

BOARD OF DIRECTORS MEETING

**OCTOBER 13, 2011
10:30 A.M.**

**OKI REGIONAL COUNCIL OF GOVERNMENTS
720 EAST PETE ROSE WAY
SUITE 420
CINCINNATI, OHIO 45202
WEBSITE: WWW.OKI.ORG
E-MAIL: PLAN@OKI.ORG
EXHIBITS**

EXHIBIT 1-B

SEPTEMBER MEETING MINUTES



Ohio · Kentucky · Indiana
Regional Council of Governments

**MEETING MINUTES OF THE
EXECUTIVE COMMITTEE
OF THE
OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS**

SEPTEMBER 8, 2011

10:30 A.M. – OKI BOARD ROOM

- Call to Order

President Humphrey, noting there was a quorum, called the meeting to order at 10:40 a.m. with the following members in attendance:

EXECUTIVE COMMITTEE MEMBERS

Mr. Ed Humphrey, Clermont County Board of Commissioners, President
Judge Gary Moore, Boone County Fiscal Court
Judge Steve Pendery, Campbell County Fiscal Court, Past President
Mr. Todd Portune, Hamilton County Board of Commissioners
Mr. Michael Moore, alternate for Ms. Qualls, City of Cincinnati
Ms. Sherry Carran, Covington, Kentucky
Mr. Robert Brown, Hamilton, Ohio
Mr. Larry P. Mulligan, Middletown, Ohio
Ms. Beth Fennell, Newport, Kentucky
Mr. Kenneth Bogard, Oxford, Ohio
Mr. James T. O'Reilly, Wyoming, Ohio
Ms. Peggy D. Reis, Anderson Township
Mr. David Linnenberg, Green Township
Mr. Robert McGee, Union Township
Ms. Catherine Stoker, West Chester Township
Ms. Christine Maticic, Butler County Association of Township Trustees & Clerks
Mr. Karl Schultz, Clermont County Township Association
Mr. Michael Juengling, Butler County Planning Commission
Mr. William Brayshaw, Hamilton County Engineer
Mr. Jim Ude, Indiana Department of Transportation
Mr. Robert Hans, Kentucky Transportation Cabinet
Mr. Butch Gaut (alternate for Ms. Crews), Southwest Ohio Regional Transit Authority
Mr. Brad Williams, Butler County Regional Transit Authority
Mr. Kenneth F. Reed, Resident Member, Treasurer

Edwin H. Humphrey
President

Mark R. Policinski
Executive Director

BOARD OF DIRECTORS

Mr. Tony Proctor, Deer Park, Ohio
Mr. Randy Shank, Harrison, Ohio
Mr. Christopher Reinersman, Independence, Kentucky
Mr. Rick Brasington, Madeira, Ohio
Ms. Charlene Hanners, Milford, Ohio
Mr. Chris Brown (representing Mr. Moore), Norwood, Ohio
Mr. Dwayne Boso, Clermont County Planning Commission
Mr. Mark McCormack, Dearborn County Planning Commission
Mr. Paul Darpel, Kenton County Planning Commission
Mr. Dennis Andrew Gordon, Northern Kentucky Area Planning Commission
Mr. Stan Williams, Warren County Regional Planning Commission
Mr. Timothy Bachman, Fairfield (City) Planning Commission
Mr. Neil Tunison, Warren County Engineer
Ms. Gena Bell (representing Mr. Monzel), Hamilton County Board of Commissioners
Mr. V. Anthony Simms-Howell, Ohio Commission on Hispanic/Latino Affairs
Mr. Tom Ewing (representing Ms. van der Horst), Cincinnati USA Regional Chamber
Mr. Tom Voss, DHL

GUESTS

Mr. Drew Tilow, NKADD
Mr. Jeff Wallace, Parsons Brinckerhoff
Mr. John Russell, Village of Newtown
Mr. Andy Fluegemann, ODOT-District 8
Mr. Gordon Perry, Blue Ash
Mr. Skip Schulte, Citizen

LEGAL COUNSEL

Mr. Edward Diller, Taft, Stettinius & Hollister

STAFF

Mr. Mark R. Policinski	Mr. Robert W. Koehler	Ms. Marilyn F. Osborne
Ms. Karen Whitaker	Ms. Purcy Nance	Mr. Brian Cunningham
Mr. Travis Miller	Mr. Mark Paine	Ms. Nicole Kudrna
Ms. Margo Lindahl	Ms. Emi Randall	Ms. Robyn Bancroft
Mr. John Heilman	Ms. Jane Wittke	Ms. Sarah Fry
Mr. Andy Reser	Mr. Don Burrell	Mr. Bruce Koehler
Mr. Aaron Crary	Mr. Andrew Rohne	Ms. Regina Brock

- Announcements

President Humphrey reminded everyone to sign in for attendance purposes. He also reminded everyone that this is an Executive Committee Meeting and that Executive Committee members or alternates can vote, Board members cannot vote.

ITEM #1: ADMINISTRATIVE

A. President's Report

- President Humphrey presented a slide regarding older drivers. He explained that with the Baby Boomers entering their senior years, the number of persons 65 and older in the OKI region will increase 80%, from 223,000 to over 400,000 between 2005 and 2040. He stated that the Boomers are accustomed to driving and expect to continue to do so as long as they can. This presents a whole new challenge for transportation planners who are faced with keeping a multitude of older drivers safe and providing mobility options for older non-drivers.

- Election of Alternate to the OKI Executive Committee

President Humphrey stated that Terry Garcia Crews, with the Southwest Ohio Regional Transit Authority (SORTA), has requested that Butch Gaut serve as her alternate on the OKI Executive Committee for 2011.

Mr. Reed moved to elect Mr. Gaut as Ms. Crews' alternate for 2011. Mr. Schultz seconded the motion; motion carried.

B. Approval of August 11, 2011 Minutes of the Executive Committee Meeting

President Humphrey called for corrections and/or additions to the August 11, 2011 Minutes of the Executive Committee Meeting.

There being none, Ms. Matacic moved that the August 11, 2011 Minutes of the Executive Committee Meeting be approved as mailed. Mr. Brayshaw seconded the motion; motion carried.

C. Executive Director's Report

Mr. Policinski reported that OKI has switched from Hukill Hazlett Harrington Agency to Sherrill Morgan as our insurance broker. He explained that there were no issues with the current broker, but the insurance committee made the decision to make the change.

Mr. Policinski reported that they now have specific RIIZ language. He stated that RIIZs have support from organizations across the country and that support was received by

having core principles. Mr. Policinski explained that Congressman Geoff Davis' office is handling the search for cosponsors and is optimistic in getting broad support. It is anticipated that a bill will be introduced in the near future in the House and Senate.

Mr. Policinski stated that distributed around the table is a copy of a letter from St. Vincent de Paul to Mary Luebbers describing what a great help she was to their agency. Mr. Policinski explained that Ms. Luebbers is OKI's current Employee of the Year and this is another example of her fine work. The Executive Committee recognized Ms. Luebbers with a round of applause.

Mr. Policinski explained that OKI recently received a request from ODOT Central Office asking that the regions prioritize their projects for TRAC. The reason for this prioritization is the lack of state funding. Mr. Policinski explained that OKI will be pulling together a working group. In addition to including District 8 and the Chamber, as requested by ODOT, he would like to include the TIDs. He stated that the listing will not only include the project prioritization, but will also identify a funding source. Mr. Policinski stated that he will work with Mr. Koehler and call a meeting rather quickly. The deadline for sending the listing to ODOT is November 1, so it is believed it will need to be approved by the Board at the October meeting. Mr. Policinski pointed out that this listing will only include projects in Ohio, although representatives from other states are more than welcome to attend the meeting. Similar listings have been provided to Kentucky for the past several years, although not as formally as this.

D. Legislative Update

Mr. Cunningham, staff, reported on the Legislative Affairs update. He said that today U.S. Senator Boxer, chair of the Senate Environment and Public Works Committee is expected to offer a four-month extension through the end of January. Mr. Cunningham said Senator Boxer will propose that the Highway Trust Fund programs be continued at their current levels and with no policy changes. He said that if the extension runs through the end of January that the action would carry the public transit and surface highway debate past the time period when the new congressional "Super Committee" is to craft another round of deficit cuts.

Mr. Cunningham also said the Senate Environment and Public Works Committee is expected to begin meeting on September 15 to take up a two-year, \$109 billion federal transportation bill.

Mr. Cunningham added that last week House Transportation Chairman John Mica issued a statement that said the congressman would agree to one additional highway program extension.

E. Finance Officer's Report

Ms. Nance, staff, stated that distributed around the table is the Finance Officer's Report dated September 8, 2011. She stated this report is on the financial statements for the period ended June 30, 2011 and includes current cash information.

Ms. Nance stated that on page 2 is the current information. She stated that as of September 1 OKI had \$183,426 in the PNC checking account, \$3,610 in the HSA/FSA checking account, and \$760,073 in the PNC savings account. She stated that there has been no recent activity on OKI's line of credit and there is no outstanding balance at report date.

Ms. Nance stated that on page 3 is the Balance sheet as of June 30. She stated that Cash and Investments are down 3% from this time last year. She stated that Receivables are up 21% from this time last year due to activities associated with the New Freedom pass through projects, the Transit On Board Survey, and the Regional Freight Study. She stated that as of June 30 there were \$982,000 in receivables, all associated with May and June invoices and nothing outstanding from April and earlier. She further stated that payables are up about 101% or \$126,000 from this time last year due to activities associated with the Regional Freight Study, Transit on Board Survey and the New Freedom pass through projects.

