

APPENDIX A

BUDGET



RATIONALE FOR FUNDING

The objective of the Unified Planning Work Program (UPWP) process is to provide a rational basis for the development, review, approval, execution and control of a comprehensive and coordinated transportation planning program for the OKI Region.

A number of federal, state and local organizations contribute funds to the program. Partner agencies are also recipients of these funds and have the responsibility of completing the described program activities. The UPWP ties the various resources, needs, interests, and responsibilities into a coordinated annual work program.

The first phase of the process is a determination of what work elements are to be included in the UPWP. This is based upon (1) new federal and state directions and directives, (2) continuing requirements, and (3) local needs, interests and priorities.

Following an evaluation of which elements best serve the needs of the region, while at the same time fulfilling the agency's objectives, a comparison of joint interest and the benefits that will be derived is made. Based on the output of this process, a funding table is prepared for inclusion in the UPWP. The funding agencies, in their review of the work program, make a determination of the appropriateness of the funding level and source of funds. Comments or requests for changes are then incorporated into a revised UPWP. Throughout the process, the basic premise of maintaining a regional, continuing, cooperative and comprehensive planning process prevails.

The FY 2018 UPWP reflects selectivity in the allocation of funding sources to program activities. This procedure and the subsequent matching of funding sources to program activities reflect consideration of:

- Amount of resources available from each agency;
- Primary interest area of each funding agency;
- Potential for delays in funding by each funding agency;
- Primary interest and type of each recipient agency; and
- Desirability of maintaining a single funding arrangement for each grouping of work activities.

In summary, while it is administratively necessary and advantageous to selectively match funding sources with work activities or groupings, the basic philosophical intent is to maintain a single integrated comprehensive and coordinated transportation planning program that jointly serves the needs of local, state and federal interests.

Work Performance Responsibility

All work is performed by staff except where indicated. OKI may contract with consulting firms using OKI's procurement procedures which are consistent with 2 CFR 200 Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards. Consultants provide access to additional resources and expertise. Elements utilizing consultants are identified in the text of that element. The budgeted amounts are identified within the following budget tables.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Contributed Services	Total Project
TRANSPORTATION PLANNING										
601 Short Range (PL/FTA)										
601	Short Range Planning									
601.1	FY 2018 CPG	CPG	33,407			2,817	65,776	102,000		102,000
601.1	¹ FY 2017 CPG Carryover	CPG	2,129			7,170	4,192	13,491		13,491
602 TIP (PL/FTA)										
602	Transportation Improvement Program									
602.1	FY 2018 CPG	CPG	46,330			1,446	91,224	139,000		139,000
602.1	¹ FY 2017 CPG Carryover	CPG	5,986			477	11,787	18,250		18,250
605 Continuing Planning-Surveillance (PL/FTA)										
605	Continuing Planning - Surveillance									
605.1	FY 2018 CPG	CPG	509,313	235,000		69,736	1,002,824	1,816,873		1,816,873
605.1	¹ FY 2017 CPG Carryover	CPG	51,641			30,988	101,680	184,309		184,309

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Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Contributed Services	Total Project
610 Transportation Plan (PL/FTA)										
610	Transportation Plan									
610.1	Transportation Plan									
610.1	FY 2018 CPG	CPG	345,539			56,103	680,358	1,082,000		1,082,000
610.1	¹ FY 2017 CPG Carryover	CPG	36,267			2,428	71,409	110,104		110,104
610.4	Land Use Planning									
610.4	FY 2018 STP (ODOT PID #99527)	STBGP	120,332			17,286	236,931	374,549		374,549
610.5	Fiscal Impact Analysis									
610.5	FY 2018 STP (ODOT PID #99525)	STBGP	28,648	60,000		45	56,407	145,100		145,100
611.5	FY 2018 FIAM Maintenance and Startups	local	1,684	2,000			3,316	7,000		7,000
625 Services (PL/FTA)										
625	Services									
625.2	FY 2018 CPG	CPG	95,577			3,233	188,190	287,000		287,000
625.2	¹ FY 2017 CPG Carryover	CPG	12,923			2,266	25,446	40,635		40,635
665 Special Studies										
665.4	Regional Clean Air Program (FY18 ODOT PID #97785)									
	FY 2018 ODOT CMAQ / KY SNK	CMAQ/STBGP	10,553	-	160,000	42,550	20,778	233,881	10,225	244,106
667 Rideshare Activities										
667.1	Rideshare Program (FY18 ODOT PID #97782)									
	FY 2018 ODOT CMAQ / KY SNK	CMAQ/STBGP	22,011		135,000	7,800	43,338	208,149	9,100	217,249

