carryover	4,108	35	117	97	21					
674 Mass Transit Exclusive										
Southwest Ohio Regional Transit Authority (SORTA)										
Transit Authority of Northern Kentucky (TANK)										
Middletown Transit Service (MTS)										
Clermont Transportation Connection (CTC)										
Butler County Regional Transit Authority (BCRTA)										
Warren County Transit Service										
TRANSPORTATION PLANNING SUB TOTAL	1,772,435		45,577	34,503	7,968	491	2,433			184

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
ENVIRONMENTAL PLANNING										
710 Water Quality										
710 Ohio EPA Program										
710.6 FY2020 604 (b)	17,598	25	699						699	
710.9 FY2020 Ohio General Assembly Funds	25,066	21	1,169						1,169	
710.1 FY2020 Local Funded Water Activities	14,677	27	547							547
REGIONAL PLANNING										
800 Regional Planning Activities										
800.1 FY2020 Local Funded Regional Planning Activities	17,804	29	617							617
850.5 FY2017-20 Duke Class Benefit Fund Energy Plans	22,444	29	763					763		
<u>NON-TRANSPORTATION PLANNING SUB TOTAL</u>	<u>97,589</u>		<u>3,795</u>					<u>763</u>	<u>1,868</u>	<u>1,164</u>
FY 2020 Totals	<u>1,870,023</u>		<u>49,372</u>	<u>34,503</u>	<u>7,968</u>	<u>491</u>	<u>2,433</u>	<u>763</u>	<u>1,868</u>	<u>1,348</u>

OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2020 UNIFIED PLANNING WORK PROGRAM
 TABLE 6 - SUMMARY BUDGET

OKI Fiscal Year 2020 UPWP Funding Distribution

Work Element	UPWP submitted 4-11-19 Summary -Budget	FY	I Hours	OH PL	OH State	OH STP	OH CMAQ	KY PL	KY State	KY SNK	KY FTA	IN PL	IN STP	FTA Federal	Duke Energy	OEPA Federal	OEPA State	OKI CS Match	OKI Local	cash sub-total	OH Credit	KY CS Match	Element Total
601.1	PL-Short Range Planning	19	435	\$ 28,603	\$ 3,575														\$ 3,575	\$ 35,754			\$ 35,754
601.1	PL-Short Range Planning	20	609	\$ 39,606	\$ 4,951			\$ 10,170	\$ 636		\$ 3,081								\$ 7,628	\$ 66,071			\$ 66,071
602.1	PL-TIP	19	103	\$ 8,818	\$ 1,102														\$ 1,102	\$ 11,023			\$ 11,023
602.1	PL-TIP	20	1,427	\$ 121,788	\$ 15,224			\$ 18,761	\$ 1,173		\$ 5,683								\$ 20,162	\$ 182,791			\$ 182,791
605.1	PL-Continuing Planning Surveillance	19	1,830	\$ 156,562	\$ 19,570														\$ 19,570	\$ 195,703			\$ 195,703
605.1	PL-Continuing Planning Surveillance	20	14,902	\$ 1,121,243	\$ 140,155			\$ 190,087	\$ 11,880		\$ 57,576								\$ 190,191	\$ 1,711,134			\$ 1,711,134
605.6	Emergency Data Coordination	20	184																\$ 20,000	\$ 20,000			\$ 20,000
610.1	PL-Transportation Plan	19	1,218	\$ 108,453	\$ 13,557														\$ 13,557	\$ 135,566			\$ 135,566
610.1	PL-Transportation Plan	20	9,029	\$ 825,123	\$ 103,140			\$ 142,085	\$ 8,880		\$ 43,037								\$ 140,540	\$ 1,262,804			\$ 1,262,804
625.2	PL-Services	19	1,558	\$ 108,435	\$ 13,554														\$ 13,554	\$ 135,543			\$ 135,543
625.2	PL-Services	20	3,685	\$ 260,739	\$ 32,592			\$ 45,131	\$ 2,821		\$ 13,670								\$ 44,472	\$ 399,425			\$ 399,425
685.1	PL-Dearborn County (INDOT)	20	220									\$ 25,000							\$ 6,250	\$ 31,250			\$ 31,250
686.2	PL-KY Excl OH River Bridge Counter	20						\$ 55,705	\$ -		\$ -								\$ 13,926	\$ 69,631			\$ 69,631
686.3	PL-Transportation Planning Activities	20	654					\$ 82,941	\$ 5,184		\$ 25,122								\$ 21,832	\$ 135,080			\$ 135,080
695.1	PL-UPWP	19	151	\$ 12,136	\$ 1,517														\$ 1,517	\$ 15,170			\$ 15,170
695.1	PL-UPWP	20	123	\$ 9,902	\$ 1,238			\$ 2,300	\$ 143		\$ 696								\$ 1,843	\$ 16,121			\$ 16,121
697.1	PL-Transportation Program	19	24	\$ 1,586	\$ 198														\$ 198	\$ 1,982			\$ 1,982
697.1	PL-Transportation Program	20	90	\$ 5,941	\$ 743			\$ 844	\$ 53		\$ 256								\$ 965	\$ 8,801			\$ 8,801
720.1	PL-Mobile Source Emissions	19	117	\$ 7,983	\$ 998														\$ 998	\$ 9,978			\$ 9,978
720.1	PL-Mobile Source Emissions	20	358	\$ 24,423	\$ 3,053			\$ 3,681	\$ 230		\$ 1,115								\$ 4,022	\$ 36,524			\$ 36,524
674.3	Transit Planning Activities (5310)	13-14	537											\$ 83,755					\$ -	\$ 83,755			\$ 83,755
674.3	Transit Planning Activities (5310)	15-17	1,484											\$ 265,881					\$ -	\$ 265,881			\$ 265,881
675.4	Transit Planning Activities (5310) PT	15-17												\$ 349,960					\$ -	\$ 349,960			\$ 349,960
674.1	JARC	12	412											\$ 42,022					\$ -	\$ 42,022			\$ 42,022
610.4	LRP-Land Use	20	3,973			\$ 328,512				\$ 55,700									\$ 13,925	\$ 398,137	\$ -		\$ 398,137
610.5	Fiscal Impact Analysis Model	20	598			\$ 77,262				\$ 13,100									\$ 3,275	\$ 93,637	\$ -		\$ 93,637
611.5	FIAM Maintenance and Startups	20																	\$ 2,500	\$ 2,500			\$ 2,500
685.2	Dearborn County (INDOT)	20	271										\$ 25,000						\$ 6,250	\$ 31,250			\$ 31,250
665.4	Regional Clean Air Program	20	728				\$ 192,979			\$ 40,900									\$ -	\$ 233,879	\$ -	\$ 10,225	\$ 244,104
667.1	Rideshare Program	20	860				\$ 190,148			\$ 40,300									\$ -	\$ 230,448		\$ 10,075	\$ 240,523
710.6	OEPA 604b Program	20	699													\$ 52,171			\$ -	\$ 52,171			\$ 52,171
710.9	OH General Assembly Funds	20	1,169														\$ 75,000		\$ -	\$ 75,000			\$ 75,000
710.1	Local Funded Water Activities	20	547																\$ 45,000	\$ 45,000			\$ 45,000
800.1	Local Funded Regional Planning	20	617																\$ 53,000	\$ 53,000			\$ 53,000
850.5	Duke Class Benefit Fund Energy Plan	17	763												\$ 130,291				\$ -	\$ 130,291			\$ 130,291
	Total UPWP		49,372	\$ 2,841,339	\$ 355,168	\$ 405,774	\$ 383,126	\$ 551,705	\$ 31,000	\$ 150,000	\$ 150,236	\$ 25,000	\$ 25,000	\$ 741,618	\$ 130,291	\$ 52,171	\$ 75,000	\$ -	\$ 649,853	\$ 6,567,281	\$ -	\$ 20,300	\$ 6,587,581