Ms. Nance stated that on page 4 is the Revenue information. She stated that as of June 30, OKI is 100% of the way through the budgeted year. She stated that overall revenues are at 90% which is on budget.

Ms. Nance noted the following items: Federal revenues are behind budget due to timing of New Freedom pass through projects and Contributed Services are ahead of budget due to the over-match received by the Clean Air and Rideshare Programs. She stated that this match is non-cash. A line has been added to the report to show fiscal year to date over-match received. She stated that this amount is deducted so the total line represents project budget progress.

Ms. Nance stated that on page 5 is the Expense information. She stated that overall expenses are at 90% which is on budget.

Ms. Nance noted the following items: Category 3, Travel is behind budget due to the Fiscal Impact Analysis Model and Water projects having fewer meetings outside of the office than originally budgeted. Professional Development is behind budget due to several budgeted trips not being taken and savings experienced on trips that were taken. Under category 4, Professional Services is behind budget due to the efficiencies experienced with the FIAM software maintenance provider. Pass Through Contracts is behind budget due to the timing of Eastern Corridor and New Freedom pass through funded projects. Under category 6, Contributed Services are ahead of budget due to the over-match received by the Clean Air

and Rideshare Programs. These amounts are deducted so the total line represents project budget progress.

Ms. Nance stated that on page 6 is the General Fund Balance information. She stated that the General Fund Balance has had a net decrease of approximately \$59,000 year to date resulting in a year end fund balance of \$1,161,000—of this amount, \$310,000 is committed to active projects. She stated that during FY11, \$168,000 was used to match FY10 projects carrying into FY11, resulting in a \$109,000 increase in fund balance associated with FY11 projects. Of the \$109,000 gross increase in fund balance, we will need \$101,000 to match FY11 projects carrying into FY12. After all FY11 projects are completed, we anticipate a fund balance increase of \$8,000 from FY11 activities.

There being no discussion, Mr. Reed moved that the Executive Committee accept and file the Finance Officer's Report dated August 11, 2011. Mr. Brayshaw seconded the motion; motion carried.

F. Budget Committee Report

Mr. Reed, OKI Treasurer, reported that the Budget Committee met prior to this meeting and has two items for consideration. He stated that both items are the culmination of a year's worth of work by the committee.

- Update on OKI Lease

Mr. Policinski reported that staff has looked at facilities on both sides of the river and CBD and has concluded that the best option is to stay in our current location. It was determined that the current rent is above market so he went to the landlord who has agreed to a reduction of \$3/square foot and to a freeze on the rent for eight years. Mr. Policinski explained that with an estimate of 4% inflation, by reducing the current rent and freezing the rate, it is estimated that the agency will save over \$1.1 million.

Mr. Policinski stated that distributed around the table is a copy of a Resolution authorizing the Executive Director to finalize negotiations regarding the lease extension. He explained that he would like to have this complete by October 1, as the new rate will save the agency \$5,600 per month.

Mr. Reed explained that the Budget Committee is bringing this Resolution before the Executive Committee today, however it is an issue that they have been looking at for the past year and a half. He stated that the Budget Committee and staff have done due diligence and he commended staff for their work. Mr. Reed stated that the Budget Committee took action and recommends approval of the Resolution.

Mr. Reed moved that the Executive Committee approve Resolution OKI 2011-28 regarding authorization for the Executive Director to enter into an extension of the lease agreement with the Sawyer Point Building which reads as follows:

RESOLVED, that the Executive Committee of the Ohio-Kentucky-Indiana Regional Council of Governments, at their regular scheduled meeting of September 8, 2011, authorizes the Executive Director to execute, on behalf of the Ohio-Kentucky-Indiana Regional Council of Governments, a ten (10) year extension of a lease agreement with the Sawyer Point Building at 720 East Pete Rose Way, Cincinnati, Ohio, 45202. The extension will reflect a reduction in the current rent payment, a freeze on rent payment increases for (7) seven years, allow the landlord use of the OKI boardroom, provide an option to terminate the agreement nine (9) years after the beginning of the extension and other provisions that are minor in nature. The Executive Director is urged to complete negotiations, such that, the extension will start on October 1, 2011.

Mr. Brayshaw seconded the motion; motion carried.

- Executive Director's Contract

Mr. Reed explained that for the past year, the Budget Committee has been looking into extending the Executive Director's contract, which currently expires on November 10. Mr. Reed stated that the Budget Committee is in complete agreement. He explained that a subcommittee was established last year, led by Judge Pendery, to come up with a recommendation.

Judge Pendery reported that they looked at the current market around the country with peer organizations and with leadership in the OKI region. He stated that they did their due diligence in their peer review. He stated that retirement is a major difference in compensation packages. The compensation packages of other directors contain public pensions, which OKI does not have. Under IRS regulations, there is a limit on contributions that can be made so it is not possible to deliver a competitive compensation package for Mr. Policinski by putting more money toward his retirement.

Judge Pendery stated that we are in a time when raises are not seen on the salary side but the only way to provide for the retirement is through salary. Judge Pendery proposed to increase Mr. Policinski's base salary by \$15,000 and extend his contract by six years. Judge Pendery stated that it is also proposed a change to Mr. Policinski's car allowance. He explained that the intent of the car allowance is to cover insurance, gas and vehicle costs. The current rate has not been changed since 2003, so it is recommended that there be an increase of \$200 per month. Judge Pendery stated that it is also proposed to increase the cap on Mr. Policinski's unused vacation hours from 350 to 650 hours. He stated that these three items are the only three changes to the current contract.

Mr. Bachman asked what Mr. Policinski's current car allowance. Judge Pendery stated that the current allowance is \$525 per month.

Mr. Linnenberg asked whether there is a reason that this item cannot be acted on in October. Judge Pendery explained that the contract needs to be renewed by November. He stated that the Budget Committee is satisfied that this is the place they need to be with the contract.

Mr. Procter asked the amount of vacation hours Mr. Policinski receives. Judge Pendery explained that Mr. Policinski receives five weeks of vacation every year. If the time is not used, the hours can be banked.

Ms. Stoker asked Mr. Policinski's current salary. Judge Pendery stated that it is currently \$173,183 and they are proposing a \$15,000 increase. Ms. Stoker questioned what the percentage of increase would be. Judge Pendery stated that it would be approximately 8%.

Judge Moore stated that the Budget Committee saw the package in its final form this morning. This information was an accumulation of data over a number of months. He commented that the Budget Committee was comfortable with the recommendations and unanimously approved them. Judge Moore explained that a key point is that if OKI were part of a public pension such as PERS, the committee would not be seeing this recommendation today, but because of the IRS limits, this is the only way to compensate Mr. Policinski in line with his peers in the region and with other organizations across the country.

Ms. Fennell asked what the estimated cost to the agency per year and the cost for the full contract. Judge Pendery stated that the increase per year is \$17,400. The additional 300 hours of vacation will only be a cost to the agency if Mr. Policinski uses them.

Mr. Simms-Howell stated that OKI is a quasi government agency. He commented that if it were a private group he would be well compensated. He stated that Mr. Policinski should be paid according to the demands in the market. He stated that he wants to keep Mr. Policinski at OKI and supports the Budget Committee recommendation.

Ms. Stoker stated that her township administrator does a great job but is making less. She agreed that Mr. Policinski is doing a great job, but stated that she has to go back and face her employees who are not getting an increase. She stated that it is hard for her to justify the increase. Judge Pendery stated that it is justified by the comparison with peer organizations. He stated that the average salary of regional leadership positions such as the Cincinnati Chamber, Museum Center, Port Authority and Boy Scouts is \$230,000. He reiterated that if they were able to put more money into Mr. Policinski's retirement they would do that. In order to pay for a retirement comparable to his peers, the only way to do

it is add the increase to his salary. He stated that other folks are getting pensions but Mr. Policinski is capped out on the amount that can be contributed to his retirement.

Ms. Carran stated that Mr. Policinski is doing an excellent job and is also representing the region well in DC and bringing funds into the region. She stated that she supports the recommendation.

Ms. Maticic described how the organization has evolved under Mr. Policinski's leadership. She stated that it is one to be proud of. She pointed out the need to look at the total picture. She commented that they just approved a contract for a new lease that is saving over \$1 million on rent. Ms. Maticic also commented on the need to look at the cost it would take to replace Mr. Policinski. Based on the comparables, it would take a higher salary. She stated that under Mr. Policinski's leadership, the agency has won national and international awards for projects. She stated that OKI is looked at as an example of how projects are done. Ms. Maticic stated that Mr. Policinski will not receive the public pension some in the public sector enjoy which makes a comparison apples and oranges.

Mr. Brayshaw, commented that as a senior member of the organization that he remembers the first Executive Director and several since him. He stated that the organization has a winner and should keep him. He expressed his support for the compensation package.

Mr. Humphrey pointed out that the agency's attorney fees have decreased \$40,000 per year since Mr. Policinski has been Executive Director.

Ms. Stoker stated that she hopes no one will interpret that she does not have the highest respect for Mr. Policinski, but she is challenged as to how she will face her employees. She questioned whether insurance is included in the package. Mr. Humphrey explained that Mr. Policinski's health insurance is the same as other OKI employees and does not continue after he retires, unlike others in the public sector.

Mr. Bogard stated that based on the research conducted by the Budget Committee and the Executive Director's performance, he supports the recommendation.