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
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Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Contributed Services	Total Project
674 Transit										
674.1	JARC (5316) FY2012	FTA	19,188			551	37,780	57,519		57,519
674.2	New Freedom (5317) FY2012	FTA	6,351			250	12,504	19,105		19,105
674.4	New Freedom (5317) FY2013 Pass-Thru	FTA		42,605				42,605	42,605	85,210
674.3	Transit Planning Activities (5310) FFY15-16	FTA	57,109	100,000		250	112,445	269,804		269,804
674.3	Transit Planning Activities (5310) FFY13-14	FTA	45,644	67,070		250	89,871	202,835		202,835
685 Indiana Exclusive										
685.1	Dearborn County (INDOT) FY2018 CPG	CPG	10,013			1,522	19,715	31,250		31,250
685.2	Dearborn County (INDOT) FY2018 STP	STBGP	10,376			445	20,429	31,250		31,250
686 Kentucky Exclusive										
686.3	Transportation Planning Activities FY2018	PL/FTA	44,083			7,982	86,798	138,862		138,862
686.2	Boone County Study	PL Disc		185,720				185,720		185,720
695 UPWP Administration (PL/FTA)										
695	UPWP Administration									
695.1	FY 2018 CPG	CPG	6,732			113	13,255	20,100		20,100
695.1	¹ FY 2017 CPG Carryover	CPG	917			39	1,806	2,762		2,762

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Contributed Services	Total Project
697 Trans Program Reporting (PL/FTA)										
697	Transportation Program Reporting									
697.1	FY 2018 CPG	CPG	5,208			38	10,254	15,500		15,500
697.1	FY 2017 CPG Carryover	CPG	259			13	510	782		782
720 Air Quality (PL/FTA)										
720	Mobile Source Emissions Planning									
720.1	FY 2018 CPG	CPG	14,852			906	29,242	45,000		45,000
720.1	¹ FY 2017 CPG Carryover	CPG	1,873			79	3,688	5,640		5,640
674 Mass Transit Exclusive										
	Southwest Ohio Regional Transit Authority (SORTA)									
	Transit Authority of Northern Kentucky (TANK)									
	Middletown Transit Service (MTS)									
	Clermont Transportation Connection (CTC)									
	Butler County Regional Transit Authority (BCRTA)									
	Warren County Transit Service									
										See Table 6, Page A-18
	TRANSPORTATION PLANNING SUB TOTAL		1,544,944	692,395	295,000	256,782	3,041,953	5,831,074	61,930	5,893,004

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 1 ALL ACTIVITIES BY COST OBJECTIVE AND DIRECT COST CATEGORY**

Work Element	SOURCE	Personnel	Consultant	Marketing	Special Purchase	Other Direct	Indirect & Fringes	Total Work Cost	Contributed Services	Total Project
ENVIRONMENTAL PLANNING										
710 Water Quality										
710 Ohio EPA Program										
710.6 FY2018 604 (b)	OEPA	16,954				1,530	33,383	51,867		51,867
710.9 FY2018 Ohio General Assembly Funds	OEPA	25,201				280	49,619	75,100		75,100
710.1 FY2018 Local Funded Water Activities	OKI	16,454				9,450	32,398	58,302		58,302
REGIONAL PLANNING										
800 Regional Planning Activities										
800.1 FY2018 Local Funded Regional Planning Activities	OKI	17,443				671	34,345	52,459		52,459
850.5 FY2017-20 Duke Class Benefit Fund Energy Plans	local	69,634	136,000		120,000	8,267	137,108	471,009		471,009
NON-TRANSPORTATION PLANNING SUB TOTAL		<u>145,686</u>	<u>136,000</u>		<u>120,000</u>	<u>20,198</u>	<u>286,853</u>	<u>708,737</u>		<u>708,737</u>
FY 2018 Totals		<u>1,690,630</u>	<u>828,395</u>	<u>295,000</u>	<u>120,000</u>	<u>276,980</u>	<u>3,328,806</u>	<u>6,539,811</u>	<u>61,930</u>	<u>6,601,741</u>