Table 7 - FY20 Transit Budget

SORTA									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5309 FTA	5339 FTA	CMAQ	STP				
Northside Transit Center Environmental							\$65,000	\$65,000	4/30/2015
Northside Transit Center Design/Engineering							\$ 396,000	\$ 396,000	9/30/2018
Northside Transit Center Property Acquisition				\$ 750,000			\$ 250,000	\$ 1,000,000	5/1/2016
Walnut Hills environmental							\$ 10,000	\$ 10,000	2/1/2016
Walnut Hills Design and Engineering							\$ 190,000	\$ 190,000	12/31/2019
Walnut Hills P&R Hub					\$ 960,000		\$ 240,000	\$ 1,200,000	12/31/2020
Transit Authority of Northern Kentucky (TANK)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
I-71/75 Active Traffic Demand Study - Planning	\$4,000					\$1,000		\$5,000	6/30/2020
Transit Network Study	\$225,000							\$225,000	5/30/2020
Mobile Ticketing/Trip Planning Application	\$250,000							\$250,000	8/1/2019
Middletown Transit Service (MTS)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
Short range Planning Activities	\$0						\$10,000	\$10,000	6/30/2019
Clermont Transportation Connection (CTC)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
No planning activities in FY 2020	\$0						\$0	\$0	6/30/2020
Butler County Regional Transit Authority (BCRTA)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
Advocate coordinated approach							\$ 10,000	\$ 10,000	6/30/2020
Improve Butler Co. urban center connections							\$ 3,000	\$ 3,000	6/30/2020
Technology improvements							\$ 100,000	\$ 100,000	6/30/2020
Analyze allocation of resources							\$ 1,000	\$ 1,000	6/30/2020
Participation in regional planning							\$ 3,000	\$ 3,000	6/30/2020
Strategic Service Planning							\$ 5,000	\$ 5,000	6/30/2020
Infrastructure Concepts Planning and Siting Evaluations	\$ 28,000						\$ 7,000	\$ 35,000	6/30/2020
Warren County Transit Service WCTS)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
No Planning Activities in FY2020	\$0						\$0	\$0	6/30/2020