Mr. Bogard moved that the Executive Committee accept the recommendation of the Budget Committee and approve Resolution 2011-29 regarding the Executive Director's contract which reads as follows:

RESOLVED, that the Executive Committee of the Ohio-Kentucky-Indiana Regional Council of Governments, at their regular scheduled meeting of September 8, 2011, authorizes the President to execute, on behalf of the Ohio-Kentucky-Indiana Regional Council of Governments, a six (6) year extension of the current Employment Contract with Mark R. Policinski for the position of Executive Director and Chief Executive Officer. Such extension to be on the same terms and conditions as the current Employment Contract

with those changes presented to the Budget Committee on this date, which changes provide for a base annual salary of \$188,183, with CPI increases, an acceleration by Mr. Policinski of the recognition of his 457(f) deferred income, an increase by \$200 per month to the existing car allowance and an increase by 300 hours in the vacation hours that Mr. Policinski may accumulate.

Mr. O'Reilly seconded the motion; motion carried with one opposed.

ITEM #2: OKI 2040 REGIONAL TRANSPORTATION PLAN UPDATE

Mr. Miller, staff, reported that OKI is ahead of most other regions in regard to environmental consultants. He provided information regarding OKI's Strategic Regional Policy Plan. He pointed out that the region's population is projected to increase 22% to 2.4 million, employment is projected to increase 23% to 1.2 million jobs, and the number of households is projected to increase 25% to 970,000.

Mr. Miller displayed a map outlining existing land use, which is based on 2008-2011 county data and also maps outlining 2040 projections.

Ms. Randall, staff, discussed 2005 housing density and projected density. She reported that in 2040, the majority of the region will still have less than one dwelling on every five acres, which is a very low density. She pointed out that increasing household densities are projected for portions of every county in the region. Large areas of the region will increase density over the 35-year period, expanding further outside the I-275 loop.

Ms. Lindahl, staff, discussed how environmental resources are becoming more important in transportation planning. She also discussed OKI's recent consultations and what came out of that process. She explained that consultations are discussions with state and local agencies for considering the transportation plan's effects on environmental resources and how negative and costly effects can be avoided. Ms. Lindahl explained that the consultations take place before projects are recommended and can consider the development-related impacts of projects and the impacts on high-quality natural resources that may require mitigation. Consultations provide opportunity to reduce impacts through local decisions on how to improve transportation and how to guide and manage development.

Ms. Lindahl reviewed the categories of regionally significant environmental resources:

- State-Conserved Area: State parks, wildlife areas, and preserves
- Scenic River (national and state designation)
- Regionally Significant Streams
- Endangered, Threatened, and rare species
- Wetlands

Ms. Lindahl explained that in the consultation sessions, agencies identified transportation projects with potentially adverse impacts and also projects with the potential to fix environmental problems or to include stormwater infrastructure that can avoid costly impacts later on. Local agencies advocated for highway projects to include effective stormwater management technologies, avoid stream impairments that will result in future public expenditures and capitalize on opportunity to maintain or restore stream corridors by maintaining tree cover and day-lighted streams and using bridge spans that provide connectivity below.

Ms. Lindahl stated that most of the discussions with local agencies involved considering state agencies' concerns and suggestions for environmental protection. That discussion is summarized in a report which will soon be posted on OKI's website.

Ms. Lindahl summarized that the state agencies' major concerns are that:

- Forested tracts remain
- Stream corridors be conserved
- Roadway runoff be diverted from direct entry into streams
- Streams not yet degraded be protected
- The growth of impervious surface be constrained

Ms. Lindahl reported that OKI's next consultations will occur early in 2012, after transportation improvements have been proposed for inclusion in the plan. The consultations will update information that indicates the environmental results of past decision, and the choices to be made in the future.

Ms. Lindahl provided the comment from one local agency, "Protection is in the interest of the pocketbook. The cost of replacing or restoring natural resources should make it a no-brainer to see the need to protect them."

This item was presented for information only.

ITEM #3: REPORT ON THE STATUS OF THE APPLICATION TO THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT FOR THE SUSTAINABLE COMMUNITIES REGIONAL PLANNING GRANT PROGRAM

Mr. Miller, staff, provided an update on the status of the HUD Regional Sustainable Communities Grant Program proposal.

Mr. Miller explained that the grant program will allow recipients to apply in-kind service for the local match. This could save OKI \$1 million by using partnerships as a match. The funding would enable a comprehensive update of the Strategic Regional Policy Plan. It would provide an opportunity for important partnership efforts across the region, including

the development of a regional transit plan, a regional greenspace plan and a regional analysis of housing needs and opportunities. The program will provide the ability to meet the program requirements without sacrificing OKI's guiding principle of ensuring local control of land use planning activities. He explained that the OKI Board would be the adopting authority of the regional plan. An advisory committee has been formulated with seats based on geography as well as topical. They are acting as a sounding board to staff preparing the proposal. Working groups are also convening to discuss and provide input on each topic area.

Mr. Miller reported that the deadline for submittal is October 6. The timeline for project is three years.

This item was presented for information only.

ITEM #4: INTERMODAL COORDINATING COMMITTEE REPORT

Mr. Paine, staff, stated that the ICC met on Tuesday, September 6, 2011. He reported that the committee heard the update of the 2040 Regional Transportation Plan and the status of the application to HUD for the sustainable communities planning grant program. Mr. Paine stated that the committee reviewed and recommends approval of four resolutions.

A. Amendment #3 of the FY 2012-2015 Transportation Improvement Program

Mr. Paine, staff, stated that the amendment reflected in the proposed resolution lists 14 highway projects in Ohio, three line item projects in Ohio, one highway project in Kentucky and one highway project in Indiana that are recommended for addition or revision in the current TIP. Mr. Paine pointed out that the amendment includes a \$49 million cost estimate, up from a \$5.2 million estimate for a pavement rehabilitation project on I-471 from the Ohio River to US 27 and in Indiana includes additional funding for the George Street Bridge project.

Mr. O'Reilly moved that the Executive Committee approve Resolution OKI 2011-24 concerning Amendment #3 of the Fiscal Years 2012-2015 Transportation Improvement Program. Mr. Reed seconded the motion; motion carried.

B. Selection of Projects to be Funded with Job Access Reverse Commute (Section 5316) and New Freedom (Section 5317) Federal Funds Allocated to the Cincinnati Urbanized Area

Mr. Paine, staff, provided information on this year's solicitation and review of applications for Job Access and Reverse Commute (JARC) and New Freedom federal funds. He explained that the JARC program has been around since 2000 and was first established under TEA-21 and continued with SAFETEA-LU. The New Freedom program was first

established with SAFETEA-LU in 2005. Both programs have specific goals and performance measures and target specific populations.

Mr. Paine explained that OKI was named the Designated Recipient for JARC and New Freedom federal funds by the Governors of Ohio and Kentucky in 2006 and is responsible for administering these programs in the Cincinnati urbanized area.

Mr. Paine stated that the goal of the JARC program is to help improve transportation services for welfare recipients and low-income individuals to access employment and employment-related activities such as job training and education. The goal of the New Freedom Program is to provide new transportation programs to overcome existing barriers for persons with disabilities and remove barriers to transportation, including transportation to and from jobs and employment services. He explained that projects must support new transportation services beyond those required by ADA and must not have been in operation prior to August 10, 2005—the date SAFETEA-LU was enacted.

Mr. Paine reviewed the scoring criteria developed by the Oversight Team and approved by the OKI Board in 2007 and the listing of eleven agencies represented on the JARC/New Freedom Oversight Team.

Mr. Paine stated that three applications were received for JARC funding. The total requests were about \$1.5 million with about \$1.3 million in available funds. The JARC/New Freedom Oversight Team met on August 2 and reviewed the JARC and New Freedom Applications. The following are the scores that were awarded by the Oversight Team for the JARC applications:

SORTA	73 points
Everybody Rides Metro (ERM) – Voucher Program	73 points
BCRTA – Job Shuttle Program	70 points

Mr. Paine explained that since the scores were so close, the committee agreed with staff recommendations to use a formula based on the requested amount as opposed to the available amount to come up with a recommended funding amount.

Mr. Paine reviewed the JARC funding recommendations:

	Requested	Recommended
SORTA	\$ 153,863	\$ 134,339
ERM	\$ 660,000	\$ 580,682
BCRTA	<u>\$ 700,000</u>	<u>\$ 620,000</u>
	\$1,513,863	\$1,335,021

Mr. Paine explained that there were six applications for New Freedom funding. The total requests were about \$1,541,160 with \$888,000 in available funds. He stated that the New Freedom projects also scored very closely:

Wesley Community Services	79 points
Senior Services of Northern Kentucky	78 points
Towne Taxi	77 points
Clovernook Center	75 points
Northern Kentucky ADD	72 points
Butler County RTA Medical Shuttle	71 points

Mr. Paine stated that the Oversight Team used the same methodology to develop recommended funding amounts for the New Freedom applications as used for the JARC applications—a ratio of the amount requested versus the amount available. The Northern Kentucky Area Development District indicated that the reduced amount of funding would not be sufficient to allow their project to move forward, but requested that those funds (about \$27,000) be allocated to the Senior Services of Northern Kentucky to keep those funds in the Northern Kentucky area. The Oversight Team agreed with this request.