¹ FY17 ODOT CPG carryover funds to be partnered with FY18 KYTC funds

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2018 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2018 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2017 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
601 Short Range (PL/FTA)														
601 Short Range Planning														
601.1 FY 2018 CPG	102,000		84,163	67,330	8,416	8,416	17,837	10,952	685	3,317	2,883			
601.1 ¹ FY 2017 CPG Carryover	13,491		11,131	8,905	1,113	1,113	2,359	1,449	91	439	381			
602 TIP (PL/FTA)														
602 Transportation Improvement Program														
602.1 FY 2018 CPG	139,000		114,692	91,754	11,469	11,469	24,308	14,925	933	4,521	3,929			
602.1 ¹ FY 2017 CPG Carryover	18,250		15,058	12,046	1,506	1,506	3,191	1,960	122	594	516			
605 Continuing Planning-Surveillance (PL/FTA)														
605 Continuing Planning - Surveillance														
605.1 FY 2018 CPG	1,816,873		1,499,144	1,199,315	149,914	149,914	317,729	195,091	12,193	59,092	51,353			
605.1 ¹ FY 2017 CPG Carryover	184,309		152,078	121,662	15,208	15,208	32,231	19,791	1,237	5,994	5,209			
610 Transportation Plan (PL/FTA)														
610 Transportation Plan														
610.1 Transportation Plan														
610.1 FY 2018 CPG	1,082,000		892,783	714,226	89,278	89,278	189,217	116,182	7,261	35,191	30,582			
610.1 ¹ FY 2017 CPG Carryover	110,104		90,849	72,679	9,085	9,085	19,255	11,823	739	3,581	3,112			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2018 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2018 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2017 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
625 Services (PL/FTA)														
625 Services														
625.2 FY 2018 CPG	287,000		236,810	189,448	23,681	23,681	50,190	30,817	1,926	9,334	8,112			
625.2 ¹ FY 2017 CPG Carryover	40,635		33,529	26,823	3,353	3,353	7,106	4,363	273	1,322	1,149			
695 UPWP Administration (PL/FTA)														
695 UPWP Administration														
695.1 FY 2018 CPG	20,100		16,585	13,268	1,658	1,658	3,515	2,158	135	654	568			
695.1 ¹ FY 2017 CPG Carryover	2,762		2,279	1,823	228	228	483	297	19	90	78			
697 Trans Program Reporting (PL/FTA)														
697 Transportation Program Reporting														
697.1 FY 2018 CPG	15,500		12,789	10,231	1,279	1,279	2,711	1,665	104	504	438			
697.1 ¹ FY 2017 CPG Carryover	782		645	516	64	64	137	84	5	25	22			
720 Air Quality (PL/FTA)														
720 Mobile Source Emissions Planning														
720.1 FY 2018 CPG	45,000		37,131	29,704	3,713	3,713	7,869	4,832	302	1,464	1,272			
720.1 ¹ FY 2017 CPG Carryover	5,640		4,654	3,723	465	465	986	606	38	183	159			
686 Kentucky Exclusive														
686.3 Transportation Planning Activities FY2018	138,862						138,862	85,264	5,329	25,826	22,443			
Sub-Total - Regional Totals	4,022,307		3,204,320	2,563,455	320,432	320,432	817,987	502,258	31,391	152,132	132,206			

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2018 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2017 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
SOURCE OF FUNDS														
FY2018 CPG - ODOT	3,646,335		2,894,097	2,315,277	289,410	289,410	752,238	461,888	28,868	139,903	121,579			
FY2017 CPG Carryover - ODOT	375,972		310,223	248,178	31,022	31,022	65,749	40,371	2,523	12,229	10,627			
Hamilton Co. Emergency Mgmt Agency														
	4,022,307		3,204,320	2,563,455	320,432	320,432	817,987	502,258	31,391	152,132	132,206			
FY2018 Carrying into FY2019			(48,087)	(38,470)	(4,809)	(4,809)	(10,192)	(6,258)	(391)	(1,896)	(1,646)			
FY2018 PL/FTA - KYTC							807,795	496,001	31,000	150,236	130,560			
<u>KYTC FY18 PL Funding Summary</u>														
601.1 Short Range Planning							19,999	12,279	767	3,719	3,232			
602.1 Transportation Improvement Program							27,190	16,694	1,044	5,057	4,394			
605.1 Continuing Planning - Surveillance							344,080	211,271	13,204	63,993	55,612			
610.1 Transportation Plan							205,541	126,206	7,887	38,227	33,220			
625.2 Services							56,566	34,732	2,171	10,520	9,142			
695.1 UPWP Administration							3,955	2,429	151	736	639			
697.1 Transportation Program Reporting							2,814	1,729	108	524	455			
720.1 Mobile Source Emissions Planning							8,789	5,396	337	1,635	1,420			
686.3 Transportation Planning Activities FY2018							138,862	85,263	5,329	25,826	22,443			
							807,795	496,001	31,000	150,236	130,559			
686 Kentucky Exclusive														
686.2 Boone County Study	185,720						185,720	148,576			37,144			
685 Indiana Exclusive														
685.1 Dearborn County (INDOT) FY2018 CPG	31,250											31,250	25,000	6,250