Mr. Paine reviewed the New Freedom funding recommendations:

	Requested	Recommended
Wesley	\$ 299,840	\$172,809
SSNK	\$ 420,000	\$268,050
Towne Taxi	\$ 195,000	\$112,945
Clovernook	\$ 79,680	\$ 47,097
N KY ADD	\$ 46,640	\$ 0
BCRTA Medical	<u>\$ 500,000</u>	<u>\$287,099</u>
	\$1,541,160	\$888,000

Mr. Portune moved that the Executive Committee approve Resolution OKI 2011-25 concerning the selection of projects to be funded with JARC/New Freedom federal funds allocated to the Cincinnati urbanized area. Mr. Reed seconded the motion; motion carried.

C. FY 2011 Transportation Planning Year End Performance and Expenditure Report

Mr. Paine, staff, explained that every year, OKI is required to submit a Performance and Expenditure Report to the states, Federal Highway Administration and the Federal Transit Administration outlining the progress made with the work elements contained in the fiscal year's Unified Planning Work Program (UPWP). The report ensures that the OKI Executive Committee and Board are aware of the progress made, the funds expended and the products delivered during the fiscal year. A copy of the UPWP was provided in the meeting packet.

Mr. Paine explained that prior to adoption of the UPWP each year, Bob Koehler reviews the work elements to be undertaken in the upcoming years, then at the end of the year, he reviews the work that was completed.

Mr. Brayshaw moved that the Executive Committee approve Resolution OKI 2011-26 accepting the FY 2011 Transportation Planning Year End Performance and Expenditure Report. Mr. Bachman seconded the motion; motion carried.

D. OKI 2011 TRAC Rankings

Mr. Koehler, staff, explained that TRAC is the mechanism ODOT uses to compare and contrast "major new" projects. TRAC has asked OKI to review and rank the 13 projects that sponsors have submitted for TRAC funding. OKI's Board-approved Project Prioritization Process was used to prioritize the projects. Mr. Koehler pointed out that this Prioritization Process has been designated as "best practices" by the FHWA. Mr. Koehler stated that the list was presented to the ICC on Tuesday. He explained that the ICC concurred with the ranking but it was noted that several Eastern Corridor projects scored near the low end. Mr. Koehler commented that all 13 of the projects are great projects which OKI has supported for many years. He stated that every chance they have had, representatives from OKI have championed the Brent Spence Bridge and the Eastern Corridor projects in Columbus and Washington and will continue to do so.

Mr. Koehler explained that the prioritization process considers 17 factors, many of them based solely on data. He explained that with this group of projects, the big contributors that tended to separate the projects are crash rates, level of service and freight volumes. The projects on I-71 and I-75 scored very high in these categories compared to those projects on SR 32. The projects on I-71 and I-75 have more positive impacts than the other projects in these categories because they have much more opportunity to improve crash rates and level of service and generally have large truck volumes.

Mr. Koehler presented the TRAC rankings:

	Score
Brent Spence Bridge Approaches	58
I-75 TTV Phase 3-8 from Paddock Rd to SR 126	52
I-71 Fields Ertel/Mason Montgomery Rd NB off ramp	51
I-71 Uptown Interchange	51
Eastern Corridor Oasis Rail Transit	51
I-75 MCE from Western Hills Viaduct to SR 562	50
I-75 MCE Phase 8 from SR 562 to SR 126	50
I-75 TTV Phase 2 from Shepherd Lane to SR 126	48
SR 32 Relocated and Multi-modal River Crossing	47
SR 32 Corridor from Glen Este to Old 74	44
SR 32 Red Bank Road Corridor	42

I-275 Interchange at SR 32 Phase 2	38
I-275 Interchange at SR 32 Phase 3	37

Mr. Koehler stated that when OKI submits these rankings to TRAC, it will be pointed out why projects that score lower are still vital to this region's future. He stated that they will reiterate that all the projects should be advanced in the TRAC process. Mr. Koehler also stated that he and Mr. Policinski will be presenting the findings to TRAC and will make this clear, in person, to Director Wray and the TRAC Board.

Mr. Koehler pointed out that a couple of factors that OKI's process is not able to fully evaluate are job creation and political and community support. He stated that he believes the Eastern Corridor projects will perform even better in the TRAC scoring process which has a detailed economic impact estimating procedure. He also stated that the regional coordination and community support are unparalleled. Mr. Koehler stated that staff will participate in the TRAC public meetings to ensure that the TRAC Board understands this.

Mr. Koehler announced that the TRAC public hearing for District 8 is October 4. The working sessions for TRAC are in November/December, the new draft TRAC list will be completed on December 15 and approved in March 2012.

Mr. Reed moved that the Executive Committee approve Resolution OKI 2011-27 concerning OKI's 2011 TRAC Rankings. Mr. Juengling seconded the motion; motion carried.

ITEM #5: CONSENT AGENDA

President Humphrey stated that each month a written report of committee activities is mailed to the Board of Directors. He stated that this mailing includes the September Consent Agenda Items.

- A. Regional Planning
 - Regional Land Use Commission
 - Regional Greenspace Office

- B. Transportation
 - Regional Freight Planning
 - Environmental Justice Advisory Committee

- C. Environmental
 - Water Quality Program

Mr. Portune moved that the Executive Committee approve the consent agenda as mailed. Mr. Brayshaw seconded the motion; motion carried.

- D. Committee announcements and/or updates.

There were no committee announcements and/or updates.

ITEM #6: OTHER BUSINESS

President Humphrey announced that the next meeting of the OKI Board of Directors is scheduled for 10:30 a.m., Thursday, October 13, 2011.

ITEM #7: ADJOURNMENT

There being no further business, Mr. Reed moved that the Executive Committee meeting be adjourned. Ms. Maticic seconded the motion; motion carried. The meeting adjourned at 12:05 p.m.

EDWIN H. HUMPHREY, PRESIDENT

MARK R. POLICINSKI, SECRETARY

KLW
Transcribed: 09/13/2011

OKI Board of Directors

01/13/2011 01/14/2011 02/10/2011 03/10/2011 04/14/2011 05/12/2011 06/09/2011 08/11/2011 09/08/2011

Terry Garcia Crews SORTA	E		R	E	R	Y	Y	E	Y	R		
David Ginsburg DCI	Y				Y			Y				
Dennis Andrew Gordon Northern Kentucky Area Plannin	Y		E	Y	Y	E	E	E	R	R		
Thomas H. Graves City of North College Hill												
Charles Graves City of Cincinnati			Y			R						
Ralph B. Grieme, Jr. Greater Cincinnati Commercia	Y		Y	E	E	Y	Y	Y	Y			
Robert Hans, P.E. KYTC - District 6	Y		Y	Y		E	R	R	R	Y		
W. David Hart City of Alexandria												
Greg Hartmann Hamilton County Board of Comm.	R				R		R	R	R			
Donnie W. Hastings, Jr. City of Aurora												
Charlene Hinnners City of Milford			E	Y	Y	Y	Y	Y	E	Y		
G. Aaron Huff City of Elsmere												
Jeff L. Hughes Dearborn County Board of Commi			Y	E	Y	R	Y	Y	R			
Edwin H. Humphrey Clermont County Board of Comm.	Y		Y	Y	Y	Y	Y	Y	Y	Y		
Steven Jaeger City of Edgewood			E		E		E	E	E	E		
Michael F. Juengling Butler Co. Plann. Comm.	Y		Y	Y	Y	Y	Y	Y	E	Y		

OKI Board of Directors

David G. Young
Warren County Board of Commiss

01/13/2011 01/14/2011 02/10/2011 03/10/2011 04/14/2011 05/12/2011 06/09/2011 08/11/2011 09/08/2011

R		R	E	E	E	E	E	E				
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Board of Director Meetings
January 13, 2011
April 14, 2011
October 13, 2011

Y=ATTENDED
E=EXCUSED
R=REPRESENTED

EXHIBIT 3

ITEM #3: OKI REGIONAL STRATEGIC TRANSPORTATION PROJECTS

DESCRIPTION: Resolution OKI 2011-30 adopts a prioritized list of the region's Ohio strategic transportation projects.

BACKGROUND: To assist the Ohio Transportation Review Advisory Council and ODOT, the director of ODOT has requested that OKI collaborate with regional partners to reach consensus on OKI's strategic transportation projects.

Currently the TRAC has Tier I project commitments of \$3.3 billion from 2011 to 2017. Projected revenues are \$1.7 billion. Tier 2 contains in excess of \$10 billion in future construction and TRAC has projected revenue of approximately \$1.0 billion for these projects over the next eight years.

ODOT has asked OKI for leadership to gain regional consensus and carefully develop a strategic transportation project list. This collaboration included the Cincinnati USA Regional Chamber of Commerce, the four southwest Ohio Transportation Improvement Districts, ODOT District 8 and OKI. The result of this collaboration is the identification and prioritization of specific phases, implementation schedules and funding sources of the region's strategic transportation projects.

FUNDING: Adoption of this resolution does not result in the approval of funding for any project.

ACTION RECOMMENDED: Adoption of Resolution OKI 2011-30

EXHIBIT: Resolution (OKI 2011-30) Concerning the Ranking of the Regional Strategic Transportation Projects for the OKI Region.
Regional Strategic Transportation Projects List.

RESOLUTION
OF THE BOARD OF DIRECTORS
OF THE
OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS
CONCERNING THE RANKING OF THE
REGIONAL STRATEGIC TRANSPORTATION PROJECTS FOR THE OKI REGION

WHEREAS, the Director of the Ohio Department of Transportation, has requested the Ohio Metropolitan Planning Organizations such as OKI to identify and rank high priority, high cost projects from the MPO's planning area; and

WHEREAS, a list of regional strategic transportation projects was developed through a collaboration of representatives from the Cincinnati USA Regional Chamber of Commerce, the four southwest Ohio Transportation Improvement Districts, ODOT District 8 and OKI ; and

WHEREAS, identification and prioritization of these projects will be considered by ODOT in the development of an Ohio statewide strategic transportation plan; and

NOW BE IT THEREFORE RESOLVED, that the Board of Directors of the Ohio-Kentucky-Indiana Regional Council of Governments, at its regular meeting held on October 13, 2011 hereby approves the attached Regional Strategic Transportation Projects list.

EDWIN H. HUMPHREY, PRESIDENT

10/13/11
rwk

Regional Strategic Transportation Plan

Participating Metropolitan Planning Organization (MPO):

Participating Chamber(s) of Commerce

OKI

Cincinnati USA Regional Chamber, Butler TID, Clermont TID, Hamilton TID, Warren TID, ODOT District 8

Strategic Priority	PID	Facility	Location	Description	Phase (PE, DD, RW or CO)	Schedule		Estimated Funding (Millions)				
						Begin Fiscal Year	Complete Fiscal Year	Local Funding	Innovative Funding	ODOT Other Funding	ODOT TRAC	Total Funding
1	75119	IR 71/75	Brent Spence Bridge Project	Bridge and approaches PID's 75119,89053, 89054, 89056, 89065, 89066, 89067, 89068, 89069, 89077	DD	2012	2013				\$52.0	\$52.0
2	75119	IR 71/75	Brent Spence Bridge Project	Bridge and approaches PID's 75119,89053, 89054, 89056, 89065, 89066, 89067, 89068, 89069, 89077	R	2012	2016				\$66.4	\$66.4
3	75119	IR 71/75	Brent Spence Bridge Project	Bridge and approaches PID's 75119,89053, 89054, 89056, 89065, 89066, 89067, 89068, 89069, 89077	C	2014	2020				\$930.7	\$930.7
4	76257	IR 75	Mill Creek Expressway Project Phases 1-8A	Western Hill Via. to Paddock PID's 76257, 82278, 82282, 82283, 82284, 82286, 83723, 88234, 77889, 89835	R	2012	2016				\$13.8	\$13.8
5	76257	IR 75	Mill Creek Expressway Project Phases 1-8A	Western Hill Via. to Paddock PID's 76257, 82278, 82282, 82283, 82284, 82286, 83723, 88234, 77889, 89835	C	2012	2018				\$483.9	\$483.9
6	76289	IR 275	Eastern Corridor Segment IV - Phase 1	IR 275/SR 32 Interchange project	R	2013	2014			\$31.8		\$31.8
7	76289	IR 275	Eastern Corridor Segment IV - Phase 1	IR 275/SR 32 Interchange project	C	2014	2016	\$3.9		\$45.2		\$49.0
8	82309	IR 275	Eastern Corridor - Segment IV - Phase 2	IR 275 SR 32 EB & southside of Eastgate Blvd. interchange	P	2012	2012			\$1.3		\$1.3
9	82309	IR 275	Eastern Corridor - Segment IV- Phase 2	IR 275 SR 32 EB & southside of Eastgate Blvd. interchange	C	2012	2016	\$4.5			\$48.7	\$53.2
10	77628	IR 71	Uptown I-71 HAM-71-3-50	MLK Uptown interchange with I-71	P,DD,R	2012	2014				\$12.0	\$12.0
11	82370	SR 32	Eastern Corridor - CLER-SR32-2.25 Segment IV(a)	Glen Este-Withamsville to Old SR-74 Grade separation	P	2012	2013				\$1.2	\$1.2
12	82370	SR 32	Eastern Corridor - CLER-SR32-2.25 Segment IV(a)	Glen Este-Withamsville to Old SR-74 Grade separation	DD	2013	2014				\$2.7	\$2.7
13	82288	IR 75	TTV Shepherd Lane to N of Glendale-Milford Rd	Reconstruct IR 75 between Shepherd Lane and Glendale-Milford Road (Phase 8 TTV)	C	2014	2016			\$82.4		\$82.4
14	88135	IR 75	Through the Valley - Phase 2 - GE Parkway	Add local roadway connection on east side of I-75 to replace C/D	R	2012	2014				\$4.9	\$4.9
15	88135	IR 75	Through the Valley - Phase 2 - GE Parkway	Add local roadway connection on east side of I-75 to replace C/D	C	2014	2016				\$10.3	\$10.3
16	86461	SR-32F & Oasis	Eastern Corridor - Preliminary Engineering	86461 - Red Bank Road, 86462 - Relocated SR 32 and 86463 - Oasis Rail Transit	P	2012	2014	\$3.6			\$4.5	\$8.1
17	86463	Oasis Rail	Eastern Corridor Oasis rail transit	4 segments from downtown Cincinnati to Milford	DD	2013	2016				\$18.0	\$18.0

Regional Strategic Transportation Plan

Participating Metropolitan Planning Organization (MPO):

OKI

Cincinnati USA Regional Chamber, Butler TID, Clermont TID, Hamilton TID, Warren TID, ODOT District 8

Strategic Priority	PID	Facility	Location	Description	Phase (PE, DD, RW or CO)	Schedule			Estimated Funding (Millions)				
						Begin Fiscal Year	Complete Fiscal Year		Local Funding	Innovative Funding	ODOT Other Funding	ODOT TRAC	Total Funding
18	86463	Oasis Rail	Eastern Corridor Oasis rail transit	4 segments from downtown Cincinnati to Milford	R	2014	2017					\$11.3	\$11.3
19	86463	Oasis Rail	Eastern Corridor Oasis rail transit - segments 1 & 2	from downtown Cincinnati to Fairfax	C	2014	2015	\$133.4				\$133.4	\$266.7
20	76256	IR 75	TTV Glendale Milford Road to IR 275 (phase 8)	Study the corridor for access improvements. Work includes major rehabilitation of pavement	P	2012	2014			\$5.9			\$5.9
21	81052	IR 71	Interchange at Fields Ertel/Mason Montgomery	Multimodal improvements, new NB off ramp, intersection, improvements, etc.	P, DD, R	2012	2014	\$3.4				\$3.1	\$6.5
22	76256	IR 75	TTV Glendale Milford Road to IR 275 (phase 8)	Study the corridor for access improvements. Work includes major rehabilitation of pavement	R	2012	2013			\$3.8			\$3.8
23	81052	IR 71	Interchange at Fields Ertel/Mason Montgomery	Multimodal improvements, new NB off ramp, intersection, improvements, etc.	C	2014	2015					\$21.3	\$21.3
24	86461	SR 32F	Eastern Corridor - Red Bank Road segment	PE, Env for controlled access multimodal arterial and tie into new interchange at US 50	DD	2013	2014					\$2.4	\$2.4
25	86462	SR 32F	Eastern Corridor - Relocated SR32	Relocated controlled access multimodal arterial with river crossing west of I-275	DD	2014	2016					\$19.2	\$19.2
26	86463	Oasis Rail	Eastern Corridor Oasis rail transit segments 3 & 4	from Fairfax to Milford	C	2018	2019	\$139.2				\$139.2	\$278.4
27	88124	IR 75	TTV From bridge over Mill Creek to SR 126 (phase 3)	Add 4th lane in each direction and associated improvements	P	2012	2013			\$1.4			\$1.4
28	88124	IR 75	TTV From bridge over Mill Creek to SR 126 (phase 3)	Add 4th lane in each direction and associated improvements	R	2013	2015					\$0.8	\$0.8
29	88129	IR 75	TTV RR bridge 11.09 on SB IR 75 in the split	Replace RR bridge prior to widening IR 75	DD	2012	2013			\$0.5			\$0.5
30	88129	IR 75	TTV RR bridge 11.09 on SB IR 75 in the split	Replace RR bridge prior to widening IR 75	R	2013	2015					\$0.8	\$0.8
31	88134	IR 75	TTV WB SR 126 to NB IR 75 and SB IR 75 to SB SR 126	Construct new ramps	DD	2012	2013			\$1.0			\$1.0
32	88134	IR 75	TTV WB SR 126 to NB IR 75 and SB IR 75 to SB SR 126	Construct new ramps	R	2013	2015					\$0.6	\$0.6

Regional Strategic Transportation Plan

Participating Metropolitan Planning Organization (MPO):
 Cincinnati USA Regional Chamber, Butler TID, Clermont TID, Hamilton TID, Warren TID, ODOT District 8