¹ FY17 ODOT CPG carryover funds to be partnered with FY18 KYTC funds
 x

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2018 UNIFIED PLANNING WORK PROGRAM
TABLE 2 CPG (PL/FTA) BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost (from Table 1)	Other Funding	Ohio Totals	Ohio Federal	Ohio Match	Ohio Local	Kentucky Totals	Kentucky PL	Kentucky KYTC Match	Kentucky FTA 5303	Kentucky Local	Indiana Totals	Indiana PL	Indiana Local
FY 2018 CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
FY 2017 Carryover CPG Activities	100.0000%		82.5123%	80.0000%	10.0000%	10.0000%	17.4877%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Exclusive PL/FTA Activities	100.0000%						100.0000%	61.4017%	3.8376%	18.5983%	16.1624%			
Kentucky Discretionary PL	100.0000%						100.0000%	80.0000%			20.0000%			
Indiana Exclusive CPG Activities	100.0000%											100.0000%	80.0000%	20.0000%
JARC/New Freedom/5310 (FTA)			100.0000%	100.0000%										
674 Transit														
674.1 JARC (5316) FY2012	57,519		57,519	57,519										
674.2 New Freedom (5317) FY2012	19,105		19,105	19,105										
674.4 ² New Freedom (5317) FY2013 Pass-Thru	42,605	42,605	42,605	42,605										
674.3 Transit Planning Activities (5310) FFY15-16	269,804		269,804	269,804										
674.3 Transit Planning Activities (5310) FFY13-14	202,835		202,835	202,835										
TOTAL PL/FTA/STATE FUNDED	4,831,145	42,605	3,796,188	3,155,323	320,432	320,432	1,003,707	650,834	31,391	152,132	169,350	31,250	25,000	6,250
Anticipated OH FY18 funding carried to FY19 - not funded by KYTC until FY19	(58,279)						(10,192)	(6,257)	(391)	(1,896)	(1,647)			
KYTC FY18 Funding							993,516	644,577	31,000	150,236	167,703			
SOURCE OF FUNDS														
FY2018 CPG - ODOT			2,894,096	2,315,277	289,410	289,410								
FY2017 CPG Carryover - ODOT			310,223	248,180	31,022	31,022								
FY17 FTA 5310			269,804	269,804										
FY15 FTA 5310			202,835	202,835										
FY2012 & FY2013 FTA Carryover		42,605	119,229	119,229										
FY2018 PL - KYTC							620,000	496,000	31,000		93,000			
FY2017 Discretionary PL Carryover							185,720	148,576			37,144			
FY2018 FTA 5303 - KYTC							187,795			150,236	37,559			
FY2018 CPG - INDOT												31,250	25,000	6,250
		42,605	3,796,187	3,155,325	320,432	320,432	993,515	644,576	31,000	150,236	167,703	31,250	25,000	6,250

¹ FY17 ODOT CPG carryover funds to be partnered with FY18 KYTC funds

² FTA Pass Through projects matched with recipient match or contributed services

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
FY2018 UNIFIED PLANNING WORK PROGRAM
TABLE 3 - OTHER TRANSPORTATION BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Total Work Cost	Toll Credits & Contributed Services	Total Project	Ohio		ODOT match	Carryover		O K I	O D O T	C M A Q	S T B G P	toll crs	Ohio Local	Kentucky		Carryover	O K I	K Y T C	C M A Q	S T B G P	Cont Svs	Kentucky		Indiana		Carryover	O K I	N D T P	Indiana Local					
				Portion	State		Yes	No							Portion	State							Yes	No	Portion	State					Yes	No	Portion	State	
FY 2018 STBG Funded Projects				82.5123%	100.00%									20.00%	17.4877%	80.00%								20.00%											
FY 2018 Regional Clean Air Program				82.5123%	100.00%										17.4877%	80.00%						x		20.00%											
FY 2018 Rideshare Activities				82.5123%	100.00%										17.4877%	80.00%						x		20.00%											
Indiana STBGP Exclusive																										100.0000%	80.00%						20.00%		
610 Transportation Plan (PL/FTA)																																			
610.4 Land Use Planning																																			
610.4 ¹ FY 2018 STP (ODOT PID #99527)	STBGP	374,549		374,549	309,049	a	309,049		X	X			X	X		65,500	e	52,400		X	X		X		13,100										
610.5 Fiscal Impact Analysis																																			
610.5 ¹ FY 2018 STP (ODOT PID #99525)	STBGP	145,100		145,100	119,725	b	119,725		X	X			X	X		25,375	f	20,300		X	X		X		5,075										
611.5 FY 2018 FIAM Maintenance and Startups	local	7,000		7,000	5,776				X	X				5,776		1,224					X	X			1,224										
665 Special Studies																																			
665.4 Regional Clean Air Program (FY18 ODOT PID #97785)																																			
665.4 ^{1,2} FY 2018 ODOT CMAQ / KY SNK	CMAQ/SNK	233,881	10,225	244,106	192,981	c	192,981		X	X		X	X		51,125	g	40,900		X	X		X	X		10,225										
667 Rideshare Activities																																			
667.1 Rideshare Program (FY18 ODOT PID #97782)																																			
667.1 ² FY 2018 ODOT CMAQ / KY SNK	CMAQ/STBG	208,149	9,100	217,249	171,749	d	171,749		X	X		X			45,500	h	36,400		X	X		X	X		9,100										
685 Indiana Exclusive																																			
685.2 Dearborn County (INDOT) FY2018 STP	STBGP	31,250		31,250																						31,250	25,000		X	X	X		6,250		
TOTALS		999,929	19,325	1,019,254	799,279		793,503							5,776	188,724	150,000									38,724	31,250	25,000						6,250		

¹ ODOT CMAQ and STBG funds provided at 100% with toll credits match.

² KYTC SNK funding matched with contributed services.

x

SOURCE OF FUNDS		Total
FY 2018 STBGP/SNK FUNDS - Transportation	-----	550,899
FY18 FIAM Maintenance and Startups - Local	-----	7,000
FY 2018 CMAQ (OH Clean Air)	-----	192,981
FY 2018 SNK FUNDS (KY Clean Air)	-----	51,125
FY 2018 CMAQ - (OH Rideshare)	-----	171,749
FY 2018 SNK FUNDS (KY Rideshare)	-----	45,500
	<u>-----</u>	<u>1,019,253</u>

OH Share	ODOT	OKI Local	KY Share	KYTC	OKI Local	INDOT	OKI Local
428,774	a,b 428,774	x	90,875	e,f 72,700	18,175	31,250	25,000
5,776		5,776	1,224		1,224		6,250
192,981		x	51,125	g 40,900	10,225		
171,749	d 171,749		45,500	h 36,400	9,100		
<u>799,279</u>	<u>793,503</u>	<u>5,776</u>	<u>188,724</u>	<u>150,000</u>	<u>38,724</u>	<u>31,250</u>	<u>25,000</u>

a,b FY18 STBGP - LU (PID 99527) \$309,049 w/TC + FIAM (PID 99525) \$119,725 w/TC

c FY18 CMAQ Clean Air Program (PID 97785) \$192,981 w/TC match

d FY18 CMAQ Rideshare Program (PID 97782) \$171,749

e,f FY18 SNK Trans. Planning -- LU (\$52,400) and FIAM (\$20,300) = \$72,700

g FY18 SNK Regional Clean Air Program (\$40,900) w/contributed services match

h FY18 SNK RideShare (\$36,400) w/contributed services match

** for FY15 forward KYTC requested KYTC federal share of SNK/STBGP programs be rounded to nearest \$100.

**OHIO KENTUCKY INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 4 - OTHER PLANNING ACTIVITIES BY COST OBJECTIVE AND FUNDING SOURCE**

Work Element	Work Activity	Total Work Cost	Contributed Services	Total Project	O K I / E H Y N O D			Ohio Federal	Other State Funds	OH Local OKI County	Duke local	OKI County Funds
604(B) Program Ohio General Assembly funds USDA APA/HHS MARC/NARC/DOE Local Water Quality and Regional Planning Programs												
					x			100.00%				
					x				100.00%			
												100.00%
ENVIRONMENTAL PLANNING												
710	Water Quality											
710	Ohio EPA Program											
710.6	FY2018 604 (b)	OEPA	51,867	51,867	x		x	50,667		1,200		
710.9	FY2018 Ohio General Assembly Funds	OEPA	75,100	75,100	x				75,000	100		
710.1	FY2018 Local Funded Water Activities	OKI	58,302	58,302								58,302
REGIONAL PLANNING												
800	Regional Planning Activities											
800.1	FY2018 Local Funded Regional Planning Activities	OKI	52,459	52,459								52,459
850.5	FY2017-20 Duke Class Benefit Fund Energy Plans	local	471,009	471,009							471,009	
			<u>708,737</u>	<u>708,737</u>				<u>50,667</u>	<u>75,000</u>	<u>1,300</u>	<u>471,009</u>	<u>110,761</u>

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated USDA Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
TRANSPORTATION PLANNING											
601 Short Range (PL/FTA)											
601 Short Range Planning											
601.1 FY 2018 CPG	33,407	32	1,058	873	185						
601.1 FY 2017 CPG Carryover	2,129	32	67	56	12						
602 TIP (PL/FTA)											
602 Transportation Improvement Program											
602.1 FY 2018 CPG	46,330	42	1,109	915	194						
602.1 FY 2017 CPG Carryover	5,986	42	143	118	25						
605 Continuing Planning-Surveillance (PL/FTA)											
605 Continuing Planning - Surveillance											
605.1 FY 2018 CPG	509,313	30	16,748	13,819	2,929						
605.1 FY 2017 CPG Carryover	51,641	30	1,698	1,401	297						
610 Transportation Plan (PL/FTA)											
610 Transportation Plan											
610.1 Transportation Plan											
610.1 FY 2018 CPG	345,539	39	8,874	7,322	1,552						
610.1 FY 2017 CPG Carryover	36,267	39	931	768	163						
610.4 Land Use Planning											
610.4 FY 2018 STP (ODOT PID #99527)	120,332	23	5,168	4,265	904						
610.5 Fiscal Impact Analysis											
610.5 FY 2018 STP (ODOT PID #99525)	28,648	24	1,186	979	207						
611.5 FY 2018 FIAM Maintenance and Startups	1,684										