OKI

Strategic Priority	PID	Facility	Location	Description	Phase (PE, DD, RW or CO)	Schedule		Estimated Funding (Millions)					
						Begin Fiscal Year	Complete Fiscal Year	Local Funding	Innovative Funding	ODOT Other Funding	ODOT TRAC	Total Funding	
33	88134	IR 75	TTV WB SR 126 to NB IR 75 and SB IR 75 to SB SR 126	Construct new ramps	C	2016	2018					\$59.3	\$59.3
34	86461	SR 32	Eastern Corridor - ROW portion of PID's 86461, 86462, 82370	Red Bank Rd., Relocated 32 & Segment IVa ROW	R	2014	2017					\$62.0	\$62.0
35	86461	SR 32F	Eastern Corridor - Red Bank Road segment	PE, Env for controlled access multimodal arterial and tie into new interchange at US 50	C	2015	2017					\$143.0	\$143.0
36	86462	SR 32F	Eastern Corridor - Relocated SR32	Relocated controlled access multimodal arterial with river crossing west of I-275	C	2017	2019					\$301.0	\$301.0
37	77628	IR 71	Uptown I-71 HAM-71-3-50	MLK Uptown interchange with I-71	C	2014	2016					\$60.0	\$60.0
38	82370	SR 32	Eastern Corridor - CLER-SR32-2.25 Segment IV(a)	Glen Este-Withamsville to Old SR-74 Grade separation	C	2015	2017					\$48.5	\$48.5
39	76256	IR 75	TTV Glendale Milford Road to IR 275 (phase 8)	Study the corridor for access improvements. Work includes major rehabilitation of pavement	C	2016	2018		\$16.6			\$42.1	\$58.7
40	88124	IR 75	TTV From bridge over Mill Creek to SR 126 (phase 3)	Add 4th lane in each direction and associated improvements	C	2016	2018		\$6.6			\$73.8	\$80.4
41	88129	IR 75	TTV RR bridge 11.09 on SB IR 75 in the split	Replace RR bridge prior to widening IR 75	C	2015	2017					\$21.1	\$21.1
42	88132	IR 75	TTV Galbraith to Shepherd Phase 5 & 6	Add 4th and aux lane for NB IR 75. Includes 88133	DD	2012	2013					\$2.7	\$2.7
43	88132	IR 75	TTV Galbraith to Shepherd Phase 5 & 6	Add 4th and aux lane for NB IR 75. Includes 88133	R	2013	2015					\$5.7	\$5.7
44	88132	IR 75	TTV Galbraith to Shepherd Phase 5 & 6	Add 4th and aux lane for NB IR 75. Includes 88133	C	2016	2018		\$52.4			\$103.3	\$155.7
45	81174	SHC	South Hamilton Crossing	Railroad/US-127 Grade separation	DD	2012	2013	\$1.5					\$1.5
46	81174	SHC	South Hamilton Crossing	Railroad/US-127 Grade separation	R	2013	2015					\$3.0	\$3.0
47	81174	SHC	South Hamilton Crossing	Railroad/US-127 Grade separation	C	2015	2017					\$16.0	\$16.0
48	82134	IR275, SR32	Eastern Corridor - Phase 3 of the interchange project.	Braided ramp connections between Eastgate Blvd. & IR275	R	2018	2019					\$6.8	\$6.8
49	82134	IR275, SR32	Eastern Corridor - Phase 3 of the interchange project.	Braided ramp connections between Eastgate Blvd. & IR275	C	2018	2020					\$35.5	\$35.5
50	85388	WHV	Western Hills Viaduct	Replace or repair viaduct	R	2014	2015	\$4.0		\$1.0			\$5.0

Regional Strategic Transportation Plan

Participating Metropolitan Planning Organization (MPO):

Participating Chamber(s) of Commerce

OKI

Cincinnati USA Regional Chamber, Butler TID, Clermont TID, Hamilton TID, Warren TID, ODOT District 8

Strategic Priority	PID	Facility	Location	Description	Phase (PE, DD, RW or CO)	Schedule		Estimated Funding (Millions)				
						Begin Fiscal Year	Complete Fiscal Year	Local Funding	Innovative Funding	ODOT Other Funding	ODOT TRAC	Total Funding
51	85388	WHV	Western Hills Viaduct	Replace or repair viaduct	P,DD	2014	2015	11.3				\$11.3
52	85388	WHV	Western Hills Viaduct	Replace or repair viaduct	C	2015	2017				\$206.2	\$206.2
53	20499	Pioneer Pkwy	US 127 Eastward to existing SR 63 at SR4	New 2-lane facility	DD	2013	2014	\$3.0				\$3.0
54	20499	Pioneer Pkwy	US 127 Eastward to existing SR 63 at SR4	New 2-lane facility	R	2015	2015	\$3.0				\$3.0
55	20499	Pioneer Pkwy	US 127 Eastward to existing SR 63 at SR4	New 2-lane facility	C	2016	2018				\$70.0	\$70.0
Total Funding Estimate								\$38.1	\$272.6	\$249.9	\$3,241.1	\$3,801.7
% Share of Estimated Funding								1.0%	7.2%	6.6%	85.3%	100.0%

EXHIBIT 5

ITEM #5:**THE WATER QUALITY MANAGEMENT (WQM) PLAN UPDATE FOR BUTLER, CLERMONT, HAMILTON AND WARREN COUNTIES, OHIO****DESCRIPTION:**

Between 2009 and 2011 OKI undertook a major and comprehensive update of the Water Quality Management Plan for Butler, Clermont, Hamilton and Warren Counties, in concert with planning partners such as local governments, wastewater management agencies, county health districts, planning agencies, and soil and water conservation districts. As the policy body designated by the governors of Ohio, Kentucky and Indiana to conduct this federally-mandated planning under Section 208 of the Clean Water Act, OKI is expected to adopt plan updates before forwarding them to the states for certification.

BACKGROUND:

OKI has been the designated water quality management agency for the tri-state region since the mid-1970's. Since the original WQM plan was adopted by OKI's Board in 1978, incremental updates and amendments to it have been prepared with available resources, but work undertaken in the past two years with federal and state funding has resulted in a truly comprehensive and fully integrated plan update for Butler, Clermont, Hamilton and Warren Counties. By consolidating a wealth of current information on water resources, water quality, water uses and demand and by recommending water management approaches in this plan update for southwest Ohio, the WQM plan update provides a blueprint for the many agencies, organizations, and individuals who are responsible for implementing it.

**ACTION
RECOMMENDED:**

Adoption of Resolution 2011-31.

EXHIBITS:

Executive Summary of the WQM Plan Update for Butler, Clermont, Hamilton and Warren Counties, Ohio
Resolution 2011-31.

RESOLUTION

**OF THE BOARD OF DIRECTORS OF THE
OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS**

**CONCERNING THE ADOPTION
OF A WATER QUALITY MANAGEMENT (WQM) PLAN UPDATE
FOR BUTLER, CLERMONT, HAMILTON AND WARREN COUNTIES, OHIO**

WHEREAS, the governors of Ohio, Kentucky, and Indiana have designated OKI as the agency to carry out the planning requirements of Section 208 of the Federal Water Pollution Control Act Amendments of 1972 for Butler, Clermont, Hamilton, and Warren Counties in Ohio; Boone, Campbell, and Kenton Counties in Kentucky; and Dearborn and Ohio Counties in Indiana, and

WHEREAS, OKI's Executive Committee adopted OKI's regional WQM Plan at its public meeting of February 23, 1978, and

WHEREAS, it is recognized that the regional WQM plan represents a dynamic planning process which needs to be amended from time to time to accommodate and reflect the ever changing circumstances resulting from urban activities and stemming from local, state, and federal policies and/or directives, and

WHEREAS, OKI has prepared a water quality management plan update for Butler, Clermont, Hamilton and Warren Counties, in accordance with scopes of work detailed in grants awarded through the Ohio Environmental Protection Agency,

NOW, THEREFORE BE IT RESOLVED, that OKI's Board of Directors at their regular public meeting of October 13, 2011 adopts the OKI water quality management plan update for Butler, Clermont, Hamilton and Warren Counties, Ohio.

EDWIN H. HUMPHREY, PRESIDENT

jw
10/13/2011

EXHIBIT 6

ITEM #6: SFY2012 OHIO WATER QUALITY GRANT (OHIO)

DESCRIPTION: This is a request for authorization to accept state pass-through funding from the Ohio Environmental Protection Agency to carry out OKI's water quality program in the Ohio portion of the region, and to execute the grant during SFY2012.

BACKGROUND: OKI anticipates state pass-through funding from the Ohio Environmental Protection Agency in an amount of \$75,000 for SFY 2012. OKI will develop a scope of services which details the water quality management planning activities that it will undertake in Ohio during SFY2012. The total cost for these activities in SFY2012 would not exceed \$76,000.

ACTION RECOMMENDED: Adoption of Resolution 2011-32

EXHIBIT: Resolution 2011-32.

RESOLUTION

**OF THE BOARD OF DIRECTORS OF THE
OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS**

**AUTHORIZING THE EXECUTION OF AN
OHIO WATER QUALITY GRANT
WITH THE OHIO ENVIRONMENTAL PROTECTION AGENCY (OHIO EPA)**

WHEREAS, the governors of Ohio, Kentucky, and Indiana have designated OKI as the agency to carry out the planning requirements of Section 208 of the Federal Water Pollution Control Act Amendments of 1972 for Butler, Clermont, Hamilton, and Warren Counties in Ohio; Boone, Campbell, and Kenton Counties in Kentucky; and Dearborn and Ohio Counties in Indiana, and

WHEREAS, OKI has prepared a regional water quality management plan in accordance with applicable law, and

WHEREAS, OKI's Executive Committee adopted OKI's regional WQM Plan at its public meeting of February 23, 1978, and

WHEREAS, it is recognized that the regional WQM plan needs to be updated from time to time to accommodate and reflect the ever-changing circumstances resulting from urban activities and stemming from local, state, and federal policies and/or directives,

NOW, THEREFORE BE IT RESOLVED, that the OKI Board of Directors, at its October 13, 2011 meeting, hereby authorizes and directs the Executive Director to file and execute a State Fiscal Year 2012 grant application with the Ohio EPA for state pass-through funds for water quality planning in an amount of \$75,000;

FURTHER RESOLVED, that the Executive Director is authorized to take any other actions necessary to fulfill the intent of this Resolution;

FURTHER RESOLVED, that the Executive Director is authorized to amend the OKI budget to accommodate this resolution and to include a total water quality planning budget not to exceed \$76,000 for these SFY2012 Ohio activities; and

FURTHER RESOLVED, that the Executive Director hereby is authorized to provide for the execution of the above described agreement on behalf of the Council of Governments.