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated USDA Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%	
625 Services (PL/FTA)												
625 Services												
625.2 FY 2018 CPG	95,577	35	2,729	2,252	477							
625.2 FY 2017 CPG Carryover	12,923	35	369	304	65							
665 Special Studies												
665.4 Regional Clean Air Program (FY18 ODOT PID #97785) FY 2018 ODOT CMAQ / KY SNK	10,553	32	332	274	58							
667 Rideshare Activities												
Rideshare Program (FY18 ODOT PID #97782) FY 2018 ODOT CMAQ / KY SNK	22,011	32	693	572	121							
674 Transit												
674.1 JARC (5316) FY2012	19,188	45	424				424					
674.2 New Freedom (5317) FY2012	6,351	48	133				133					
674.3 Transit Planning Activities (5310) FFY15-16	57,109	46	1,251				1,251					
674.3 Transit Planning Activities (5310) FFY13-14	45,644	46	1,000				1,000					
685 Indiana Exclusive												
685.1 Dearborn County (INDOT) FY2018 CPG	10,013	46	219			219						
685.2 Dearborn County (INDOT) FY2018 STP	10,376	26	402			402						

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated USDA Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%	
686 Kentucky Exclusive												
686.3 Transportation Planning Activities FY2018	44,083	40	1,107		1,107							
695 UPWP Administration (PL/FTA)												
695 UPWP Administration												
695.1 FY 2018 CPG	6,732	35	191	157	33							
695.1 FY 2017 CPG Carryover	917	35	26	21	5							
697 Trans Program Reporting (PL/FTA)												
697 Transportation Program Reporting												
697.1 FY 2018 CPG	5,208	37	141	116	25							
697.1 FY 2017 CPG Carryover	259	37	7	6	1							
720 Air Quality (PL/FTA)												
720 Mobile Source Emissions Planning												
720.1 FY 2018 CPG	14,852	34	432	356	75							
720.1 FY 2017 CPG Carryover	1,873	34	54	45	10							
674 Mass Transit Exclusive												
Southwest Ohio Regional Transit Authority (SORTA)												
Transit Authority of Northern Kentucky (TANK)												
Middletown Transit Service (MTS)												
Clermont Transportation Connection (CTC)												
Butler County Regional Transit Authority (BCRTA)												
Warren County Transit Service												
<u>TRANSPORTATION PLANNING SUB TOTAL</u>	<u>1,544,944</u>	<u>975</u>	<u>46,493</u>	<u>34,620</u>	<u>8,444</u>	<u>621</u>	<u>2,807</u>					

**OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 5 - HOURS**

Work Element	Personnel Cost (From Tbl 1)	Estimated Hourly Rate	Estimated Personnel Hours	Estimated OH Trans Personnel Hours 82.5123%	Estimated KY Trans Personnel Hours 17.4877%	Estimated IN Trans Personnel Hours 100.0000%	Estimated FTA Personnel Hours 100.0000%	Estimated USDA Personnel Hours 100.0000%	Estimated Duke Personnel Hours 100.0000%	Estimated OH Water Personnel Hours 100.0000%	Estimated OKI Personnel Hours 100.0000%
ENVIRONMENTAL PLANNING											
710 Water Quality											
710 Ohio EPA Program											
710.6 FY2018 604 (b)	16,954	19	908							908	
710.9 FY2018 Ohio General Assembly Funds	25,201	15	1,733							1,733	
710.1 FY2018 Local Funded Water Activities	16,454	23	724								724
REGIONAL PLANNING											
800 Regional Planning Activities											
800.1 FY2018 Local Funded Regional Planning Activities	17,443	22	799								799
850.5 FY2017-20 Duke Class Benefit Fund Energy Plans	69,634	14	4,847						4,847		
<u>NON-TRANSPORTATION PLANNING SUB TOTAL</u>	<u>145,686</u>	<u>92</u>	<u>9,011</u>						<u>4,847</u>	<u>2,641</u>	<u>1,523</u>
FY 2018 Totals	<u>1,690,630</u>	<u>1,068</u>	<u>55,503</u>	<u>34,620</u>	<u>8,444</u>	<u>621</u>	<u>2,807</u>		<u>4,847</u>	<u>2,641</u>	<u>1,523</u>

OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
 FY 2018 UNIFIED PLANNING WORK PROGRAM
 TABLE 6 - SUMMARY BUDGET

OKI Fiscal Year 2018 UPWP Funding Distribution

Work Element	UPWP submitted 2-28-17 Summary -Budget	FY	I Hours	OH PL	OH State	OH STP	OH CMAQ	KY PL	KY State	KY SNK	KY FTA	IN PL	IN STP	FTA Federal	Duke Local	OEPA Federal	OEPA State	OKI Local	cash sub-total	OH Credit	KY CS Match	Element Total
601.1	PL-Short Range Planning	17	67	\$ 8,905	\$ 1,113													\$ 1,113	\$ 11,131			\$ 11,131
601.1	PL-Short Range Planning	18	1,058	\$ 67,330	\$ 8,416			\$ 12,279	\$ 767		\$ 3,719							\$ 11,649	\$ 104,161			\$ 104,161
602.1	PL-TIP	17	143	\$ 12,046	\$ 1,506													\$ 1,506	\$ 15,058			\$ 15,058
602.1	PL-TIP	18	1,109	\$ 91,754	\$ 11,469			\$ 16,694	\$ 1,044		\$ 5,057							\$ 15,864	\$ 141,882			\$ 141,882
605.1	PL-Continuing Planning Surveillance	17	1,698	\$ 121,662	\$ 15,208													\$ 15,208	\$ 152,078			\$ 152,078
605.1	PL-Continuing Planning Surveillance	18	16,748	\$1,199,315	\$ 149,914			\$211,271	\$13,204		\$ 63,993							\$ 205,527	\$1,843,225			\$ 1,843,225
610.1	PL-Transportation Plan	17	931	\$ 72,679	\$ 9,085													\$ 9,085	\$ 90,849			\$ 90,849
610.1	PL-Transportation Plan	18	8,874	\$ 714,226	\$ 89,278			\$126,206	\$ 7,887		\$ 38,227							\$ 122,498	\$1,098,323			\$ 1,098,323
625.2	PL-Services	17	369	\$ 26,823	\$ 3,353													\$ 3,353	\$ 33,529			\$ 33,529
625.2	PL-Services	18	2,729	\$ 189,448	\$ 23,681			\$ 34,732	\$ 2,171		\$ 10,520							\$ 32,823	\$ 293,376			\$ 293,376
685.1	PL-Dearborn County (INDOT)	18	219										\$25,000					\$ 6,250	\$ 31,250			\$ 31,250
686.2	PL-KY Exclusive Boone Co Study	18	0					\$148,576	\$ -		\$ -							\$ 37,144	\$ 185,720			\$ 185,720
686.3	PL-Transportation Planning Activities	18	1,107					\$ 85,263	\$ 5,329		\$ 25,826							\$ 22,443	\$ 138,862			\$ 138,862
695.1	PL-UPWP	17	26	\$ 1,823	\$ 228													\$ 228	\$ 2,279			\$ 2,279
695.1	PL-UPWP	18	191	\$ 13,268	\$ 1,658			\$ 2,429	\$ 151		\$ 736							\$ 2,298	\$ 20,540			\$ 20,540
697.1	PL-Transportation Program	17	7	\$ 516	\$ 64													\$ 64	\$ 645			\$ 645
697.1	PL-Transportation Program	18	141	\$ 10,231	\$ 1,279			\$ 1,729	\$ 108		\$ 524							\$ 1,734	\$ 15,605			\$ 15,605
720.1	PL-Mobile Source Emissions	17	54	\$ 3,723	\$ 465													\$ 465	\$ 4,654			\$ 4,654
720.1	PL-Mobile Source Emissions	18	432	\$ 29,704	\$ 3,713			\$ 5,396	\$ 337		\$ 1,635							\$ 5,133	\$ 45,918			\$ 45,918
674.1	JARC	12	424											\$ 57,519				\$ -	\$ 57,519			\$ 57,519
674.2	New Freedom	12	133											\$ 19,105				\$ -	\$ 19,105			\$ 19,105
674.4	New Freedom Pass Thru	13												\$ 42,605				\$ -	\$ 42,605			\$ 42,605
674.3	Transit Planning Activities (5310)	15-16	1,251											\$269,804				\$ -	\$ 269,804			\$ 269,804
674.3	Transit Planning Activities (5310)	13-14	1,000											\$202,835				\$ -	\$ 202,835			\$ 202,835
610.4	LRP-Land Use	18	5,168			\$309,049			\$ 52,400									\$ 13,100	\$ 374,549	\$ -		\$ 374,549
610.5	Fiscal Impact Analysis Model	18	1,186			\$119,725			\$ 20,300									\$ 5,075	\$ 145,100	\$ -		\$ 145,100
611.5	FIAM Maintenance and Startups	18																\$ 7,000	\$ 7,000			\$ 7,000
685.2	Dearborn County (INDOT)	18	402										\$ 25,000					\$ 6,250	\$ 31,250			\$ 31,250
665.4	Regional Clean Air Program	18	332				\$192,981		\$ 40,900									\$ -	\$ 233,881	\$ -	\$ 10,225	\$ 244,106
667.1	Rideshare Program	18	693				\$171,749		\$ 36,400									\$ -	\$ 208,149	\$ 9,100		\$ 217,249
710.6	OEPA 604b Program	18	908													\$50,667		\$ 1,200	\$ 51,867			\$ 51,867
710.9	OH General Assembly Funds	18	1,733														\$75,000	\$ 100	\$ 75,100			\$ 75,100
710.1	Local Funded Water Activities	18	724															\$ 58,302	\$ 58,302			\$ 58,302
800.1	Local Funded Regional Planning	18	799															\$ 52,459	\$ 52,459			\$ 52,459
850.5	Duke Class Benefit Fund Energy Pla	17	4,847												\$471,009			\$ -	\$ 471,009			\$ 471,009
	Total UPWP		55,503	\$2,563,455	\$ 320,432	\$428,774	\$364,729	\$644,577	\$31,000	\$150,000	\$150,236	\$25,000	\$ 25,000	\$591,868	\$471,009	\$50,667	\$75,000	\$ 637,871	\$6,529,618	\$ -	\$ 19,325	\$ 6,548,943

Table 7 - Transit Budget

SORTA									
Planning Activity	5307 FTA	5309 FTA	Federal 5339 FTA	CMAQ	STP	State	Local	Total	Completion Date
Northside Transit Center Environmental							\$ 65,000	\$ 65,000	4/30/2015
Northside Transit Center Design/Engineering							\$ 345,000	\$ 345,000	5/30/2016
Northside Transit Center Property Acquisition				\$ 750,000			\$ 250,000	\$ 1,000,000	5/1/2016
Walnut Hills environmental							\$ 10,000	\$ 10,000	2/1/2016
Walnut Hills Design and Engineering							\$ 190,000	\$ 190,000	5/30/2016
Walnut Hills P&R Hub					\$ 960,000		\$ 240,000	\$ 1,200,000	5/30/2018
Oakley environmental							\$ 10,000	\$ 10,000	2/1/2016
Oakley Design/Engineering							\$ 190,000	\$ 190,000	3/1/2016
Oakley P&R Hub					\$ 1,200,000		\$ 300,000	\$ 1,500,000	3/1/2018
Transit Authority of Northern Kentucky (TANK)									
Planning Activity	5307 FTA	5316 FTA	Federal 5317 FTA	CMAQ	STP	State	Local	Total	Completion Date
Real-Time Transit Data	\$129,317					\$32,330		\$161,647	6/30/2018
Bus on Shoulder - implementation	\$4,000					\$1,000		\$5,000	6/30/2018
Middletown Transit Service (MTS)									
Planning Activity	5307 FTA	5316 FTA	Federal 5317 FTA	CMAQ	STP	State	Local	Total	Completion Date
Short range Planning Activities							\$ 10,000.00	\$ 10,000.00	6/30/2018
Clermont Transportation Connection (CTC)									
Planning Activity	5307 FTA	5316 FTA	Federal 5317 FTA	CMAQ	STP	State	Local	Total	Completion Date
No Planning Activities in FY2017	\$0						\$0	\$0	6/30/2018
Butler County Regional Transit Authority (BCRTA)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
Advocate coordinated approach							\$ 4,000	\$ 4,000	6/30/2018
Improve Butler Co. urban center connections							\$ 3,000	\$ 3,000	6/30/2018
Technology improvements							\$ 6,000	\$ 6,000	6/30/2018
demographic, demand, and opinion studies - software & consultation	\$ 20,000						\$ 5,000	\$ 25,000	6/30/2018
Analyze allocation of resources							\$ 1,000	\$ 1,000	6/30/2018
Participation in regional planning							\$ 3,000	\$ 3,000	6/30/2018
Update practices and plans							\$ 2,000	\$ 2,000	6/30/2018
Infrastructure Concepts Planning and Siting Evaluation	\$ 24,800						\$ 6,200	\$ 31,000	6/30/2018
Warren County Transit Service (WCTS)									
Planning Activity	Federal					State	Local	Total	Completion Date
	5307 FTA	5316 FTA	5317 FTA	CMAQ	STP				
No Planning Activities in FY2017	\$0						\$0	\$0	6/30/2018