EDWIN H. HUMPHREY, PRESIDENT

10/13/11
jw

EXHIBIT 7-A

ICC REPORT

**ITEM #7-A: AMENDMENT #4 OF THE OKI FISCAL YEARS 2012-2015
TRANSPORTATION IMPROVEMENT PROGRAM**

- DESCRIPTION:** The attached exhibit, in resolution form, describes the specific revisions proposed.
- BACKGROUND:** OKI is responsible for preparing biennially a four-year program of projects in this region that will make use of available Federal-Aid Highway funds and Federal Transit Administration funds. Inclusion in this program is a prerequisite for such federal assistance. Because the scope, cost, and timing of the included projects are subject to periodic change, and because new projects are continually being developed, the TIP is formally amended several times a year, as needed.
- The amendment reflected in the proposed resolution lists 2 highway projects in Ohio, 3 highway projects in Indiana and numerous transit projects for SORTA and TANK that are recommended for addition or revision in the current TIP.
- Prior to presentation to the Board of Directors, the proposed changes are reviewed by staff and presented to the Intermodal Coordinating Committee. The Intermodal Coordinating Committee considers the issue, and makes a recommendation to the Board of Directors.
- AUTHORITY:** 23 CFR, §450.324.
- FUNDING:** The fiscally constrained items proposed for amendment have an associated funding amount and source specified. Staff has determined that there is adequate funding available, or anticipated to be available, and the funding amounts are shown in year of expenditure figures for these projects.
- ACTION RECOMMENDED:** Adoption of Resolution OKI 2011-33.
- EXHIBIT:** Resolution (OKI 2011-33) Concerning Amendment #4 of the OKI Fiscal Years 2012-2015 Transportation Improvement Program.

RESOLUTION

**OF THE BOARD OF DIRECTORS OF THE
OHIO-KENTUCKY-INDIANA REGIONAL COUNCIL OF GOVERNMENTS**

**CONCERNING AMENDMENT #4 OF THE
FISCAL YEARS 2012 – 2015 TRANSPORTATION IMPROVEMENT PROGRAM**

WHEREAS, the Ohio Kentucky Indiana Regional Council of Governments (OKI) is designated as the Metropolitan Planning Organization (MPO) by the Governors of Ohio, Kentucky and Indiana acting through the Ohio Department of Transportation (ODOT), the Kentucky Transportation Cabinet (KYTC) and the Indiana Department of Transportation (INDOT) and in cooperation with locally elected officials in the OKI region; and

WHEREAS, the Intermodal Coordinating Committee (ICC), as the technical advisory committee to OKI, has reviewed and recommended the projects listed in the resolution and recommend that they be added/amended into the Transportation Improvement Program (TIP); and

WHEREAS, all federally funded transit and highway projects in the Ohio Counties of Butler, Clermont, Hamilton and Warren, the Kentucky Counties of Boone, Campbell and Kenton and the Indiana County of Dearborn must be included in the TIP prior to the expenditure of federal funds and be listed with year of expenditure dollars; and

WHEREAS, the amendments are consistent with the *OKI 2030 Regional Transportation Plan* as amended on February 10, 2011 and the OKI Regional ITS Architecture adopted on March 13, 2008; and

WHEREAS, these amendments are consistent with OKI's previous air quality conformity analysis as amended on February 10, 2011 and approved by the Federal Highway Administration on March 17, 2011 and it has been determined through interagency consultation that a new conformity finding is not needed; and

WHEREAS, for amendments involving exempt projects, as listed in 40 CFR Part 93.126, are exempt from transportation conformity requirements and public review is not required as per the OKI Participation Plan; and

WHEREAS, the environmental justice impacts of these amendments have been considered with "Executive Order 12898 Federal Actions to Address Environmental Justice in Minority Populations and Low Income Populations"; and

WHEREAS, this amendment will result in a TIP that remains fiscally constrained;

NOW THEREFORE BE IT RESOLVED, that the Board of Directors of the Ohio Kentucky Indiana Regional Council of Governments, at its regular meeting on October 13, 2011 hereby amends the Fiscal Years 2012 – 2015 Transportation Improvement Program as listed below:

EDWIN H. HUMPHREY, PRESIDENT

10/13/2011
mrp

OKI MPO
PROPOSED ACTIONS-- AMENDMENT #4 of the FY 2012 - 2015 TIP
 October 13, 2011

OHIO PROJECTS

PID	MTP ID	Project Title	Project Description and Action	Sponsor	Fund Type	Phase	TIP Fiscal Years					
							Pre FY 12	FY 12	FY 13	FY 14	FY 15	Post FY 15
86444	O/M	Banks Project (Bid Package #12)	Revise CON funding for the Banks development in downtown Cincinnati. A/Q Status: Exempt	Hamilton County	OKI-STP	CON			\$3,996,754	(previously \$2,601,182)		
					STP	CON			\$331,022	(no change)		
					Local	CON			\$1,299,188			
					Total Project Estimate: \$5,626,964							Award Date: 4th Quarter FY 2013
90848	O/M	Banks Suspension Bridge/Ohio River Trail/Broadway	Revise CON funding for a pedestrian connection project on the upstream side of the Suspension Bridge between Covington and Ted Berry Way. A/Q Status: Exempt	Hamilton County	OKI-STP	CON			\$1,930,345	(no previous OKI STP funds)		
					OKI-TE	CON			\$750,000	(no change)		
					Earmark	CON			\$475,000	(no previous earmark funds)		
					Local	CON			\$2,289,836			
Total Project Estimate: \$5,445,181							Award Date: 1st Quarter FY 2013					

INDIANA PROJECTS

Des	MTP ID	Project Title	Project Description and Action	Sponsor	Fund Type	Phase	TIP Fiscal Years							
							Pre FY 12	FY 12	FY 13	FY 14	FY 15	Post FY 15		
1173372	O/M	Indiana 2012 Statewide Orthophoto and LIDAR mapping	Add a project for Dearborn County portion of the 2012 statewide Orthophoto and LIDAR mapping project. A/Q Status: Exempt	Dearborn County	OKI-STP	PE		\$20,000						
					Local	PE		\$21,199						
					Total PE Estimate: \$41,199							Letting Date: FY 2012		
1173308	O/M	SR 56	Add a project for HMA overlay and preventive maintenance on SR 56 from Dam View Lane to Judiciary Street. A/Q Status: Exempt	INDOT	STP	CON		\$1,600,000						
					State	CON		\$400,000						
					Total Project Estimate: \$2,000,000							Letting Date: FY 2012		
0710110	O/M	US 50 near IR 275	Revise ROW funding for an environmental mitigation project, including landscaping, on US 50 near IR 275. A/Q Status: Exempt	INDOT	STP	ROW		\$24,000	(no change in funding, previously in FY 2011)					
					State	ROW		\$6,000	(no change in funding, previously in FY 2011)					
					STP	CON		\$145,000	(no change)					
					State	CON		\$36,000	(no change)					
Total Project Estimate: \$211,000							Letting Date: FY 2012							

O/M--Non capacity projects are consistent with the operations/maintenance aspects of the MTP. See Goal 6: Emphasize the Preservation of the Existing System, 2030 MTP, page 1-8.

EXHIBIT 8

CONSENT AGENDA

REPORTING FOR THE MONTH OF SEPTEMBER



Ohio · Kentucky · Indiana
Regional Council of Governments

DATE: OCTOBER 5, 2011

TO: OKI BOARD OF DIRECTORS

FROM: EDWIN H. HUMPHREY, PRESIDENT

RE: CONSENT AGENDA ITEMS

Each month a written report of committee activities is mailed to the Board of Directors. At the meeting, action requested will be a motion to approve the committee reports.

Questions and/or concerns regarding committee reports may be directed to staff by calling (513) 621-6300 (staff extension is noted next to name in the report), or by e-mail at plan@oki.org.

Listed below are consent agenda items to be presented at October 13, 2011 Board of Directors meeting.

A. Regional Planning

1. Regional Land Use Commission
Chair: Ken Reed
Staff: Emi Randall (ext. 239) erandall@oki.org
Jane Wittke (ext. 125) jwittke@oki.org
Travis Miller (ext. 110) tmiller@oki.org

Staff prepared materials and distributed information regarding the 2011 Sustainable Communities Regional grant opportunity to various regional stakeholders requesting input and identifying potential opportunities for in-kind contributed services appropriate for the proposed activities. Activities included convening topical area working group round-tables throughout the month including an Environmental Planning working group September 1, Scenario Planning and Water Infrastructure working groups September 8, Economic Development & Workforce working group September 12 and Civic Engagement working group September 13. An advisory committee meeting on the subject was facilitated September 19.

Staff presented at two sessions at the Kentucky Chapter American Planning Association Fall Conference in Covington on September 16. Topics presented included incorporating public health into community planning and the regional activities ongoing related to the federal sustainable communities program. Each presentation promoted the Strategic Regional Policy Plan's relevance as a guide.

Edwin H. Humphrey
President

Mark R. Policinski
Executive Director

Staff continued to provide technical assistance and support to the Northern Kentucky Area Planning Commission staff on their HUD application for the 2011 Sustainable Communities Challenge grant program.

Staff continued to provide technical assistance for Plan Cincinnati, the City of Cincinnati comprehensive plan update process, and reviewed materials prepared for the September steering committee meetings.

Staff continued research efforts and identified current data sources available to update the statistics and trends relied upon by SRPP Housing Element Strategic Regional Issues and prepared data for analyzing these SRIs. Staff also researched current data sources available to inform the SRPP on climate change considerations.

Staff continued research efforts related to a green infrastructure strategy development as a potential inclusion to the Strategic Regional Policy Plan and a component of the 2011 Sustainable Communities grant program proposal being prepared.

Staff prepared material for display at the upcoming 2040 Long Range Plan public open houses.

A survey on specialized transportation needs was mailed on August 30 to agencies that provide or serve clients that use specialized transportation. Staff subsequently reviewed responses for surveys completed, not completed, or not delivered. The survey was mailed a second time on September 27 with revisions to the cover letter and selected email addresses. Staff began transferring information from surveys returned from the first mailout to develop agency profiles and a comprehensive view of survey results that can be used to identify service gaps and overlaps for use in developing strategies to improve specialized transportation.

2. Regional Greenspace Office

Staff: Margo Lindahl, (ext. 126) mlindahl@oki.org

Staff finalized and presented a power point to the ICC and OKI Board on the process and results of environmental consultations with local agencies.

For the public meetings on the 2040 transportation plan, staff finalized display content and handouts of Regionally Significant Environmental Resources and the Environmental Consultations. The list of Endangered, Threatened, and Rare Species in the OKI Region was completed. Staff attended the four open house sessions.

Staff further contributed to developing the proposal for the environmental element of the HUD Sustainability Communities Planning Grant. Staff attended the meeting with the Green Umbrella on September 1, followed up with two members on issues

discussed at the meetings, and prepared a detailed response to a request for information on OKI greenspace-related data or data sources. The grant proposal was modified to include the input received at the Green Umbrella meeting and August 26 planning forum.

Staff participated in the FHWA webcast on September 8 on Mitigation Banking, Conservation Banking and In-Lieu Fee Programs. Notes were prepared for future reference.

Staff attended an all-day Urban Tree Canopy Forum on September 14. The session covered a range of issues relevant for greenspace planning, including resources for mapping tree cover and quantifying urban forest benefits and discussion of reforestation projects for their stormwater management benefits.

B. Transportation

1. Environmental Justice Activities

Staff: Florence Parker (ext. 103) fparker@oki.org

In preparation for the Open Houses staff reviewed the maps and captions developed to illustrate connectivity between the various transit service providers and the Environmental Justice population groups in the OKI region with the Project Manager for the 2040 Regional Plan Update.

Staff attended the Recognition Graduation sponsored by the Hamilton County Business Center's Entrepreneurship Program.

Staff participated in the Civic Engagement Working Group Meeting for the upcoming HUD application.

Staff emailed a copy of the flyer announcing the schedule of Open Houses for the update of the 2040 Regional Transportation Plan to a list of stakeholders in Butler County that was provided by a member of the Civic Engagement Working Group.

Staff submitted a press release to La Jornada and the Cincinnati Herald encouraging individuals from the Environmental Justice community to attend one of the Open Houses. Staff also facilitated the posting of the press release on the OKI Facebook which resulted in 335 views and shares and, Twitter updates were sent to 584 followers.

Staff participated in the African American Chamber of N KY & Greater Cincinnati's Business 2 Business Exchange & Expo including booth space where copies of the OKI DBE Program & Goal along with copies of the OKI DBE Participation Request flyer were provided to the attendees.

C. Environmental

1. Water Quality Program

Staff: Jane Wittke (ext.126) jwittke@oki.org

Bruce Koehler (ext.112) bkoehler@oki.org

General Water Quality Activities

In September, OKI staff continued to respond to requests for determinations about whether wastewater facility projects would be in conformity with OKI's Regional Water Quality Management Plan prepared under Section 208 of the Clean Water Act. Conformity with OKI's "208" Plan is a condition before state agencies can issue permits for projects to proceed, and staff addressed two conformity review requests about projects in Hamilton County.

After submitting an extensive and updated "208" Plan for Butler, Clermont, Hamilton and Warren Counties to Ohio EPA in July, OKI staff developed an executive summary of the plan in August and September. The intent of the executive summary is to provide highlights for readers who may not have the time to peruse the full document and appendices, which total over 500 pages. Staff also began to develop a presentation about the completed plan update for OKI's Board, who will consider the update for adoption at their upcoming meeting. Staff also continued to develop a scope of work for additional water quality management plan updating for submission to the Ohio EPA.

On September 1, OKI staff gave a presentation to the Hamilton County Environmental Action Commission about Friends of the Great Miami (FOGM), a citizen-based, non-profit watershed group that administers the Great Miami Citizens' Water Quality Monitoring Project. FOGM requested the Commission's support for involvement in the Ohio EPA's total maximum daily load (TMDL) study of the lower Great Miami River watershed.

On September 7, staff attended the Cincinnati Energy, Economics & Environment (3E) Summit at the University of Cincinnati to learn more about regional sustainability efforts.

For an Ohio EPA-funded study of the water quality impacts of onsite wastewater treatment systems in the Taylor Creek watershed, staff sampled and photographed 11 stream monitoring sites in western Hamilton County on September 10 and 17. Staff submitted the samples to volunteer monitoring programs at the Little Miami River Lab in Loveland and the Great Miami Citizens' Water Quality Monitoring Project Lab near New Haven.

On September 10 and 11, staff exhibited informational displays and interacted with the public at Great Miami River Days, an educational and recreational river festival along

the Great Miami River in Hamilton. Before the festival, staff collaborated with these organizations to facilitate their participation: Friends of the Great Miami, Oxbow Inc., Mill Creek Watershed Council of Communities, Rivers Unlimited and the Hamilton Chapter of the U.S. Coast Guard Auxiliary.

On September 15, staff attended the Annual Meeting of the Hamilton County Soil & Water Conservation District to learn about local conservation and LEED-certified construction by the Metropolitan Sewer District of Greater Cincinnati.

On September 22, staff participated in Lick Run Technical Workshop #2 to help the Metropolitan Sewer District of Greater Cincinnati (MSD) plan ways to address combined sewer overflows in a lower Mill Creek watershed that drains the South Fairmount neighborhood. After the meeting, staff shared information to help MSD recruit volunteers for a park cleanup in South Fairmount.

On September 23, staff participated in the OKI-Regional Conservation Council Tour, a yearly event for which the region's county conservation districts take turns organizing educational tours of notable conservation projects. On several dates before the tour, staff helped publicize the event through a contact database that OKI maintains for the Regional Conservation Council.

Throughout September, staff shared environmental information or guidance with the Hamilton County Extension Service, the Mill Creek Wilderness Society, the Hamilton-to-New Baltimore Groundwater Consortium, three individuals seeking employment as environmental professionals, five county conservation districts, a hydrogeological consulting firm, and a lawyer who wants to canoe on the Mill Creek.

In-house, the water quality staff answered an inquiry from OKI's green space planning staff and continued conferring with OKI's Geographic Information System (GIS) Division as it updates OKI's maps of centralized sewer service areas. The water quality and GIS staffs also continued collaborating on the Taylor Creek watershed study that OKI is conducting.

Mill Creek Watershed Council of Communities

On September 13 and 27, staff participated in conference calls on the Twin Creek Preserve Project to plan environmental restoration at the confluence of the Mill Creek and East Fork Mill Creek in Sharonville. The \$2.1 million federally funded project is improving water quality, reducing flooding and enhancing habitat by re-connecting streams to their floodplain and creating wetland.

On September 16, staff collaborated with the Watershed Council on the Twin Creek Preserve Lunch & Learn, which educated a target audience about stream restoration and wetland creation at the confluence of the Mill Creek and East Fork Mill Creek in

Sharonville. Staff edited a project fact sheet, provided informational displays and spoke to a tour group. Nearly 100 people participated.

On September 26, the OKI staff member who chairs the Mill Creek Watershed Council of Communities (MCWCC) participated in a meeting of the Rain Garden Alliance of Greater Cincinnati (RGA) regarding collaboration between the groups.

On September 29, staff participated in a meeting of the Watershed Council's Finance Committee to plan budgets, policies and procedures intended to keep the non-profit watershed group on firm financial footing for continuing service to its member local governments in the Mill Creek watershed.

Throughout September, the staff member who chairs the Mill Creek Watershed Council of Communities (MCWCC) worked closely with the organization's new executive director to plan ways of reinforcing MCWCC's mission of leading stakeholders and improving environmental conditions in the urban watershed.

Groundwater Committee

The committee met on September 14 to discuss local groundwater management efforts and OKI's recently completed Water Quality Management Plan update for southwest Ohio, and to hear a comprehensive presentation on Superfund sites in southwest Ohio and their implications for groundwater. The presenters were three Superfund site coordinators from Ohio EPA and the sites covered included Chem-Dyne; Pristine; and the Skinner landfill. Following the meeting, OKI staff assembled and submitted the documentation required for local utility staff to receive contact hour credit for the presentations, and began communications with potential presenters for the next meeting.

Next Meeting: November 30 or December 7, 2011